	Page 1		Page 3
1	Wednesday, 19 December 2018	1	my name is Ian Pennicott. I have a few questions for
2	(10.07 am)	2	you, not too many, and then maybe others will have
3	MR KHAW: The next witness is	3	questions for you as well, and of course the Chairman
4	CHAIRMAN: I notice a festive air in the front row.	4	and the professor may also have questions.
5	MR KHAW: Yes.	5	Mr Lok, could we first of all look at H7/2657. If
6	CHAIRMAN: I'm sorry, Mr Khaw. Please continue.	6	we look at the left-hand side of this organisation
7	MR KHAW: Mr Pennicott has a tie for Christmas already.	7	chart, which is said, as you can see at the top, to be
8	Also, I have been told by colleagues of Mr Lok that	8	from 10 January 2012; do you see that?
9	whenever he says, "It's okay", he usually means, "You	9	A. Yes, I see that.
10	are welcome", but I'm not sure what he said yesterday	10	Q. If we look at the left-hand side, under the Government
11	falls within the general rule of exceptions.	11	Engineer, then there's the Chief Engineer. Then if one
12	Mr Lok, can you confirm that your full name is Lok	12	goes to the right, one sees the box where it says
13	Pui Fai?	13	"Senior Structural Engineer/Railway Development"; do you
14	WITNESS: (In English) Correct.	14	see that?
15	MR LOK PUI FAI, ANDREW (sworn in Punti)	15	A. Yes, I see that.
16	(All answers given via simultaneous interpreter	16	Q. My understanding is that that is the position which you
17	except where otherwise specified)	17	occupied from 12 January 2016; is that correct?
18	Examination-in-chief by MR KHAW	18	A. Correct.
19	MR KHAW: I understand you would like to give your evidence	19	Q. As I understand it, your predecessor in that position
20	in Cantonese; is that correct?	20	was Mr Wong Wing Keung; is that correct?
21	A. Correct.	21	A. Correct.
22	Q. For the purpose of this Inquiry, you have made one	22	Q. Prior to taking up your position on 12 January 2016,
23	witness statement. If we can have a look at H7/2187,	23	Mr Lok, what position did you hold prior to that date?
24	you can see your witness statement there.	24	A. Prior to that, I was a senior structural engineer of the
25	A. Yes, I can see that.	25	Existing Buildings in the Buildings Department. So
	Page 2		Page 4
	- "60 -		rage 4
1	Q. It's not a short statement. It consists of about	1	Buildings Department, Existing Building Division, that
1 2	Q. It's not a short statement. It consists of about 27 pages.	1 2	Buildings Department, Existing Building Division, that was the post I held before 2016.
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	Page 5		Page 7
1	on the XRL.	1	visit, they would make arrangements.
2	Q. Understood. When did Mr Patrick Fan take over from Alex	2	Q. Okay. So I think the answer is that it wouldn't
3	Hung?	3	necessarily follow that this type of relatively formal
4	A. Correct, yes.	4	instruction would be issued; there may be other means by
5	Q. When?	5	which
6	A. It was May 2018.	6	A. Yes, it may be by email or by telephone.
7	Q. All right, very recently.	7	Q. Yes, I see. All right.
8	A. Yes.	8	A. Let me add that if it's consultation submissions, we
9	Q. All right. And, as I understand it, Mr Lok, when you	9	would issue assignment form for record purpose.
10	took over, in January 2016, one of your primary duties	10	Q. Okay. Do you agree, Mr Lok, with Mr Yueng of Pypun when
11	was the vetting of structural-related building	11	he says that the BSRC team would only carry out
12	submissions, both of the XRL and the SCL; is that right?	12	a specific audit, site inspection, or deal with
13	A. Yes, mainly the structural aspect submissions.	13	a submission that we've just seen an example of, on the
14	Q. Right. We'll see an example of that in a short while.	14	instruction of BD?
15	As I understand also from your witness statement,	15	A. You may put it this way. We give them instructions and
16	you were responsible for supervising the Pypun BSRC	16	then they would do what we require them to do. That is,
17	team; is that right?	17	they would only carry out site inspections, site audits
18	A. Right.	18	and site visits upon our instructions.
19	Q. Is this right, that you and your structural engineer	19	Q. And only review and comment upon submissions if you gave
20	colleague, Alex Hung, as it would have been, gave	20	them the type of instruction that we've just looked at?
21	instructions to Pypun as to the works that they should	21	A. There are some site witness. Maybe it's done by email
22	carry out?	22	or telephone. There may not be an assignment form.
23	A. Right.	23	Q. All right. To what extent obviously you can only
24	Q. Just so that we can see a typical, perhaps, example of	24	speak from your experience from January 2016 onwards,
25	such an instruction, albeit before the time that you	25	Mr Lok but in your experience, thinking back, to what
	Page 6		Page 8
1	took up your role, can we just look at K1/822, please.	1	extent were Pypun proactive in making suggestions to the
2	We can see that this is a document headed "Highways	2	Buildings Department or to the BO team about things that
3			
	Department, Railway Development Office". There's	3	should be done?
4	Department, Railway Development Office". There's a reference to the consultancy agreement with Pypun and	3 4	should be done? A. Well, by "proactive", it means that we give them
4 5			
	a reference to the consultancy agreement with Pypun and	4	A. Well, by "proactive", it means that we give them
5	a reference to the consultancy agreement with Pypun and the assignment number; it's obviously addressed to	4 5	A. Well, by "proactive", it means that we give them an assignment to act in accordance with BO requirements.
5 6	a reference to the consultancy agreement with Pypun and the assignment number; it's obviously addressed to Pypun. Then if we could see what it says it says:	4 5 6	A. Well, by "proactive", it means that we give them an assignment to act in accordance with BO requirements. They would ask us for all the information that were
5 6 7	a reference to the consultancy agreement with Pypun and the assignment number; it's obviously addressed to Pypun. Then if we could see what it says it says: "Please check and provide structural comments for	4 5 6 7	A. Well, by "proactive", it means that we give them an assignment to act in accordance with BO requirements. They would ask us for all the information that were relevant, to make sure that they would be able to follow
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5 6 7 8 9	a reference to the consultancy agreement with Pypun and the assignment number; it's obviously addressed to Pypun. Then if we could see what it says it says: "Please check and provide structural comments for the following submission", and then the details are given.	4 5 6 7 8 9	A. Well, by "proactive", it means that we give them an assignment to act in accordance with BO requirements. They would ask us for all the information that were relevant, to make sure that they would be able to follow the BO requirements.Q. All right. But there was never a question, is this
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5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	 a reference to the consultancy agreement with Pypun and the assignment number; it's obviously addressed to Pypun. Then if we could see what it says it says: "Please check and provide structural comments for the following submission", and then the details are given. In the "Remark" column we see: "Please return comments by 30 March 2015." And we can see that it's signed at the bottom of the page by Alex Hung. A. Yes. Q. Is this a typical example of an instruction to Pypun? A. Yes, that is a typical example. Q. Would one of these instructions be issued as a matter of course for all the tasks that Pypun were required to carry out with regard to analysing or providing comments on submissions? A. We would mainly issue an assignment form. Sometimes we 	4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	 A. Well, by "proactive", it means that we give them an assignment to act in accordance with BO requirements. They would ask us for all the information that were relevant, to make sure that they would be able to follow the BO requirements. Q. All right. But there was never a question, is this right, of Pypun coming to you, the BD, and saying, "We think an audit should be carried out on this aspect of the work", or "We think a site inspection should be carried out for this reason"? Was there any of that or not? A. (In English) Can you repeat the question? Q. Yes, sure. Was there ever, to your knowledge, obviously, a situation where Pypun would come to you and say, "We think a site audit ought to be carried out on this aspect of the works"? A. When it comes to site audits, you can refer to PNAP ADM-18. There is appendix 1, listing out a number of
5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	 a reference to the consultancy agreement with Pypun and the assignment number; it's obviously addressed to Pypun. Then if we could see what it says it says: "Please check and provide structural comments for the following submission", and then the details are given. In the "Remark" column we see: "Please return comments by 30 March 2015." And we can see that it's signed at the bottom of the page by Alex Hung. A. Yes. Q. Is this a typical example of an instruction to Pypun? A. Yes, that is a typical example. Q. Would one of these instructions be issued as a matter of course for all the tasks that Pypun were required to carry out with regard to analysing or providing comments on submissions? A. We would mainly issue an assignment form. Sometimes we would make telephone calls or use emails to inform them. 	4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	 A. Well, by "proactive", it means that we give them an assignment to act in accordance with BO requirements. They would ask us for all the information that were relevant, to make sure that they would be able to follow the BO requirements. Q. All right. But there was never a question, is this right, of Pypun coming to you, the BD, and saying, "We think an audit should be carried out on this aspect of the work", or "We think a site inspection should be carried out for this reason"? Was there any of that or not? A. (In English) Can you repeat the question? Q. Yes, sure. Was there ever, to your knowledge, obviously, a situation where Pypun would come to you and say, "We think a site audit ought to be carried out on this aspect of the works"? A. When it comes to site audits, you can refer to PNAP ADM-18. There is appendix 1, listing out a number of items. We would mainly follow the items. We would ask

	Page 9		Page 11
1	an inspection under a particular contract at	1	A. Our records, yes.
2	a particular time? Have you had any experience of that?	2	Q. Yes. It's a list of site inspection, site audit and
3	A. For site inspections, we would first look at the	3	site witness record in relation to contract 1112?
4	type/nature of the site and complexity and the	4	A. Yes.
5	frequency. We would look at a number of things,	5	Q. And those inspections and audits having been carried out
6	including public safety concern, if any, if there is any	6	by both Pypun and the BO team?
7	concern expressed by the media, or if MTRC or Pypun has,	7	A. Right.
8	during meetings or on other occasions, expressed a need,	8	Q. I think it's evident, is it not, from the records, and
9	we would arrange for a site inspection.	9	the list that you prepared here, that there was no site
10	Q. All right. Because in your witness statement at	10	inspection carried out by the BO team and Pypun when the
11	paragraph 1(3) at page 2187 you say:	11	EWL slab was being constructed under contract 1112?
12	"My duties include, amongst other things", and then	12	A. EWL slab, according to the record, there was no
13	it's (3):	13	inspection. However, at the time of the diaphragm wall
14	"providing structural advice on site matters and	14	being constructed, we conducted a site visit of
15	conducting joint site inspection with SEs if and when	15	a site witness.
16	necessary"	16	Q. Yes, certainly back in 2014, Mr Lok, the records show
17	Then in paragraph 28 of your witness statement, you	17	that there was indeed a degree of focus on the diaphragm
18	say:	18	walls.
19	"Site inspection by the BO team and the BSRC team	19	A. Yes.
20	will be carried out as needed"	20	Q. Because the very first entry on your list, on 9 July
21	A. Yes.	21	2013, was a site inspection where "checking monitoring
22	Q. I was wondering how you determined whether and when	22	for D-wall construction" took place; yes?
23	there was a need; when did it become necessary?	23	A. Yes.
24	A. As I mentioned in paragraph 28, if there were public	24	Q. And we also know that on 21 January 2014, item 7, there
25	safety issues, and if some of our colleagues might have	25	was an inspection for the diaphragm wall excavation.
	Page 10		Page 12
1			
1	spotted areas of concern, say for example certain types	1	A. Yes.
1 2	spotted areas of concern, say for example certain types of work were being carried out at the site or the media	1 2	A. Yes.Q. We also know, because we looked at this with Mr Yueng,
2	of work were being carried out at the site or the media	2	Q. We also know, because we looked at this with Mr Yueng,
2 3	of work were being carried out at the site or the media expressed a concern let me give you an example. In	2 3	Q. We also know, because we looked at this with Mr Yueng, that there was indeed a site audit in relation to the
2 3 4	of work were being carried out at the site or the media expressed a concern let me give you an example. In March 2018, in the North Approach Tunnel at the stitch	2 3 4	Q. We also know, because we looked at this with Mr Yueng, that there was indeed a site audit in relation to the couplers on 22 and 24 January, which is also shown on
2 3 4 5	of work were being carried out at the site or the media expressed a concern let me give you an example. In March 2018, in the North Approach Tunnel at the stitch joint, there was a problem. At that time, we carried	2 3 4 5	Q. We also know, because we looked at this with Mr Yueng, that there was indeed a site audit in relation to the couplers on 22 and 24 January, which is also shown on your chart or your list.
2 3 4 5 6	of work were being carried out at the site or the media expressed a concern let me give you an example. In March 2018, in the North Approach Tunnel at the stitch joint, there was a problem. At that time, we carried out one site inspection.	2 3 4 5 6	Q. We also know, because we looked at this with Mr Yueng, that there was indeed a site audit in relation to the couplers on 22 and 24 January, which is also shown on your chart or your list.A. Yes.
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	Page 13		Page 15
1	the concrete.	1	A. Yes.
2	Q. Right. I can see a couple of references, Mr Lok. There	2	Q. All right. And in terms of your own personal site
3	is one at 51, which is the hammer test for the	3	inspections, Mr Lok, leaving aside this year, 2018,
4	transformer building.	4	where you have obviously made a number of visits more
5	A. Yes.	5	recently, for obvious reasons, I've only managed to find
6	Q. Does that have anything to do with either of the slabs?	6	one reference, which is number 53 on page 4794, when you
7	A. Not for slabs. This is really for columns and vertical	7	apparently carried out a general site inspection; do you
8	members.	8	see that?
9	Q. Understood.	9	A. I can see that.
10	CHAIRMAN: And 59	10	Q. Do you recall whether that event, that site inspection,
11	MR PENNICOTT: And 54 and	11	was triggered by anything in particular, or not?
12	CHAIRMAN: 59.	12	A. My intent was to go to the site, take a walk and see the
13	MR PENNICOTT: 54, 56 and 59, yes, indeed a number of	13	progress on site. Because I took up the post in January
14	hammer tests?	14	2016, I wanted to seek an understanding into the
15	A. Yes.	15	condition on site.
16	MR PENNICOTT: I see.	16	Q. All right. Understood.
17	CHAIRMAN: What is a hammer test?	17	Mr Mok, I believe that you were aware, would have
18	MR PENNICOTT: A good question, sir.	18	been aware when you took up your post, that there was
19	CHAIRMAN: Sorry, you were probably going to ask it in the		a quality supervision plan, a QSP, in relation to
20	next question.	20	coupler installation and in relation to, in particular,
21	MR PENNICOTT: I might have done!	21	the rebar connections to the diaphragm walls.
22	A. This is for testing the strength of the concrete.	22	A. I understand.
23	Q. Yes. We can see thank you for pointing this out	23	Q. You probably understand also that that quality
24	that there was a hammer test for the EWL platform at OTE	24	supervision plan was required as a condition of the
25	level on 7 July 2017, so that would have been two years	25	Buildings Department acceptance of the MTRC's proposals?
	Page 14		Page 16
1	or so not quite two years about 18 months after	1	A. Yes.
2	the completion of the EWL slab. Is that the sort of		
-	the completion of the E (E study, is that the soft of	2	O And what that OSP did was enhanced the supervision that
3		2	Q. And what that QSP did was enhanced the supervision that was required under the Code?
3	time lag that takes place for this sort of test, or	3	was required under the Code?
4	time lag that takes place for this sort of test, or perhaps you were going to explain what it is as well?	3 4	was required under the Code? A. Yes. It is task-specific.
4 5	time lag that takes place for this sort of test, or perhaps you were going to explain what it is as well? A. The tests done at site audits usually followed the	3 4 5	was required under the Code?A. Yes. It is task-specific.Q. Yes. It essentially identified a known risk area, would
4 5 6	time lag that takes place for this sort of test, or perhaps you were going to explain what it is as well?A. The tests done at site audits usually followed the practice note ADM-18. Hammer test is included in that	3 4 5 6	was required under the Code?A. Yes. It is task-specific.Q. Yes. It essentially identified a known risk area, would you agree with that, or potential risk area?
4 5 6 7	time lag that takes place for this sort of test, or perhaps you were going to explain what it is as well?A. The tests done at site audits usually followed the practice note ADM-18. Hammer test is included in that practice note. The EWL slab and the columns should have	3 4 5 6 7	was required under the Code?A. Yes. It is task-specific.Q. Yes. It essentially identified a known risk area, would you agree with that, or potential risk area?A. I can only say that we have a requirement on couplers.
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	Page 17		Page 19
1	A. Yes.	1	the documents that have been submitted, such as the
2	Q. If we go to page H10/5073, we see that what was	2	quality supervision report?
3	submitted included a quality supervision report for the	3	A. Yes, I have read them.
4	diaphragm wall and barrettes; do you see that?	4	Q. Okay. This is a sort of slightly hypothetical question,
5	A. Yes, I see that.	5	Mr Lok, but can you help us with this. At some point,
6	Q. If you turn over the page, we see on 5074 the quality	6	there will need to be a BA14 submission in relation to
7	supervision report is signed off by Mr Jason Wong as the	7	the EWL slab; do you agree?
8	competent person; do you see that?	8	A. (In English) Can you say again?
9	A. I see that.	9	Q. Yes. At some time, there will need to be, from MTR,
10	Q. Then if we go to the next page, please, 5075, we see the	10	a BA14 submission in relation to the EWL slab?
11	first sheet of the inspection records, or inspection	11	A. The EWL slab BA14 is in relation to the certificate
12	record summaries, which run on if you've got the hard	12	of completion submission, but so far it hasn't been
13	copy there, it will be easier for a number of pages	13	submitted yet.
14	for each of the diaphragm wall panels; do you see that?	14	Q. I appreciate it hasn't been submitted, but it will need
15	A. I see that.	15	to be submitted at some point?
16	Q. What we can see here, in relation to let's just focus	16	A. Yes, in the end it has to be submitted.
17	on 5075 for the moment if we go down, we've got EH87;	17	Q. Right. Now, in relation to the let's focus on the
18	do you see that?	18	EWL slab. What documents will the Buildings Department
19	A. Yes.	19	expect to see in relation to the quality supervision of
20	Q. So we've got the panel number. We've then got each cage	20	that element of the works?
21	identified, so it looks as though EH87 had 15 cages; do	21	A. We would make reference of the appendix of the
22	you see that?	22	acceptance letter in relation to mechanical coupler
23	A. Yes.	23	requirements. It includes quality supervision report.
24	Q. Then we've got the inspection dates of each of the	24	Therein, there is a statement signed by the CP
25	cages, and then the result, "Satisfactory" result; do	25	confirming the work that has been done, confirmation of
			e ,
	Page 18		Page 20
1	-	1	Page 20
1 2	Page 18 you see that? A. Yes, I see that.		-
	you see that? A. Yes, I see that.	1	Page 20 [disputed translation; may be "compliance with"] the BO
2	you see that? A. Yes, I see that. Q. As I understand it, we've got those those records	1 2	Page 20 [disputed translation; may be "compliance with"] the BO requirement, also confirmation of the site logbook and the coupler checklist. There are also other
2 3	you see that? A. Yes, I see that.	1 2 3	Page 20 [disputed translation; may be "compliance with"] the BO requirement, also confirmation of the site logbook and
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1	Page 21		Page 23
1	questions.	1	BO requirement"
2	CHAIRMAN: Thank you.	2	I believe it should be "compliance of the BO
3	MR SO: No questions from China Technology.	3	requirement" instead of "confirmation of the BO
4	MR CONNOR: No questions from Atkins. Thank you, sir.	4	requirement".
5	MR BOULDING: I adopt the same caveat as Mr Shieh, and on	5	CHAIRMAN: Thank you. Good.
6		6	Concerning the directions given yesterday about
7	CHAIRMAN: Thank you.	7	final submissions, are there any applications or
8	MR KHAW: No re-examination.	8	concerns?
9	MR COLEMAN: Even without a caveat, no questions.	9	MR BOULDING: Sir, I have a question and a suggestion.
10	-	10	CHAIRMAN: Yes.
11	COMMISSIONER HANSFORD: No, nothing from me.	11	MR BOULDING: So far as the question is concerned and
12	-	12	I don't expect an answer today we would find it
13		13	helpful to know in due course what the order of the oral
14		14	submissions will be. No need for it today but in due
15		15	course we will need to know that.
16		16	CHAIRMAN: Yes.
17	-	17	MR BOULDING: We are content with the directions you have
18		18	handed down. So I come to my suggestion. I know how
19	*	19	busy people like you are, and you have to work on
20			trains, planes, and so on and so forth. I wonder
21		21	whether, in addition to 100 pages, it might be useful to
22		22	you to have a box file per submission with all the very,
23		23	very key documents in it. It's just a suggestion.
24	-	24	MR PENNICOTT: Sir, this is a matter that Mr Boulding was
25		25	kindly able to share with me last night. Sir, I think
	Page 22		Page 24
1		1	in principle it's a good idea. What I would, however,
2	-	2	encourage and I think this was being emphasised by
3	MR PENNICOTT: Sir, it does indeed, but subject to this	3	Mr Boulding in what he just said is that it really is
4	caveat: that I need again, for the purposes of formality		
-	euteut under meete uguin, for une purposes of formanty	4	the key documents, or the key part of the transcript,
5		4 5	the key documents, or the key part of the transcript, and it is limited to a box file. We don't, with
5	and completeness, just to say this, that three		
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	Page 25		Page 27
1	obviously we've got to read each other's submissions,	1	have adjourned, and we will do so there's a room
2	and if there is a box file of very, very key documents	2	here, so we can all meet. There's just a couple of
3	that we can just look at, that will save a lot of time	3	matters, or a single matter, actually, we wish to
4	and effort in terms of locating them. So we will	4	discuss with counsel, purely and simply on
5	proceed on that basis, sir.	5	an administrative basis. It's a Commission of Inquiry.
6	CHAIRMAN: Yes.	6	Sometimes, we think that the way forward can best be
7	MR PENNICOTT: In anticipation of you agreeing to that	7	decided if we have the assistance of all counsel. So we
8	proposal, I took instructions from those instructing me	8	will gather as soon as we adjourn; all right?
9	this morning, and they have indicated that any party who	9	There's no need for the solicitors to come. That's
10	should take that step of providing the box file, we	10	not in any way to say that their assistance is not
11	would be grateful if it could be served both in hard	11	always of the greatest help, but we have seating
12	copy and soft copy.	12	limitations and the like.
13	CHAIRMAN: All right. I think today that's not too	13	Thank you very much. May I wish everybody a very
14	difficult. But again, there's no obligation on anybody	14	good festive season and I relish the prospect of seeing
15	to do this, but it would be very helpful as far as	15	you in the New Year. Thank you.
16	Prof Hansford and I are concerned.	16	(10.58 am)
17	Any further applications?	17	(The hearing adjourned until 10.00 am
18	MR SHIEH: One question. At present, we are advised that	18	on Wednesday, 9 January 2019)
19	the end date for all the hearings would be 29 January,	19	
20	which is a Tuesday.	20	
21	CHAIRMAN: That's right.	21	
22	MR SHIEH: From the time limits given by the Commission	22	
23	yesterday in relation to oral submissions, do I take it	23	
24	that the oral submissions would take place on 28th and	24	
25	29th, which would be Monday and Tuesday?	25	
	De se 26		
	Page 26		Page 28
1	Page 20 MR PENNICOTT: Sir, so far as consideration has been given	1	Page 28 INDEX
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