	Page 1		Page 3
1	Thursday, 13 June 2019	1	we see your photograph, I think it's the fourth
2	(10.02 am)	2	photograph down. Is that you?
3	MR BOULDING: Good morning, sir. Good morning, Professor.	3	A. Yes. Yes.
4	My next witness from MTR is Mr Victor Tung.	4	Q. Splendid. Then to see, if at all, how things moved on
5	Good morning, Mr Tung.	5	by August of that year, could we go to B2/578, please.
6	WITNESS: (In English) Good morning.	6	And here, in the top left-hand column, do we see the
7	MR TUNG HIU YEUNG, VICTOR (affirmed in Cantonese)	7	date of 16 August 2016?
8	(All answers given via simultaneous interpreter	8	A. Yes.
9	except where otherwise specified)	9	Q. If you look at can you see Michael Fu at the very top
10	MR BOULDING: Good morning, Mr Tung.	10	of the tree?
11	A. Good morning.	11	A. Yes.
12	Q. You are going to give your evidence in Cantonese, as	12	Q. And if we go down, immediately below Michael Fu, we can
13	I understand it, so I'm putting my headphones on.	13	see a Kenneth Kong, and then there's a picture of you
14	A. (In English) Yes.	14	but with the name "Victor Tang" next to it. Is that in
15	Q. It's correct, is it not, that you have produced two	15	fact you, Mr Tung?
16	witness statements for the assistance of the learned	16	A. Yes, that's a typo. It should be "Tung", yes.
17	Commissioners in this Inquiry?	17	Q. Right. Thank you very much.
18	A. Yes.	18	I just want to ask you, with the Commissioners'
19	Q. I wonder if we could look at the first one, please.	19	leave, one or two questions about a matter arising
20	Page BB8/5248.	20	during the course of the evidence.
21	A. Yes.	21	I wonder if we could go back to your first witness
22	Q. And there we see the first page of your first statement,	22	statement, please, page 5256. And there do you see,
23	Mr Tung?	23	Mr Tung, paragraph 35 of your witness statement?
24	A. Yes.	24	A. Yes.
25	Q. Could we go on, please, to the signature page, which	25	Q. It's correct, is it not, that here you deal with the
	Page 2		Page 4
1	I hope we will find at page 5260.	1	incident you refer to in the VRV room?
2	Is that your signature, above the date of 15 May	2	A. Yes.
3	2019?	3	Q. And here you tell us that:
4	A. Correct.	4	"On 30 June 2017, we were asked to carry out
5	Q. We are now going to go to your supplemental witness	5	a hold-point inspection of rebar fixing works at the VRV
6	statement which I trust we will find in	6	room. We discovered that there was incomplete fixing of
7	bundle BB14/9497.1.	7	couplers and rejected the works accordingly."
8	A. Yes.	8	Is that what you did, Mr Tung?
9	Q. There do we see the first page of your second witness	9	A. Yes.
10	statement, Mr Tung?	10	Q. Then looking at paragraph (2):
11	A. Yes, correct.	11	"However, Leighton decided to proceed to cast
12	Q. Then if we could go on, please, in the bundle to 9497.4,	12	concrete despite the rejection of the rebar fixing works
13	and there do we see your signature, above the date of	13	and before requesting MTR to carry out a pre-pour
14	6 June 2019?	14	check."
15	A. Correct.	15	As I understand it, that's something that Leighton
16	Q. Are the contents of those statements true to the best of	16	should not have done; is that right?
17	your knowledge and belief?	17	A. Yes, that's right.
	A. Yes.	18	Q. Then in paragraph 3 you deal, do you not, with an email
18		10	
19	Q. Now, I'd like to place you in the MTR organisation, and		of complaint from your colleague, Jason Kwok, to
19 20	Q. Now, I'd like to place you in the MTR organisation, and for that purpose perhaps we can go first to	20	Leighton's Ronald Leung, and I understand that you were
19 20 21	Q. Now, I'd like to place you in the MTR organisation, and for that purpose perhaps we can go first to bundle B2/566.	20 21	Leighton's Ronald Leung, and I understand that you were copied in on that email. Is that right?
19 20 21 22	<ul><li>Q. Now, I'd like to place you in the MTR organisation, and for that purpose perhaps we can go first to bundle B2/566.</li><li>Do you see, Mr Tung, that we are looking here at the</li></ul>	20 21 22	Leighton's Ronald Leung, and I understand that you were copied in on that email. Is that right? A. Yes. Correct.
19 20 21 22 23	<ul><li>Q. Now, I'd like to place you in the MTR organisation, and for that purpose perhaps we can go first to bundle B2/566.</li><li>Do you see, Mr Tung, that we are looking here at the MTR organisation chart as of 15 January 2015; correct?</li></ul>	20 21 22 23	<ul><li>Leighton's Ronald Leung, and I understand that you were copied in on that email. Is that right?</li><li>A. Yes. Correct.</li><li>Q. Then I think finally, so far as the incident is</li></ul>
19 20 21 22	<ul><li>Q. Now, I'd like to place you in the MTR organisation, and for that purpose perhaps we can go first to bundle B2/566.</li><li>Do you see, Mr Tung, that we are looking here at the</li></ul>	20 21 22	Leighton's Ronald Leung, and I understand that you were copied in on that email. Is that right? A. Yes. Correct.

1 (Pages 1 to 4)

	Page 5		Page 7
1	a thing again. Is that correct?	1	learned Commissioners that you had an opportunity to
2	A. Yes.	2	read before giving your evidence?
3	Q. Now, do I understand that you've been following the	3	A. Yes.
4	evidence of the Commission of Inquiry insofar as it	4	Q. We can see what it says:
5	relates to this VRV incident?	5	"Further to our discussion refer attached items
6	A. Yes. Correct.	6	related to the HHS phased opening close out for
7	Q. I wonder if we could look at the transcript for Day 10	7	accommodation blocks package:
8	of the hearing, and when we are there go to page 126.	8	PWD226 design report justification of MJ in VRV
9	Splendid. I'd like to pick it up at line 7.	9	base slab."
10	Do I understand it that you've had the opportunity	10	Then if we could go on to BB6330, and do we see
11	to read this part of the transcript, Mr Tung?	11	there, Mr Tung, the first page of a report produced by
12	A. Yes.	12	the Leighton engineering and design group entitled,
12	Q. I pick it up at line 6 or line 8. Mr Pennicott is	12	"Review of VRV base slab around construction joint"?
14	questioning Mr Fu and he says:	13	A. Yes.
14	"And in relation to this VRV room the reason I'm	14	Q. Have you had an opportunity to consider the contents of
15 16	asking you these questions is to see whether we can put	15 16	that document?
10	this on one side for a moment for forever. You	10	A. Sorry, can you repeat the question?
17	mention an email from Mr Holden of 15 March 2019. Is		Q. Have you had an opportunity to consider the contents of
18	this the email that you are referring to, Mr Fu?	10 19	the document which starts at page 6330?
19 20	Answer: Yes, I can see that. Yes.	20	A. Consider? Sorry, I still don't get it. What do you
20	Question: It says it's from Mr Holden to Jacky	20 21	mean by "consider"? Do you mean whether I have read it?
21		21	Q. Yes, the word "read" will do. Have you had
22	Lee, and what Mr Holden says is: 'Further to our discussion refer attached items	22	-
		23 24	an opportunity to read it? A. Yes.
24	related to the HHS phased opening close out for	24 25	
25	accommodation blocks package'.	23	Q. Good. Having read this document, Mr Tung, does the
1	Page 6	1	Page 8
	And the first item is the 'PWD226 design report	1	document concern the structural integrity of the area in
2	justification of MJ', which I think is movement joint,	2	the VRV room in which the incomplete fixing of the
3	'in VRV base slab'; do you see that?	3	coupler incident to which you refer in paragraph 35 of
4	Answer: I see that.	4	your statement occurred?
5	Question: And attached to this email is a very	5	A. Looking at the report, referring to the Leighton report,
6	lengthy report. If we can just go over the page,	6	the impact is not large, but it's still under review.
7	please:	7	Q. I see. Just to pick up the report because I don't think
8	'Review of VRV base slab around construction joint.'	8	we've looked at it certainly in any detail before
9	Then over the page and it goes on for a little	9	perhaps you could go to BB6332. There do you see
10	while. We are not going to look at it.	10	paragraph 1.2, "Purpose and overview of temporary
11	In your witness statement, Mr Fu, you say, in	11	works"?
12	relation to this particular item:	12	Have you read this paragraph, Mr Tung?
13	'As the investigation and follow-up action in this	13	A. Yes.
14	regard are still ongoing, I will update the Commission	14	Q. We can see, can we not, that it states:
15	of Inquiry when more information is available.'	15	"This design report focuses on a VRV base slab at
16	Would you like this opportunity to give us	16	grade A-J between grid A-8 to A-9. The slab is
17	an update, if you are able?	17	a 500 millimetres thick on-grade slab with several local
18	Answer: Right now, we are still doing the review."	18	300 millimetre thick concrete plinths slab on it for
19	Do you remember reading that evidence from Mr Fu?	19	resting several AHU units and cast with a construction
20	A. Yes, I read it.	20	joint between a main bay and an end bay."
21	Q. Have you had an opportunity to look at what Mr Fu was	21	Then there's a reference to figure 1 below.
22	referring to as being reviewed?	22	"This design is prepared to review condition of the
23	A. Now, before I came to give evidence, I read that email.	23	slab given a concern on rebar connectivity at the
24	Q. I see. I wonder if I could turn that up, perhaps.	24	construction joint."
25	BB6328. Is this the email that you just told the	25	Then if we look over the page, 6333, do you see

	Page 9		Page 11
1	there paragraph 2.4, "Design approach"?	1	Q. From your witness statement, I understand that your
2	A. Yes, I see it.	2	involvement in contract 1112 was between January 2015
3	Q. And again is this a paragraph you've had an opportunity		and December 2018; is that correct?
4	to read, Mr Tung?	4	A. Correct.
5	A. Yes.	5	Q. If we go to B2/566. My learned friend Mr Boulding has
6	Q. I don't want to read it all but do we see that in the	6	just taken you there. We see your name is the fourth on
7	first paragraph it says:	7	the second column. Do you see your name, the second
8	"Checking of the slab will be carried out by	8	column from the left, the fourth is you; right?
9	reviewing the resulted load effect. The slab will be	9	A. Yes.
10	conservatively assumed as two individual slabs at both	10	Q. As we can see from this chart, you were responsible for
11	sides of the construction joint assuming no rebar	11	HHS; correct?
12	continuity across the construction joint for	12	A. Correct.
13	simplification."	13	Q. Then now if we can go to B2/598, we again can see you
14	And then finally 2.5, the conclusion, again do	14	below James Ho; right? It's slightly if you see,
15	I understand that you've had an opportunity to read	15	slightly on the right-hand side, you see your name
16	that?	16	there, just below James Ho?
17	A. Yes.	17	A. Yes. Yes, I see it.
18	Q. And it says:	18	Q. By that time, your responsibilities extended to HUH,
19	"Based on the review to the slab condition in	19	HHS, SAT, NAT and Con; "Con" means concourse, right?
20	section 3.1 and 3.2, it can be concluded that effect of	20	A. Well, to be accurate, it was the whole site.
21	construction joint condition to the VRV base slab is	21	Q. By that time, we can see pick up the date from the
22	minimum."	22	top of the chart, which is 31 July 2018; correct?
23	So we can see there, can we not, what Leighton	23	A. Correct. I see it.
24	invite the reader to conclude; is that right?	24	Q. As I understand from your witness statement, let's leave
25	A. According to this conclusion, they are describing it	25	aside the concourse and HUH, which is the Hung Hom
	Page 10		Page 12
1	correctly, but it's still under review. It's not	1	Extension Station. Your focus was mainly in respect of
2	approved.	2	HHS and SAT; correct?
3	Q. And you say it's under review. Do I understand that	3	A. Correct.
4	it's under review by MTR to see whether or not that	4	Q. And your involvement with NAT was more limited; correct?
5	conclusion is in fact justified? Is that the purpose of	5	A. Correct.
6	the review?	6	
7		-	Q. Now, if I may just take you to paragraph 20 of your
~	A. Yes, that's correct.	7	Q. Now, if I may just take you to paragraph 20 of your witness statement, 5252 of BB8.
8	Q. Thank you very much, Mr Tung. I have no further		witness statement, 5252 of BB8. Here you say:
8 9	Q. Thank you very much, Mr Tung. I have no further questions for you, but I understand that Mr Calvin	7	witness statement, 5252 of BB8. Here you say: "At the time when I became involved in SCL
9 10	Q. Thank you very much, Mr Tung. I have no further questions for you, but I understand that Mr Calvin Cheuk, who is one of the counsel for the Commission, is	7 8	witness statement, 5252 of BB8. Here you say: "At the time when I became involved in SCL contract 1112 in January 2015, there was a persistent
9 10 11	Q. Thank you very much, Mr Tung. I have no further questions for you, but I understand that Mr Calvin Cheuk, who is one of the counsel for the Commission, is going to ask you some questions first. Then various	7 8 9 10 11	witness statement, 5252 of BB8. Here you say: "At the time when I became involved in SCL contract 1112 in January 2015, there was a persistent problem with Leighton's late or outstanding submissions
9 10 11 12	Q. Thank you very much, Mr Tung. I have no further questions for you, but I understand that Mr Calvin Cheuk, who is one of the counsel for the Commission, is going to ask you some questions first. Then various other lawyers in the room will ask you questions. The	7 8 9 10 11 12	witness statement, 5252 of BB8. Here you say: "At the time when I became involved in SCL contract 1112 in January 2015, there was a persistent problem with Leighton's late or outstanding submissions of RISC forms."
9 10 11 12 13	Q. Thank you very much, Mr Tung. I have no further questions for you, but I understand that Mr Calvin Cheuk, who is one of the counsel for the Commission, is going to ask you some questions first. Then various other lawyers in the room will ask you questions. The learned Commissioners can ask you questions at any time	7 8 9 10 11 12 13	<ul> <li>witness statement, 5252 of BB8.</li> <li>Here you say:</li> <li>"At the time when I became involved in SCL contract 1112 in January 2015, there was a persistent problem with Leighton's late or outstanding submissions of RISC forms."</li> <li>Do you see that?</li> </ul>
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<ul> <li>9</li> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> </ul>	<ul> <li>Q. Thank you very much, Mr Tung. I have no further questions for you, but I understand that Mr Calvin Cheuk, who is one of the counsel for the Commission, is going to ask you some questions first. Then various other lawyers in the room will ask you questions. The learned Commissioners can ask you questions at any time they like. Then, depending on what you say, it may well be that I'll ask you one or two questions at the end. Do you understand?</li> <li>A. I understand.</li> <li>MR BOULDING: Please listen carefully.</li> <li>WITNESS: (In English) Thank you. Okay. Examination by MR CHEUK</li> </ul>	7 8 9 10 11 12 13 14 15 16 17 18 19 20	<ul> <li>witness statement, 5252 of BB8.</li> <li>Here you say:</li> <li>"At the time when I became involved in SCL contract 1112 in January 2015, there was a persistent problem with Leighton's late or outstanding submissions of RISC forms."</li> <li>Do you see that?</li> <li>A. I see it.</li> <li>Q. And now if we go to paragraph 37. That's at page 5257. You also say:</li> <li>"Had we insisted on proper submission of RISC forms by Leighton strictly before each and every hold-point inspection was allowed to take place, site progress would have been seriously affected."</li> </ul>
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	D 12		D 15
1	Page 13		Page 15
1	Q. Now if we go to CC8/4397. You can take it from me that	1	to the senior inspector, and then they would dump it in
2	this is a chart of the SAT summary table prepared by	2	the tray.
3	Leighton; okay?	3	So my impression is that even if they were to submit
4	And if we go to, for example, the first item, first	4	it on time, it was very rare to receive it within ten
5	row, "SAT bay 1"; okay? And if we look at the date, the	5	minutes.
6	start date of fixing rebar, that's in the middle, we can	6	Q. Fully understood. That's very helpful, Mr Tung.
7	see the date is 23 January 2016. Do you see that?	7	So let's say that period takes about one day or
8	A. Yes, I see it.	8	might be slightly more than one day. I just wonder,
9	Q. And the end date of rebar fixing is 27 January 2016; do	9	according to your experience, is it possible that the
10	you see that?	10	relevant Leighton engineer could have anticipated, for
11	A. Yes, I see it.	11	example, in relation to the bay 1 area I just took you
12	Q. Mr Tung, you have experience of filling in a RISC form	12	to could have anticipated one day before the relevant
12	by yourself; right?	12	hold-point inspection and therefore submitted the RISC
13	A. Yes.	13	form one day beforehand? Would that be possible?
14	Q. Can I ask you, can you give us an estimate, say for	14	A. Yes.
	· · · · ·		
16 17	a Leighton engineer to generate a RISC form, and then the RISC form pages to the administrative against of	16 17	Q. And if we look back to the screen, for example, looking
17	the RISC form passes to the administrative assistant of MTPC and there ultimately passes to the hands of MTPC's	17	at SAT bay 1, the commencement date was on the 23rd and
18	MTRC, and then ultimately passes to the hands of MTRC's		the completion date was on the 27th. You see that;
19	engineer or IOW to carry out an inspection what sort	19	right?
20	of time would you say it takes?	20	A. Yes, I see it.
21	A. It would take more than a day, according to my estimate.	21	Q. If that relevant Leighton engineer submitted the form,
22	Q. Let's say I'm not talking about up to the whole	22	for example, on the 26th or even on the evening of the
23	closing out of the RISC form; okay? I'm talking about	23	25th, anticipating that the rebar fixing will be
24	from the time of printing out the RISC form by Leighton	24	completed on the 27th. Then, by the time the rebar
25	and then pass it to administrative assistant and then	25	fixing is completed, then everybody can carry out the
	Page 14		Page 16
1	would pass it to the senior IOW and then would pass to	1	hold-point inspection, without any significant or
2	the relevant engineers or IOWs to carry out the	2	substantial delay. Is that correct?
3	hold-point inspection. We are just talking of this	3	A. Yes, it should be possible, if they have sufficient
4	period first. According to your estimate, is that about	4	
•	period first. Theeofung to your estimate, is that about		staff
5	one day, or how long would you say?	5	staff. $\Omega$ And this actually seems to me, correct me if Lam wrong
5	one day, or how long would you say?	5 6	Q. And this actually seems to me, correct me if I am wrong,
6	A. It is one day, but according to my understanding, first	6	Q. And this actually seems to me, correct me if I am wrong, it's not just it's a matter of planning ahead; would
6 7	A. It is one day, but according to my understanding, first of all, the Leighton engineers, they have to find a form	6 7	Q. And this actually seems to me, correct me if I am wrong, it's not just it's a matter of planning ahead; would you agree?
6 7 8	A. It is one day, but according to my understanding, first of all, the Leighton engineers, they have to find a form from their computer, they print it out and attach	6 7 8	<ul><li>Q. And this actually seems to me, correct me if I am wrong, it's not just it's a matter of planning ahead; would you agree?</li><li>A. Can you repeat your question?</li></ul>
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	Page 17		Page 19
1	dates these pours basically range from 26 November	1	Can I ask you, apart from raising the issue or
2	2015, that is at pour number 4, if we can go back up	2	complaint with Leighton, did you or your colleagues at
3	a bit we can see that's the earliest pour in this	3	MTRC do anything else, asking or forcing Leighton to
4	sheet and if we go down to pour number 20b, the	4	comply with the RISC forms?
5	latest, the last pour, was 27 February 2017.	5	A. As far as I am aware, Kenneth Kong issued emails, also
6	So I did a rough calculation: the whole period was	6	CK Cheung. It was in about mid-2015. They issued
7	about 15 months. That's more or less	7	emails. Yes, I think it was CK Cheung.
8	A. From 1 to 24 well, because I was involved in the	8	Q. Yes, we've seen those complaints several times, but what
9	monitoring, but from 25 to 46, that's not under my	9	I'm asking is, apart from complaint, any substantive
10	charge so I cannot confirm the number, therefore.	10	action such as holding up the inspection and tell
11	Q. Yes, certainly I'm not asking you to confirm. You can	11	Leighton, "I will not allow this to happen again unless
12	take it from me these are the assumption of dates we are	12	you comply with the RISC form procedure"? Was that
13	working at.	12	sort of substantive action ever taken by MTRC?
14	A. Yes, understood.	14	A. Well, that I couldn't recall exactly.
15	Q. What I am driving at, we are talking about around	15	Q. But you yourself certainly didn't say anything like that
16	40 pours over 15 months, which is about two to three	16	to Leighton; right?
17	pours per month. Would you consider it is onerous for,	17	A. I did, actually, for a period, but it was a very short
18	for example, an engineer in Leighton to do that planning		period. They would WhatsApp the inspection form, copied
19	one day or two days ahead, so as to prevent any delay to	19	to me. But it was for a very short period, because at
20	carry out the hold-point inspection?	20	the end, it was still late submission.
21	A. I don't know how to answer that question, because	21	Q. But you have not actually refused to carry out
22	now, for every engineer, is he or she just in charge of	22	a hold-point inspection because of no RISC form?
23	the concrete pours? Maybe, for this base slab, there	23	A. Could you repeat your question?
24	are ELS and other issues that they have to follow up on,	24	Q. You have not refused to carry out a hold-point
25	so do they have enough time to prepare the form? Well,	25	inspection because of the lack of RISC form; is that
	Page 18		Page 20
1	I can't really speak for them.	1	right?
2	CHAIRMAN: I think what's being suggested is that you can't	2	A. No, I did not do so.
3	come to a conclusion unless you look at their overall	3	Q. And you described an example in your witness statement.
4	work responsibilities.	4	If we go to BB14/9437. Do you recall this? It's your
5	MR CHEUK: I understand.	5	WhatsApp?
6	And of course, if we say there is and in your	6	A. Yes, I remember it very well.
7	view, as I understand, that will let me start again.	7	Q. What this WhatsApp is about is there was one time that
8	If their overwork workload allowed them to do the	8	Leighton's engineer sent you four months' RISC forms in
9	planning ahead, which I just suggested to you, then you	9	one go; correct?
10	would agree there should not be any substantial delay by	10	A. Yes, correct.
11	the compliance of this RISC form procedure; would you	11	Q. That's why you gave him that angry emoji; right?
12	agree with me?	12	A. Yes. They gave me a whole batch of forms and it took me
13	A. Can you please repeat your question?	13	a long time to find the records to match the forms.
14	Q. If the resources or if the workload of that particular	14	Q. Yes. After receiving these four months' RISC forms in
15	Leighton engineer would allow him to do the planning	15	one go, what substantive action did you take in respect
16	ahead which I have just suggested to you, then the	16	of that?
17	compliance of the RISC form procedure should not cause	17	A. Well, I just pursued them to submit the forms.
18	any substantial delay to the project; would you agree?	18	Q. Now, let's move on to a slightly different topic.
19	A. Yes, I agree.	19	BB14 back to your witness statement, your
20	Q. Now, when going back to your statement, at page 5257,	20	supplemental witness statement 9497.2, paragraph 4.
21	paragraph 37 you say here:	21	I understand that here you explain an example how you
22	"Had we insisted on proper submission of RISC forms	22	can fill in a RISC form despite its late submission;
23	by Leighton strictly before each and every hold-point	23	correct?
24	inspection was allowed to take place, site progress	24	A. Yes.
25	would have been seriously affected."	25	Q. If we go to 9497.6, that's the relevant Leighton RISC

	Page 21		Page 23
1	form.	1	COMMISSIONER HANSFORD: Sorry, I don't quite understand. So
2	A. Yes.	2	you had this big batch, like four months' worth, and
3	Q. We see that this is a pre-pour check at HHS; correct?	3	then it took you two weeks, at the end of the day, the
4	A. Yes, correct.	4	end of each day, two weeks to complete; is that what you
5	COMMISSIONER HANSFORD: It's on the screen.	5	are telling me?
6	MR CHEUK: It's on the screen, the first part A, the first	6	A. Well, actually, every day when I do the site walk, after
7	item and the second item.	7	I return to the office, by 5 o'clock, I use the
8	We also see that the hold-point inspection was	8	remainder of my time and I use my WhatsApp phone
9	carried out on 8 April. If we go down a bit, that	9	function and I go through all this information.
10	describes the hold-point inspection's date and time,	10	So why I was so angry on that occasion, because they
11	8 April, at 1530; right?	11	gave me four months' worth of documents, I had to use
12	A. Yes, that's correct.	12	a lot of my personal time to do this work.
13	Q. And that was by you; we see your name there?	13	COMMISSIONER HANSFORD: I understand.
14	A. Yes.	14	MR CHEUK: That's very conscientious of you, but I just
15	Q. But you only completed this part of the form on 27 April	15	wonder, do you know, would your other colleagues, like
16	2016; correct?	16	you, have the same level of diligence and carry out such
17	A. Because I only received it on 27 April 2016.	17	exercise just like you when they receive a late
18	Q. I'm certainly not putting blame on you; I'm just trying	18	submission?
19	to locate the exact date.	19	A. Well, I cannot speak on my colleagues' behalf.
20	A. But the inspection date, it was on 8 April.	20	I wouldn't know anyway.
21	Q. Yes, we understood that.	21	Q. Let's move on to a slightly different topic. If we go
22	A. (In English) Okay.	22	back to your witness statement, paragraph 35, 5256.
23	Q. Then you explain in your witness statement the reason	23	This is a paragraph my learned friend Mr Boulding took
24	why you can correctly fill in this form retrospectively	24	you to at the start of your evidence; do you recall?
25	was relying on your WhatsApp messages and photos; right?	25	A. Yes, I recall.
	Page 22		Page 24
1	Page 22 A. Correct.	1	Page 24 Q. It's about an incident at the VRV room; right?
1 2	-	1 2	
	A. Correct.		Q. It's about an incident at the VRV room; right?
2	<ul><li>A. Correct.</li><li>Q. I do not intend to go into all those photos and WhatsApp</li></ul>	2	<ul><li>Q. It's about an incident at the VRV room; right?</li><li>A. Yes.</li></ul>
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	Page 25		Page 27
1	incident, BB8/5789.	1	A. This is obvious. You can see it at first glance.
2	A. I see it.	2	(Using magnifying device) Very obvious. We have two
3	Q. This is an email from Jason Kwok to Ronald Leung.	3	threaded sections exposed.
4	Jason Kwok is MTR and Ronald Leung is Leighton; right?		Q. Thank you.
5	A. Correct.	5	Then, this morning, my learned friend Mr Boulding
6	Q. You were copied in. We see your name in the middle, cc		took you to the report, an email and a report, which we
7	Victor Tung; correct?	7	can find at BB9/6328. If we can blow up a little bit
8	A. Yes.	8	this email. The first bullet point, Mr Tung, refers to
9	Q. The email says:	9	the design report justification of MJ in VRV base slab.
10	"Dear Ronald,	10	If we go to the next page, 6332 maybe, it talks
11	Please note that the rebar inspection was rejected	11	about the purpose of this report:
11		11	· · ·
12	this pm for the remaining footing at VRV unit, due to	12	"This design report focuses on a VRV base slab at
	incomplete fixing of the coupler, refer to the attached		grid A to J between grid A-8 to A-9."
14	photos. More than half of the coupler at the B1 rebar	14	Is this the exact location we are talking about in
15	were not properly fixed. Your engineer did not rectify	15	your witness statement?
16	the defects and decided to cast concrete anyway. It is	16	A. Yes.
17	also note that general cleaning inspection was not	17	Q. And is this report compiled because of that incident?
18	arranged with our IOW before pouring concrete. This is	18	A. I think so.
19	unacceptable."	19	Q. If we go back to the RISC form itself, BB8/5796.
20	Just to confirm, it says, "Your engineer did not	20	Am I right in understanding that this RISC form is in
21	rectify the defects and decided to cast concrete	21	relation to that incident that MTRC discovered?
22	anyway." Leighton did not just decide to cast concrete	22	A. Yes.
23	anyway but actually poured concrete successfully before	23	Q. If we go down a little bit, on the right-hand side it
24	MTRC's discovery. Is that correct?	24	says:
25	A. They were in the process of pouring concrete.	25	"Leighton please review your ITP system and brief to
	Page 26		Page 28
1	Q. Was the pouring completed when MTRC discovered that?	1	your front staff, it is totally unacceptable, and please
2	A. It was almost complete.	2	tell me how to prevent the problem occur again."
3	Q. And therefore, when we look at the photos, the next	3	Is that your handwriting?
4	page, these photos refer to the time of rebar inspection	4	A. Yes.
5	before the pouring of concrete; correct?	5	Q. And we see your signature, right
6	A. Yes.	6	A. (In English) Yes.
7	Q. And in this photo, can you tell us where can we see the	7	Q on there; right?
8	improper connection?	8	A. Yes.
9	Do you have the new gadget? That might help.	9	Q. So, according to Ronald Leung, Leighton's engineer, he
10	A. Could you blow up the picture? Could you blow it up	10	said there was no review of Leighton's ITP and he did
11	a little bit more? Move down. Go up. (Using	11	not get back to you on any proposal on how to prevent
12	magnifying device). Now, after magnifying the picture,	12	the same problem from happening again. Is that correct?
13	I can identify this bar. It looks like we have some	13	A. No, he never came back. Now, for this inspection form,
14	thread there.	14	after we signed it, we would give it to the Leighton's
15	Q. Anything else you would like to point out? Would you	15	QA/QC department. Now, this handwriting here was for
16	like to go down a little bit?	16	the QC manager really. The Leighton engineer certainly
17	A. I can only see this one.	17	would not get to read it.
18	Q. Can we	18	Q. But yourself, you have never received any response in
10	A. And then nothing else.	19	relation to your request here from him; right?
20	Q. How about the next page? Anything here you wish to	20	A. Correct.
		20	COMMISSIONER HANSFORD: Sorry, Mr Tung, you were expectin
21	point out?	21	
22	A. I don't see anything here.		a response from Leighton's QA department; is that
23	Q. The next page?	23	correct?
24 25	A. We can see concrete pouring in this picture.	24	A. Yes.
25	Q. The next page?	25	COMMISSIONER HANSFORD: Okay.

	Page 29		Page 31
1	MR CHEUK: And when you received no response, did you ask o	r 1	it? Which do you mean?
2	chase for a response? No?	2	A. Now, everything they do on site, we would know more or
3	A. I forgot about it.	3	less what they are doing. How should I put it? Now, if
4	Q. And was there any investigation carried out by MTRC how	4	they want to pour concrete, of course there would be
5	this could have happened?	5	a concrete skip, we would see it. It's not possible to
6	A. That I do not know.	6	make the concrete skip invisible. We know where there
7	Q. Would you agree that this was actually a very serious	7	is supposed to be a concrete pour and then we will go
8	problem, because Leighton disregarded the two very	8	and check and see if it's acceptable. Although the site
9	important hold-point inspections with half of the	9	is huge but we are doing site walks all the time and
10	couplers improperly connected, and they almost	10	there was a form rejected. Why? Because during our
11	succeeded?	11	site inspection we found that the rebar inspection was
12	A. Yes, it's a very serious matter.	12	rejected, and then we haven't done the pre-pour
13	Q. Now that we don't have any review of the ITP system, now	13	checking. So that's why or actually, if they wanted
14	that	14	to do something and then we wouldn't see it? No, that's
15	CHAIRMAN: Sorry to interrupt. When you say "and they	15	not easy to happen. Not during the day anyway.
16	almost succeeded" was it opened up?	16	COMMISSIONER HANSFORD: I understand.
17	MR CHEUK: I mean, "almost succeeded", was they almost as	17	MR CHEUK: Thank you. I think he's suggesting it's
18	they are uncaught.	18	impossible to get away.
19	CHAIRMAN: All right. But here they were caught but nothing	19	COMMISSIONER HANSFORD: Yes. What you are telling us,
20	seemed to have happened.	20	Mr Tung, is it's almost impossible for them to hide
21	COMMISSIONER HANSFORD: Well, there's the review.	21	these things?
22	CHAIRMAN: Okay. I'm with you, yes.	22	A. Almost, yes.
23	MR CHEUK: Of course it would be much worse if it's	23	COMMISSIONER HANSFORD: Yes.
24	completely uncaught.	24	A. I can't guarantee 100 per cent.
25	CHAIRMAN: Yes.	25	COMMISSIONER HANSFORD: But almost. Okay. Understood.
	Page 30		Page 32
1	MR CHEUK: From a layperson's perspective, how can he be	1	MR CHEUK: Thank you, Mr Tung. Just a slightly different
2	sure that the same problem had not happened elsewhere,	2	topic. When you carry out a pre-pour hold-point
3	before the incident, and has not happened after this	3	inspection, would you verify that the rebar inspection,
4	incident? Can you tell us, from your perspective as	4	hold-point inspection, had already been completed every
5	an MTRC IOW?	5	time with an MTR engineer?
6	A. From our perspective, when we went on site walks every	6	A. Yes, I would ask, but sometimes, when I did the pre-pour
7	day, approximately when there would be concrete pour,	7	checking, some of the inspections could be carried out
8	when rebar fixing was done, we could see that every day	8	at the same time. That is for the rebar fixing and
9	in our site walk. So every day on our site walk, that's	9	pre-pour, it's possible that both were done together.
10	why this happened, it's exactly because our colleagues,	10	And then sometimes in our WhatsApp communication,
11	engineers now, I understand in the morning there was	11	I would know that the engineer has accepted the
12	this inspection, it was rejected and they were supposed	12	inspection; I would check. So normally speaking there
13	to do remedial works, but then in the afternoon already	13	is communication on whether the inspection has been
14	they were pouring concrete. So during my site walks	14	accepted.
15	it's actually difficult for this to happen, that is	15	So it's like sometimes we see some minor problems
16	somehow we just walked away and then they got some	16	with the rebar, then I would check with the engineer.
17	concrete to start pouring.	17	Q. Let me put it another way. Would it be possible that
18	So, if they wanted to get away with this, it's	18	sometimes the rebar hold-point inspection was not
19	almost impossible.	19	carried out and you were approached by a Leighton
20	Q. I will move on to another	20	engineer to carry out a pre-pour inspection, and you did
21	COMMISSIONER HANSFORD: Sorry, I don't understand that	21	not know that the rebar inspection had not been carried
22	answer. When you say, "If they wanted to get away with	22	out? Would it be possible?
23	this, it's almost impossible", do you mean it's almost	23	A. There's a slim chance of that.
24	impossible for them to get away with it, or do you mean	24	Q. As I understand your evidence, because the translation
25	it's almost impossible for you to spot it, to identify	25	says "a slim chance of that", you are basically saying

	Page 33		Page 35
1	it's unlikely?	1	personnel representing the competent person and the
2	A. To say it's not possible, it's hard to put it that way,	2	RGBC respectively should be recorded in
3	but from my recollection, for HHS, I don't think I have	3	an inspection log book. The date, time, items inspected
4	heard that there were no rebar inspection. If that's	4	and inspection results should be clearly recorded in the
5	the case, then we would know; we could see it.	5	log book. The log book should be kept at the site
6	Q. Thank you.	6	office and, when required, produced to the Building
7	COMMISSIONER HANSFORD: Sorry, you say if that's the case		Authority for inspection."
8	you would know, you could see it. What could you see?	8	These are, you can take it from me, some
9	A. Because sometimes we would have communication with the	9	requirements imposed by the Buildings Department in
10	engineer at the office, whether they have done rebar	10	relation to the use of couplers. But what I'm
11	inspection or in the WhatsApp group they would have said	11	interested in is in relation to SAT and HHS, so far as
12	that they have inspected the rebars, or in some cases	12	you know, was there any similar procedure carried out by
13	there were defects that needed to be remedied, they	12	MTR and Leighton in respect of couplers, such as the
14	would inform us to help with the follow-up.	13	appointment of a quality control supervisor, devise of
15	So, to a certain extent, there's no rebar inspection	15	an inspection checklist, maintaining a log book,
16	and concrete was poured or without rebar inspection and	16	et cetera?
10	there's a pre-pour inspection, the chance of that	10	A. Well, specially assigning someone? I don't recall that.
18	happening is not high. Now, I can't say it won't happen	17	Q. Inspection checklist for couplers?
19	but I don't think there are many such cases, and	19	A. No, I have not seen it either.
20	I wouldn't say that, you know, there are many such	20	Q. Log book, have you seen it?
20	cases.	20	A. No.
21	COMMISSIONER HANSFORD: Understood.	21	Q. Thank you.
23	MR CHEUK: Now I move on to another, different topic. If we	22	Now I move to the last topic, about testing of
23	can go to DD8/10908. If I can ask you some questions	23 24	rebars. If we go to CC6, page 3818, paragraph 5. This
24	about couplers.	24	is the witness statement of Alan Yeung, Leighton's
23	ubout couplets.	25	is the witness statement of Alan Teung, Leighton's
	D 24		D 26
1	Page 34	1	Page 36
1	Mr Tung, you can take it from me that this is the	1	senior engineer, working at SAT NSL area. If you look
2	Mr Tung, you can take it from me that this is the government's acceptance letter dated 25 February 2013	2	senior engineer, working at SAT NSL area. If you look at paragraph 5, what he says is:
2 3	Mr Tung, you can take it from me that this is the government's acceptance letter dated 25 February 2013 for various areas, including SAT.	2 3	senior engineer, working at SAT NSL area. If you look at paragraph 5, what he says is: "From January 2016 to January 2017, I worked on the
2 3 4	Mr Tung, you can take it from me that this is the government's acceptance letter dated 25 February 2013 for various areas, including SAT. I assume you have not read it?	2 3 4	senior engineer, working at SAT NSL area. If you look at paragraph 5, what he says is: "From January 2016 to January 2017, I worked on the South Approach Tunnel area at the North South Line level
2 3 4 5	Mr Tung, you can take it from me that this is the government's acceptance letter dated 25 February 2013 for various areas, including SAT. I assume you have not read it? A. No, I have not read it.	2 3 4 5	senior engineer, working at SAT NSL area. If you look at paragraph 5, what he says is: "From January 2016 to January 2017, I worked on the South Approach Tunnel area at the North South Line level (ie the 'SAT NSL area')."
2 3 4 5 6	Mr Tung, you can take it from me that this is the government's acceptance letter dated 25 February 2013 for various areas, including SAT. I assume you have not read it? A. No, I have not read it. Q. Okay. But we will see if you can assist us, after you	2 3 4 5 6	senior engineer, working at SAT NSL area. If you look at paragraph 5, what he says is: "From January 2016 to January 2017, I worked on the South Approach Tunnel area at the North South Line level (ie the 'SAT NSL area')." So his working period and your working period at SAT
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Commission of Inquiry into the Construction Works at and near the Hung Hom Station Extension under the Shatin to Central Link Project

	Page 37		Page 39
1	A. I knew him.	1	Q. And did MTRC keep any record of the amounts of rebars
2	Q. You worked together?	2	arrived on site?
3	A. (In English) Yes.	3	A. Normally, when they do testing, they have a mills
4	Q. If you go to paragraph 6, he says he worked at HHS from	4	certificate. There's a mills certificate indicating how
5	the end of May 2015 until he left the project in June	5	many tonnes of material and they will take it to the MTR
6	2018.	6	lab for testing. If there was a test.
7	A. Right.	7	Q. So actually MTRC has a record of the new test record
8	Q. Then if we go to paragraph 26, here he explains, second	8	indicating how many tonnes of rebars have arrived
9	sentence:	9	on site; correct?
10	"I have recently learned that some batches of rebar	10	A. Yes.
11	ordered by a member of my team (WC Lam) were not tested	11	Q. I also assume MTRC has the record of how many tonnes of
12	after arriving on site."	12	rebars were actually tested; correct?
13	Okay? Do you see that?	13	A. Yes.
14	A. Yes, I see it.	14	Q. What is lacking here is a person monitoring whether the
15	Q. I will just ask you a few questions on this topic. If	15	proportion of the testing was correct and therefore will
16	we go back to paragraph 7	16	be able to discover if there's any lack of testing;
17	A. Right.	17	correct?
18	Q. Here, can I just ask you this question. Is it correct	18	A. If there are no records, I don't know whether they had
19	that when the rebars were delivered on site, then MTRC	19	tested or not. If there are no records, I wouldn't know
20	will depend on Leightons to inform them about the	20	whether they had tested it or not.
21	delivery of the rebars, and then will go to the site and	21	Q. You probably have misunderstood my question. I will put
22	do the sampling for testing purpose; is that correct?	22	it again. You have MTRC have the mill certificates
23	A. Correct.	23	which will provide a record of how many tonnes of rebars
24	Q. Mr Fu, in his previous evidence, told us that it is	24	have arrived on site. You are with me so far?
25	an arrangement of trust, backed up by inspection.	25	A. You are correct.
	Page 38		Page 40
1	Page 38 I just wonder, in such a procedure, if Leighton's	1	Page 40 Q. I also suggest to you that MTRC also has record of how
1 2	-	1 2	Ũ
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2	I just wonder, in such a procedure, if Leighton's engineer fails to inform MTRC's IOW, like you, and	2	Q. I also suggest to you that MTRC also has record of how many tonnes of rebars were actually tested. And you
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10 (Pages 37 to 40)

1	Page 41		Page 43
1	MR CHEUK: It's my fault, Professor.	1	(11.29 am)
2	COMMISSIONER HANSFORD: I'm a bit confused on that.	2	(A short adjournment)
3	MR CHEUK: Let me clarify. I'm referring Mr Tung, it's	3	(12.02 pm)
4	my fault. Let me clarify the question again.	4	CHAIRMAN: Yes.
5	I'm referring to an independent HOKLAS test; okay?	5	MR CHEUK: Chairman, Professor, I think I can deal with that
6	And my question to you was: did MTRC keep any record of	6	point in submission. I don't intend to press on.
7	how many tonnes of rebars were tested under the HOKLAS	7	I have no further questions for this witness.
8	test; do you know?	8	CHAIRMAN: Thank you.
9	A. The MTR, it should have been done in the MTR lab. All	9	Cross-examination by MR TSOI
10	the samples, they will have the mill certificate and	10	MR TSOI: Mr Tung, I appear for Wing & Kwong, the rebar
11	other documents. So each time they take a sample, they	11	fixing sub-contractor in this case. I do have a few
12	will have these records. And according to these	12	questions for you.
13	records, they can determine how many tonnes of material	13	Can I turn you back to paragraph 35 of your witness
14	were tested.	14	statement, at BB5256. Here you set out the events on
15	Q. Thank you. That's helpful. So if a person checks the	15	30 June 2017 in relation to the VRV room. I just want
16	mill certificates and then checks how many tonnes of	16	to take you through the chronology of events, so we are
17	rebars were tested at the HOKLAS lab, he should be able	17	clear as to what happened. All right?
18	to find out whether there is any missing rebars which	18	A. (In English) Okay.
19	are not tested; right? Do I understand that correctly?	19	Q. As I understand it, what happened was a rebar fixing
20	A. I can guess what you are asking. That should not be	20	check was in fact conducted for the VRV room, and the
21	correct, because when they do a test, it has to comply	21	person who conducted it was Mr Jason Kwok from MTR. Is
22	with HOKLAS requirements, so there would be a record,	22	that right?
23	and you are now saying that whether there were some	23	A. Correct.
24	rebars that were not tested and there was a record of	24	Q. On the Leighton side, it was an engineer called Lam
25	that. If I don't know how much rebar was delivered,	25	Wai Chung?
	Page 42		Page 44
1	then I wouldn't have records. So, if I get the sample,	1	A. Correct.
2	I will know that that batch had been delivered, but you	2	Q. After the inspection, Mr Jason Kwok then rejected the
3	are saying if material was delivered and they don't	3	rebar fixing check, so he did not pass the check?
4	notify me, then I wouldn't be aware how much material	4	
5	-		A. Correct.
-	was missing.	5	
6	was missing. CHAIRMAN: It's a little I mean. I see the logic, but	5	Q. That probably happened around 1.30, because we can see
6 7	CHAIRMAN: It's a little I mean, I see the logic, but		Q. That probably happened around 1.30, because we can see from the RISC form that it was ready for inspection at
7	CHAIRMAN: It's a little I mean, I see the logic, but it's just I'm just wondering if this might be	6	Q. That probably happened around 1.30, because we can see from the RISC form that it was ready for inspection at about 1.30.
	CHAIRMAN: It's a little I mean, I see the logic, but it's just I'm just wondering if this might be an opportune moment for the morning adjournment?	6 7	<ul><li>Q. That probably happened around 1.30, because we can see from the RISC form that it was ready for inspection at about 1.30.</li><li>Now, if you look at paragraph 35(2), the first</li></ul>
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1	known that, "Hold on, I rejected the rebar fixing check	1	so it has to be someone from Leighton.
2	here; why is it concreting?" Hence I think that's why	2	A. Well, to put it right, we would not contact with the
3	he sent out the WhatsApp to the group; is that right?	3	sub-contractor. We would usually liaise with the
4	A. Correct.	4	contractor. So if we told the contractor that it's
5	Q. So in fact it was a happenstance that the person who	5	accepted, then the contractor would then tell the
6	from MTR inspected and rejected the rebar check happened		sub-contractor to do the works.
7	to go back to the site and saw the concreting?	7	Q. Sorry, it's perhaps my fault. There's some confusion
8	A. Well, actually, at 1.30 now, for the first	8	there. I'm not talking about the rebar fixing
9	inspection, when it happened exactly, I don't know, but	9	sub-contractor I'm sorry.
10	during the first inspection, it was found that the	10	COMMISSIONER HANSFORD: Sorry, you are speaking over each
		10	other.
11	condition of the rebars and the couplers was not fine	11	
12	and we should have informed them and we didn't accept		MR TSOI: Sorry.
13	the inspection. We asked them to do the rectification	13	COMMISSIONER HANSFORD: That's fine.
14	works, like using screwing things in, and so on.	14	MR TSOI: That's my fault. I'm not talking about the rebar
15	Then after they have done the rectification, they should	15	sub-contractor. I'm talking about the sub-contractor
16	have informed us to go for another inspection, but at	16	that's responsible for the concreting.
17	this point we can see that they did not inform us that	17	A. Leighton asked them to do the pour. It should be
18	they have completed the rectification works and before	18	Leighton.
19	that they already poured the concrete. So that was what	19	Q. In the usual situation, would it be a Leighton engineer
20	happened.	20	who has conducted the hold-point inspection to go tell
21	So there was one inspection, they didn't pass the	21	the concreting sub-contractor to do the concreting?
22	inspection, we asked them to carry out the rectification	22	A. Yes.
23	works, so they had the duty to do the rectification	23	Q. So, in this case, it is likely that Lam Wai Chung was
24	works and then inform us for another inspection.	24	the person who told the concreting sub-contractor to go
25	Q. Yes, that's right, and I think therefore Mr Jason Kwok	25	forward with the concreting?
	Page 46		Page 48
1			
1	was not in fact invited by Leighton to come back to the	1	A. Yes.
2	was not in fact invited by Leighton to come back to the site to inspect the works. It was just by happenstance	1 2	<ul><li>A. Yes.</li><li>Q. But he was the one who did the rebar fixing check with</li></ul>
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2 3	site to inspect the works. It was just by happenstance he went back to look at the site.	2 3	Q. But he was the one who did the rebar fixing check with Jason Kwok, knowing that the rebar fixing check has been
2 3 4	site to inspect the works. It was just by happenstance he went back to look at the site. A. Yes.	2 3 4	Q. But he was the one who did the rebar fixing check with Jason Kwok, knowing that the rebar fixing check has been rejected?
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	Page 49		Page 51
1	"(Via interpreter) That's because I saw them doing	1	not do the works haphazardly.
2	the pour, that's why I used the F word."	2	Q. Then we see your final one, the exchange which says,
3	I think, colloquially, what that means is	3	"Rebar or general cleaning will have to be rejected"?
4	A. It's a swear word.	4	A. Yes, because Jason had rejected the rebar works. The
5	Q. So he was actually confronting the Leighton individuals;	5	pre-pour general cleaning, my colleague had confirmed
6	is that right?	6	that they had rejected that, and we have to have it on
7	A. No, he's actually having exchanges with us. He just	7	the record that it's rejected. (Chinese spoken).
8	walked back and then he saw the pour going on, and so	8	COMMISSIONER HANSFORD: Can I just understand, Mr Tung,
9	swore at them.	9	Jeff Lii didn't reply, did he? There's no reply from
10	Q. Now, from this exchange, it looks like Jason and Ryan	10	Jeff Lii?
11	from MTR was informing the chat group about the	11	A. (In English) Yes.
12	concreting, when the concreting was happening?	12	COMMISSIONER HANSFORD: Is that correct?
13	A. Yes, correct.	13	A. Yes.
14	Q. But it appears that they did not stop the concreting?	14	COMMISSIONER HANSFORD: So you don't know that he received
15	A. As you can see, they have almost completed the pour.	15	this or rather that he looked at this during this time
16	Q. Now, Jason then said this at 4.03:	16	period, do you?
17	"(Via interpreter) Please enter RISC as reject"	17	A. Allow me to elaborate a little bit. At that time, I was
18	I think that means, "Please put in a RISC to be	18	on vacation on that day, but I always check my WhatsApp
19	rejected"?	19	and I could see this going on. That's why I asked my
20	A. Yes. Yes.	20	colleagues on duty. Jason had raised a question and
21	Q. And the next line, I think it means, "Even if you don't	21	I asked my colleague whether they had accepted the
22	put a RISC in, I will send an email out for record"?	22	general cleaning, and so on. So I might have made
23	A. Correct.	23	a phone call in between and there are no records so we
24	Q. So there Jason is asking a retrospective RISC form to be	24	can't indicate that. But we see from 4.03 to 4.44,
25	filed with MTR so that he could reject the inspection?	25	during that long period, there was there might have
	Page 50		Page 52
1	A. Yes, correct.	1	been I might have made a phone call to complain, but
2	Q. The next entry is from you, I think, at 4.44 in the	2	in the WhatsApp record it's not indicated.
3	afternoon. I think it's from you to Jeff. Is that	3	COMMISSIONER HANSFORD: I understand.
4	Jeff Lii of is that Jeff Lii or	4	MR TSOI: Right. If we can now look at Leighton's record of
5	A. Yes, correct.	5	the RISC form that you invited them to submit. If I can
6	Q. Jeff Lii is Leighton?	6	firstly go to page CC5657.11962.
7	A. (In English) Yes.	7	CHAIRMAN: Can I just ask, Mr Tsoi it's not a criticism
8	A. Yes. The senior of Lam Wai Chung.	8	at all, just so that I can understand from your
9	Q. So Jeff Lii would have seen this chat, because he's in	9	perspective, you are approaching this why?
10	the chat group?	10	MR TSOI: Do you want me to say it in front of the witness?
11	A. Yes.	11	I'm happy to. I don't mind.
12	Q. So Leighton has been informed that check has not been		CHAIRMAN: No, not at all. I'm quite happy for the witness
13	completed, but they are concreting, but we don't see any	13	to go out. I'm being hesitant because it's an Inquiry,
14	reply from Leighton there in this chat group, because	14	it's not specific lines of investigation in adversarial
15	Jeff Lii is from Leighton?	15	matters. But representing, as you do, the rebar fixers,
16	A. How should I put it? If he doesn't respond, then we	16	I just need to sort of click in if you tell me that
17	can't see any response.	17	it will come together and I'll understand later, then
18	Q. Exactly. So assuming Jeff Lii saw this, he did not stop	18	that's fine.
19	the concreting either?	19	MR TSOI: Well, I can tell you now, just to ease your
20	A. But at that point the pouring was complete.	20	concern. I don't mind the witness hears it. It happens
21	Q. If we read on in the message, it says:	21	all the time in my courts.
22	"Jeff (Chinese spoken)."	22	Of course, as you would be aware, my theory is that
23	That is probably your reference to, "How can we	23	it is possible for a Leighton engineer to either inform
24 25	supervise Lam Wai Chung"; is that right?	24	the inspector of works that rebar checking has been done
14	A. He needs to supervise his subordinates that they should	25	when it in fact hasn't

	Page 53		Page 55
1	CHAIRMAN: Yes, that's right.	1	a quadruplicate inspection form. That is what they
2	MR TSOI: and then basically con the inspector of works	2	issued initially, with the descriptions. Because you
3	into doing the pre-pour check and allow the concrete to	3	cannot sign on the computer, after it's printed they can
4	be poured in that way.	4	add the signature to it.
5	CHAIRMAN: I see.	5	COMMISSIONER HANSFORD: I think that's what I was trying to
6	MR TSOI: The other alternative is of course what happened	6	say, Mr Tung. So they are the same form but the
7	here.	7	left-hand one has been completed and the right-hand one
8	CHAIRMAN: I've got it. Yes. Thank you. Sorry, I was	8	is blank; is that right?
9	little slow on the uptake.	9	A. Correct. It's the same form. One is just issued. One
10	MR TSOI: It's my fault.	10	is the original.
11	CHAIRMAN: No, it wasn't at all. I've now got it. Thank	11	COMMISSIONER HANSFORD: Right.
12	you.	12	MR TSOI: Yes, but I think you get the point, Mr Tung,
13	MR TSOI: If we look at that file, the tabs on the left I'm	13	because my point is if you just look at Leighton's
14	particularly interested in the tabs on the left, do	14	reference, you will find the right-hand form which says,
15	you see there are five entries of tabs on the screen,	15	"Issued but not yet replied", and it's only when we look
16	the PDF, the first one is "64_Site Diary 20170630"; do	16	at the MTR records that we find the filled-in form.
17	you see that?	17	It's not your fault. You are MTR.
18	A. Could you enlarge it?	18	A. Could you repeat the question?
19	Q. The tab on the left of the screen right now.	19	Q. The left-hand form is the one we found in the MTR files.
20	A. Yes, I see it.	20	The right-hand form is the one we found in the Leighton
21	Q. Two entries onwards, do you see the RISC form 12445,	21	files, recorded as, "Issued but not yet replied".
22	it's recorded as, "Issued but not yet replied"?	22	A. If you look at it, that's a blank form. It's a blank
23	A. I see it.	23	form.
24	Q. This is Leighton's record. Although we know that's not	24	Q. So it's not, "Issued but not yet replied"; it has been
25	true because it was replied. Let's click into that.	25	issued and it has been replied, the one we see on the
	Page 54		Page 56
			-
1	Can we also have on the screen at the same time the	1	left?
2	filled-in RISC form, which we can find at BB5796.	2	left? A. Correct. The response is on the left.
2 3	filled-in RISC form, which we can find at BB5796. That's the MTR version. If we put the two versions side	2 3	left? A. Correct. The response is on the left. Q. Perhaps a point for submission, but would you not agree
2 3 4	filled-in RISC form, which we can find at BB5796. That's the MTR version. If we put the two versions side by side.	2 3 4	<ul><li>left?</li><li>A. Correct. The response is on the left.</li><li>Q. Perhaps a point for submission, but would you not agree that if you just look at the Leighton records and find</li></ul>
2 3 4 5	filled-in RISC form, which we can find at BB5796. That's the MTR version. If we put the two versions side by side. So on the right is the Leighton version and on the	2 3 4 5	<ul><li>left?</li><li>A. Correct. The response is on the left.</li><li>Q. Perhaps a point for submission, but would you not agree that if you just look at the Leighton records and find that blank form, and it recorded as, "Issued but not yet</li></ul>
2 3 4 5 6	filled-in RISC form, which we can find at BB5796. That's the MTR version. If we put the two versions side by side. So on the right is the Leighton version and on the left is the MTR version.	2 3 4 5 6	<ul><li>left?</li><li>A. Correct. The response is on the left.</li><li>Q. Perhaps a point for submission, but would you not agree that if you just look at the Leighton records and find that blank form, and it recorded as, "Issued but not yet replied", you may be led into thinking it was issued but</li></ul>
2 3 4 5 6 7	<ul><li>filled-in RISC form, which we can find at BB5796.</li><li>That's the MTR version. If we put the two versions side by side.</li><li>So on the right is the Leighton version and on the left is the MTR version.</li><li>So, as I understand it, there's no unified system</li></ul>	2 3 4 5 6 7	<ul><li>left?</li><li>A. Correct. The response is on the left.</li><li>Q. Perhaps a point for submission, but would you not agree that if you just look at the Leighton records and find that blank form, and it recorded as, "Issued but not yet replied", you may be led into thinking it was issued but not yet replied because it's blank?</li></ul>
2 3 4 5 6 7 8	<ul><li>filled-in RISC form, which we can find at BB5796.</li><li>That's the MTR version. If we put the two versions side by side.</li><li>So on the right is the Leighton version and on the left is the MTR version.</li><li>So, as I understand it, there's no unified system for the RISC form, so Leighton will have its records of</li></ul>	2 3 4 5 6 7 8	<ul><li>left?</li><li>A. Correct. The response is on the left.</li><li>Q. Perhaps a point for submission, but would you not agree that if you just look at the Leighton records and find that blank form, and it recorded as, "Issued but not yet replied", you may be led into thinking it was issued but not yet replied because it's blank?</li><li>A. If they are not a construction site worker, that's</li></ul>
2 3 4 5 6 7 8 9	<ul> <li>filled-in RISC form, which we can find at BB5796.</li> <li>That's the MTR version. If we put the two versions side by side.</li> <li>So on the right is the Leighton version and on the left is the MTR version.</li> <li>So, as I understand it, there's no unified system for the RISC form, so Leighton will have its records of the RISC form and MTR would have its records of the RISC</li> </ul>	2 3 4 5 6 7 8 9	<ul><li>left?</li><li>A. Correct. The response is on the left.</li><li>Q. Perhaps a point for submission, but would you not agree that if you just look at the Leighton records and find that blank form, and it recorded as, "Issued but not yet replied", you may be led into thinking it was issued but not yet replied because it's blank?</li><li>A. If they are not a construction site worker, that's a conclusion they might infer, because they're not</li></ul>
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2 3 4 5 6 7 8 9 10 11	<ul> <li>filled-in RISC form, which we can find at BB5796.</li> <li>That's the MTR version. If we put the two versions side by side.</li> <li>So on the right is the Leighton version and on the left is the MTR version.</li> <li>So, as I understand it, there's no unified system for the RISC form, so Leighton will have its records of the RISC form and MTR would have its records of the RISC form; is that right?</li> <li>A. Allow me to elaborate. On the right-hand side, that was</li> </ul>	2 3 4 5 6 7 8 9 10 11	<ul><li>left?</li><li>A. Correct. The response is on the left.</li><li>Q. Perhaps a point for submission, but would you not agree that if you just look at the Leighton records and find that blank form, and it recorded as, "Issued but not yet replied", you may be led into thinking it was issued but not yet replied because it's blank?</li><li>A. If they are not a construction site worker, that's a conclusion they might infer, because they're not signed. But these records, they are urgent, there's no time for the signature, and there's no time for them to</li></ul>
2 3 4 5 6 7 8 9 10 11 12	<ul> <li>filled-in RISC form, which we can find at BB5796.</li> <li>That's the MTR version. If we put the two versions side by side.</li> <li>So on the right is the Leighton version and on the left is the MTR version.</li> <li>So, as I understand it, there's no unified system for the RISC form, so Leighton will have its records of the RISC form and MTR would have its records of the RISC form; is that right?</li> <li>A. Allow me to elaborate. On the right-hand side, that was computer typed. My understanding is that it was run</li> </ul>	2 3 4 5 6 7 8 9 10 11 12	<ul><li>left?</li><li>A. Correct. The response is on the left.</li><li>Q. Perhaps a point for submission, but would you not agree that if you just look at the Leighton records and find that blank form, and it recorded as, "Issued but not yet replied", you may be led into thinking it was issued but not yet replied because it's blank?</li><li>A. If they are not a construction site worker, that's a conclusion they might infer, because they're not signed. But these records, they are urgent, there's no time for the signature, and there's no time for them to go to the QC department, so sometimes they will submit</li></ul>
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Commission of Inquiry into the Construction Works at and near the Hung Hom Station Extension under the Shatin to Central Link Project

	Page 57		Page 59
1	Wai Chung's signature?	1	and then I think you wrote, "Leighton please review your
2	A. I received the form on the left, not on the right.	2	ITP system and brief to your front staff, it is totally
3	Q. Okay. I think it's the same.	3	unacceptable, and please tell me how to prevent the
4	But the signature signifies that he confirmed the	4	problem occur again."
5	works above are ready for pre-pour check. That can't be	5	So you wrote a note and you commented on what
6	true, though, can it?	6	happened for this particular RISC form?
7	A. My understanding, when he added his signature, the	7	A. Yes, because this was a rather serious error. Normally,
8	inspection time, it was just a guess, because they might	8	they should have done all that, they should be clear
9	not be able to arrange for the pre-pour and inspection	9	about the ITP requirements. They should know that say
10	at the time indicated. But it wouldn't defer by more	10	for rebar fixing, there's an inspection form that has to
11	than a few days. If they were they might be early or	11	be agreed and then there's a pre-pour checking, and if
12	late.	12	it's okay and agreed, only then could they do the pour.
12	Q. Sure. But my question is slightly different, because we	12	But it seemed to me, at this point, that for the ITP
13	know Lam Wai Chung did the rebar fixing check with	13	-
14	Jason Kwok, and he knew that the rebar fixing check has	14	system, that's a breakdown, or I don't know what you
	-		call it in English, but anyway, the ITP system was no
16	failed, so he can't certify that the same location is	16	longer serving its purpose and so they didn't have to
17	ready for pre-pour check, yet he signed the form for the	17	accept the work. That's why I put it down like this.
18	pre-pour RISC.	18	Now, after I signed the form, and if it states "not
19 20	A. Could you repeat the question?	19	given", then the QA department should check what the
20	Q. My question is this. Lam Wai Chung was the Leighton	20	problem was. That's why I wrote this comment there,
21	engineer who did the rebar fixing check with MTR's	21	because there's a need to follow up on why this
22	Jason Kwok, so Lam Wai Chung knew the rebar fixing check		happened.
23	has failed. So he can't then possibly certify the same	23	Q. I think you may have answered the question but I will
24	location is ready for pre-pour check, with the 445 form.	24	try again. It's not your fault. It's mine.
25	A. According to the ITP, there are a few hold points and	25	The Leighton engineer who is supposed to sign the
	Page 58		Page 60
1	there should be a few inspection forms. It's the same	1	RISC form, we see on the right-hand side, he never made
2	for rebar fixing and pre-pour checking. For each pour,	2	any comments, he never noted that in fact rebar fixing
2 3	for rebar fixing and pre-pour checking. For each pour, whether it is accepted or rejected, they have to submit	2 3	any comments, he never noted that in fact rebar fixing check has been rejected and there was no pre-pour check.
2 3 4	for rebar fixing and pre-pour checking. For each pour, whether it is accepted or rejected, they have to submit a form. That is what is required of the system. It	2 3 4	any comments, he never noted that in fact rebar fixing check has been rejected and there was no pre-pour check. This is a RISC form which is supposed to certify it is
2 3 4 5	for rebar fixing and pre-pour checking. For each pour, whether it is accepted or rejected, they have to submit a form. That is what is required of the system. It doesn't mean, part 1 rebar fixing was rejected, then	2 3 4 5	any comments, he never noted that in fact rebar fixing check has been rejected and there was no pre-pour check. This is a RISC form which is supposed to certify it is ready for pre-pour check. Would you agree with that?
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	Page 61		Page 63
1	a question?	1	because if we go to page GG1231, it mentions the VRV
2	A. Yes, please.	2	room RISC report that we just looked at. And then it
3	COMMISSIONER HANSFORD: If Mr Lam Wai Chung knew that the		states the irregularities of the two forms. So the
4	reinforcement check had failed, surely he should not	4	rebar fixing RISC form and also the pre-pour check form,
5	have even submitted the pre-pour check RISC form? Isn't	5	if you would scroll on. So the first part is in
6	that correct? That's not correct?	6	relation to form 444 and the second part is in relation
7	A. Well, he has the right to submit both forms at the same	7	to form 445; do you see that?
8	time. And then, if he has completed one, then he could	8	A. Yes, I see it.
9	start the next. That's my understanding.	9	Q. At the irregularities are listed therein and the remark
10	COMMISSIONER HANSFORD: Okay. I'll leave it there because	10	for the 444 form was:
11	I think this is going to be explained to me somehow.	10	"Permission to carry out the proposed work not given
12	MR PENNICOTT: Sir	11	because of incomplete fixing of couplers."
12	MR TSOI: I don't quarrel with the two forms, the one for	12	· · · ·
14	the rebar fixing and the one for the pre-pour check, he	-	So that's the rebar fixing RISC form, and for the
14	can issue them. What I'm querying is the contents of	14	pre-pour form, item 10, the remark was:
		15	"MTRCL remarked 'no invitation for general condition
16	the pre-pour RISC form, because it says, "I confirm that	16	inspection and formwork of footing (rejected)'. MTRCL's
17	the works described above is ready for inspection", the	17	permission to carry out the proposed work not given. No
18	pre-pour inspection.	18	further accepted RISC as on 12 April 2019."
19	MR PENNICOTT: But all of this has got to be read in the	19	Do you see that?
20	context of the translation, which Mr Tsoi read out	20	A. Yes, I see it.
21	earlier, of the communication at 1603 on 17 June	21	Q. Right. And the items of irregularities have been
22	30 June, because as we understand it, it's Mr Tung's	22	listed. So, for the rebar fixing RISC form, it was A,
23	position that he asked Leighton to issue the form so it	23	D2 and E.
24	could be rejected.	24	CHAIRMAN: And those relate to
25	COMMISSIONER HANSFORD: Right.	25	MR TSOI: I will come to that.
	Page 62		Page 64
1	MR PENNICOTT: That's how we understand it.	1	CHAIRMAN: Okay.
2	COMMISSIONER HANSFORD: Thank you.	2	MR TSOI: We now turn to page GG1022 or perhaps we can
3	CHAIRMAN: So Leighton just submitted a form and they didn't	3	have the two pages together so we can look at the
4	have to endorse it in any particular way because they	4	irregularity. So 1022 and page 1231. If we can have
5	thought it would be endorsed by a rejection?	5	them either side by side or Right.
6	MR PENNICOTT: Of course. What you see on this form is the	6	So A is "MTRCL's received date of RISC form was
7	standard wording. That's not something that's been	7	later than MTRCL's inspection date". That's
8	typed in.	8	irregularity A.
9	MR TSOI: With all respect to Mr Pennicott, that's not quite	9	A. Yes.
10	my point, because my point has always been if we look at	10	Q. Then D2, "Concrete pour date before MTRCL's endorsement
11	Leighton's record, all we can see is the form on the	11	date of 'rebar fixing' or 'pre-pour check' inspection".
12	right-hand side. That's the point.	12	Then E is "Works rejected without proof of follow-up
13	MR PENNICOTT: I don't see (unclear words).	13	inspection".
14	MR TSOI: Anyway, be that as it may, just to complete the	14	Do you see that?
15	picture perhaps the first time we lock horns,	15	A. Yes.
16	Mr Pennicott, but anyway perhaps to complete the	16	Q. So at least for the rebar fixing check we know that
17	picture, if I can now turn you to page GG1011.	17	there was no further inspection around that time?
18	This is a report issued by the Highways Department	18	A. Yes, for the time being, yes.
19	called, "On-site record checking on RISC form in	19	Q. Now, for the pre-pour check RISC form, irregularities
20	relation to construction of North Approach Tunnel, South	20	were B3, D2 and E, which is "MTR's inspection date was
1	Assessed Transland IIIIC", do soon oo that? Can see oo	21	missing"
21	Approach Tunnel and HHS"; do you see that? Can you see		
	that? Is that on your screen?	22	A. It's not that it was not filled in but rather because
21		22 23	A. It's not that it was not filled in but rather because there was no inspection.
21 22	that? Is that on your screen?		

	Page 65		Page 67
1	endorsement date of 'rebar fixing' or 'pre-pour check'",	1	are responsible for looking at well, because humans
2	and E is of course "Works rejected without proof of	2	are prone to error, we have to see whether the engineers
3	follow-up inspection".	3	have overlooked anything. There might be U-bars that
4	So in fact what we know is, as you said, there was	4	are missing, the lapping is insufficient. So there are
5	in fact no pre-pour check at all?	5	chances that things might have been overlooked and we
6	A. Correct.	6	have to follow up on those.
7	Q. Okay. So at least in that sense the report may not be	7	So, based on our experience and knowledge, we have
8	complete?	8	to see whether things have been overlooked. If you just
9	A. Yes, you could put it that way, but it's not that we did	9	limit yourself to rebar inspection, then that's not the
10	not carry out the inspection but rather they did not	10	inspector's responsibility. They can help out to see
11	invite us to carry out the inspection.	11	what has been missed. That would be my response.
12	MR TSOI: Thank you. That's all I want to ask. Thank you.	12	Q. Just now, when you mentioned about looking at the
13	MR CHOW: Mr Chairman, I only have one question arising from		concrete cover do you recall that?
14	Mr Tung's answers given earlier.	14	A. The cover so each slab, there's a cover, there's
15	CHAIRMAN: Yes.	15	a standard cover, about 50mm, and we will look at the
16	Cross-examination by MR CHOW	16	rebar profile. So if let's take a wall, for example.
17	MR CHOW: Good afternoon, Mr Tung. I represent the	17	There should be a 50mm cover on both sides. So, when we
18	government. I have one question for you, arising from	18	look at the formwork, we have to see whether the rebars
19	one of your answers given this morning.	19	are attached properly and whether we need to notify the
20	Mr Tung, do you remember this morning at one point	20	contractor to rectify any defects. So these are the
21	you said sometimes this was at the time when you were	21	covers I'm talking about.
22	talking about the pre-pour check formal inspection	22	Q. So to ensure that after pouring concrete the
23	you said sometimes we see some minor problems with the	23	reinforcement inside the concrete will have sufficient
24	rebar, and then you said you would then check with the	24	concrete cover, during your pre-pour check you will have
25	engineer.	25	to look at the spacing between the reinforcement and the
	Page 66		Page 68
1	Do you recall that part of your evidence?	1	formwork; right?
2	A. Yes.	2	A. Yes.
3	Q. Can I ask you to go to bundle BB1, page 294, which is	3	Q. So, inevitably, you will have to look at the
4	part of the inspection and test plan. Now, under	4	reinforcement as well, during pre-pour check; correct?
5	item 5, for pre-pour check, within brackets it's also	5	A. I will have to look at the rebar. So the engineers,
6	stated "(reinforcement fixing, formwork, cleanliness	6	they look at the general arrangement, and it would
7	et cetera)"; can you see that?	7	include the cement cover. So, when you look at the
8	A. Yes, I see it.	8	slabs, they have some "sifu" bars with indication of
9	Q. Was it your understanding at the time that for	9	marks. So we do a visual inspection whether it is bent.
10	an inspector of works conducting pre-pour check, the	10	If it is bent, that might give it would lead to some
11	inspector of works has to look at the reinforcement as	11	gaps, and we have to see whether it complies with our
12	well?	12	specifications because sometimes the bars are bent into
13	A. Which part of the reinforcement? Are you talking about	13	a curve.
14	the formwork?	14	Q. For the level of checking of reinforcement during
15	Q. No, that's not what I'm asking. We see, under item 5,	15	pre-pour check, if the threaded bar has not been
16	within brackets, it suggests that this is the scope of	16	properly or sufficiently screwed into the couplers, do
17	works that you have to inspect during pre-pour check.	17	you think this sort of defect would have been spotted by
18	A. Yes, I understand. I see it.	18	your inspector of works conducting pre-pour check
19	Q. So am I right in thinking that under the requirement of	19	properly?
20	the inspection and test plan, during pre-pour check, the	20	A. In the HHS site, I have also required Leighton to follow
21	inspector of works has to look at the reinforcement	21	up, because some walls, they might have some couplers.
22	fixing work as well?	22	We have to look at the CJ, the construction joint. They
23	A. If you are limiting to the rebar, when we do the	23	might need to roughen the surface. There might be
24	pre-pour inspection, we have to look at the formwork,	24	hydrophilic strips that need to be added. So, when we inspect, if we see couplers, we have to
25	the cover, the waterproofing, the formwork, and we also	25	

	Page 69		Page 71
1	see whether it's fully threaded, and I will also conduct	1	Mr Tung?
2	manual checks to see if it's threaded fully. If there	2	A. Because the work progress is very urgent. We try our
3	are exposed threads, we will try to twist it in before	3	best to accommodate. Now, I personally would have to do
4	giving approval.	4	a lot of work to rectify the records before signing off.
5	MR CHOW: Thank you very much. I have no more questions for	5	So, for me, the workload is even more.
6	you.	6	MR BOULDING: I see. Thank you, Mr Tung.
7	MR LIU: No questions.	7	Questioning by THE TRIBUNAL
8	MR SHIEH: No questions.	8	COMMISSIONER HANSFORD: At one point there, Mr Tung, you
9	Re-examination by MR BOULDING	9	say, "The company might not accept that." Which
10	MR BOULDING: Mr Tung, I have just one matter that I'd like	10	company?
11	to ask you about. Do you remember discussing the matter	11	Sorry, my question was, you said, "Well, the company
12	of RISC forms with Mr Calvin Cheuk, who is counsel for	12	might not accept that." Which company were you
13	the Commission of Inquiry?	13	referring to?
14	A. Could you be more specific?	14	A. MTRCL.
15	Q. Yes. Do you remember Mr Cheuk saying to you that you	15	COMMISSIONER HANSFORD: So you are saying MTR might not
16	never refused to carry out hold-point inspections	16	accept that it would hamper the work flow? You are
17	because of a lack of RISC forms? Do you remember him	17	saying MTRC might not accept that; is that what you are
18	suggesting that to you?	18	telling us?
19	A. I recall that.	19	A. Yes.
20	Q. And the transcript records that you agreed; you agreed	20	COMMISSIONER HANSFORD: Right.
21	that you never refused to carry out hold-point	21	MR BOULDING: Thank you for that clarification, Professor.
22	inspections because of a lack of RISC forms.	22	That's very helpful.
23	Let me ask you this. If you had refused to carry	23	I have no further questions. I don't know whether
24	out hold-point inspections because of a lack of RISC	24	you have, Commissioner or Professor, or whether there's
25	forms, do you think that that refusal would have had any	25	anything outstanding for this witness?
	Page 70		Page 72
1	effect on the progress of the works?	1	CHAIRMAN: I just have one question.
2	A. There would be an impact.	2	I appreciate what you have said, but if you are
3	<ul><li>Q. Can you explain to the learned Commissioners why there</li></ul>		facing a problem like this, one where, as you have told
4	would be an impact firstly, why there would be	4	us, there was a persistent lateness in submitting of the
4 5	an impact; and secondly, so far as you are concerned,	5	RISC forms, or indeed a failure entirely to submit them,
6	what that impact would be? So, firstly, why would there	6	and it was clearly causing a problem for you to keep the
7	be an impact, Mr Tung?	7	records straight, didn't you have regular meetings with
8	A. It would affect the work progress, I feel.	8	people higher up in the company to whom you could report
8 9	Q. Why do you say that, Mr Tung?	9	this difficulty; or did, as the Americans say, the buck
9 10	A. If I don't receive a form and every day I receive	10	stop with you?
11 12	ten-plus forms, including the rebar fixing or pre-pour checking, and each form would require full attention.	11 12	<ul><li>A. Now, in terms of records now, because in my record,</li><li>I would be able to find what concrete was poured,</li></ul>
12	It would take a lot of time before I receive it, and by	12	because I started a WhatsApp group. So, from my point
13 14	the time I receive the form it might be the next day.	13 14	of view, I started a WhatsApp group because it's about
14	And Leighton, when they print out a form, it has to	14	the flow.
15	go through their QA/QC department and then it goes	16	CHAIRMAN: Sorry, my question, I suppose, forgive me, is
10	through our administrative assistant, and then it goes	17	a simple one: didn't you have meetings concerning work
17	through SI, and then we have to collect the form. It	17	progress with people who were higher up in the company,
18 19	would be a day later.	10	higher up in MTRCL?
19 20	So, if we were to handle and wait for each form, it	20	A. Yes.
20	might hamper the work flow.	20	A. Tes. CHAIRMAN: And if you were having these problems with the
21 22		21	late submission of forms, wasn't it open to you to talk
	Q. And was it acceptable at the time for the work flow to be hampered?	22	to somebody with higher authority, to say, "Look, we're
23 24	*	23 24	really having problems, we just can't get forms out of
24	<ul><li>A. Well, the company might not accept that.</li><li>Q. I see. And why, in your opinion, is that the case,</li></ul>	24 25	Leighton", and then that person with higher authority
25			

	Page 73		Page 75
1	can decide what should be done?	1	for the luncheon adjournment as well.
2	A. Normally, yes.	2	MR PENNICOTT: Yes.
3	CHAIRMAN: Yes. And did you ever have meetings with people	3	CHAIRMAN: Mr Tung, thank you very much. Your evidence is
4	higher up in MTRCL, to say to them, "We've got this	4	completed.
5	problem; what do we do? You know, without being	5	WITNESS: (In English) Thank you.
6	facetious, you are the people who are paid more to make	6	CHAIRMAN: You are free to go and have your lunch now, and
7	these bigger decisions and you have the responsibility,	7	talk to anybody you like about your evidence; all right?
8	you have the broader oversight of, 'Is it worthwhile	8	WITNESS: I don't have to come back this afternoon; right?
9	refusing to do an inspection once or twice, to make	9	CHAIRMAN: You do not, no. Thank you very much.
10	a point, or do we live with the problem'?" Do you see	10	(The witness was released)
11	what I'm saying?	11	MR BOULDING: Sir, just before we break for lunch, can I ask
12	A. I don't remember whether at meetings I informed my	12	Mr Pennicott a question through you?
12	senior about this problem.	12	We have Dr Peter Ewen, our very last witness,
	-	13	standing by at the end of a telephone. It appears to me
14	CHAIRMAN: All right. Thank you very much. MR BOULDING: Thank you very much, Mr Tung.		
15		15	that we are probably not going to need him.
16	CHAIRMAN: Sorry, I think Mr Chow may have	16	I see Mr Pennicott nodding.
17	MR CHOW: You have finished?	17	MR PENNICOTT: Yes, I agree with that. It seems to me that
18	MR BOULDING: Yes, I've finished with this witness.	18	we've got Mr Jacky Lee next, followed by Mr Cano Ngai,
19	CHAIRMAN: Sorry, Mr Chow suddenly stood up. I thought he	19	and then we've got Kit Chan. If we complete all three
20	may have an extra question.	20	of those this afternoon, I'll be very pleased, but
21	MR CHOW: I just want to point out that I was informed that	21	I think there's little prospect of getting to Dr Ewen.
22	in one part of Mr Tung's answer to my question, which is	22	I just hope we get to Mr Chan.
23	rather important, it seems that there is some problem	23	CHAIRMAN: In which case then, obviously we don't But
24	with the interpretation, and I would like to point this	24	tomorrow would be
25	out.	25	MR PENNICOTT: Tomorrow morning, yes. I suspect that what's
	Dogo 74		
	Page 74		Page 76
1	CHAIRMAN: All right.	1	Page 76 going to happen is we will
1 2	CHAIRMAN: All right. MR CHOW: That is from page 70, from line 4 to line 6, which		-
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	Page 77		Page 79
1	MR LEE CHIU YEE, JACKY (affirmed in Cantonese)	1	Q. Now, I'd like to place you, if I may, in the MTR
2	(All answers given via simultaneous interpreter	2	organisation. We can do that by reference to a couple
3	except where otherwise specified)	3	of charts.
4	Examination-in-chief by MR BOULDING	4	The first chart I hope we will find at bundle B2,
5	Q. You are giving your evidence in Cantonese, as	5	page 744. If we look at the general manager, Aidan
6	I understand it?	6	Rooney, and then go slightly to the right of that and
7	A. (In English) Yes.	7	then go down vertically, do we see your name there,
8	A. Yes.	8	Mr Lee, and you are designated as the senior
9	Q. Thank you. Now, you've produced a witness statement for	9	construction engineer-civil; is that you?
10	the learned Commissioners' assistance in this Inquiry.	10	A. Correct.
11	We can find that, I hope, at bundle BB1/92.	11	Q. If we look at the bottom left-hand corner, do we see
12	Do we there see, Mr Lee, the first page of your	12	that that was the position in January 2017?
13	witness statement?	13	A. Yes, correct.
14	A. Yes, correct.	14	Q. Can you just explain, by reference to this organisation
15	Q. Could we scroll down, please, to page 105, where I trust	15	chart, what the reporting structure was so far as you
16	we'll find your signature. Yes.	16	were concerned at that time?
17	There do we see your signature, Mr Lee, below the	17	A. At that time, I was dealing with the Gammon joint
18	date of 3 May 2019; correct?	18	venture, my superior was Michael Fu, construction
19	A. Correct.	19	manager.
20	Q. Now, I understand that certain corrections are necessary	20	Q. Thank you. Now let's move on a little bit in time to
21	to that statement, and we'll find most of them in	21	see how matters developed.
22	bundle BB1, page 105.1 to 105.2.	22	Could we please go to B593.
23	There do we see, Mr Lee, a series of corrections to	23	Thank you. Enlarge that a bit.
24	your witness statement?	24	We are looking here, are we not, at the MTR
25	A. Yes.	25	management organisation chart as at 9 April 2018; do you
	Page 78		Page 80
1	Q. I understand there's one more you'd like to make, so	1	see that?
2	perhaps we could go back to your first statement at	2	A. I see that.
3	page BB96, and if we can look at paragraph 16, please.	3	Q. Then under the heading in red, "Contract 1111", do we
4	There you say:	4	see a little box with your photograph in it, Mr Lee?
5	"Leighton was well aware at the material time of the	5	A. Correct.
6	materials used by GKJV at the interfacing locations.	6	Q. Can you tell the Commissioners who you reported to at
7	While I did not personally attend the contract 1111/1112	7	that stage, please?
8	interface meetings"	8	A. It's Mr Michael Fu again, construction manager.
9	And then you go on to say who you were briefed by.	9	Q. Thank you very much indeed. Now, Mr Lee, the way these
10	I understand that you would like to correct that	10	things operate from here on in is that you are going to
11	second sentence. Is that right?	11	be asked questions first by counsel for the Commission
12	A. Yes. I would like to	12	of Inquiry, probably Mr Pennicott, sitting just opposite
13	Q. Sorry, I interrupted you.	13	you. Then various lawyers in this room have the
14	A. (In English) Sorry.	14	opportunity to ask you questions. The learned
15	A. I would like to make a correction. It reads, "I did not	15	Commissioners can ask you questions at any time. Then
16	attend the interface meetings since the 12th meeting."	16	it may well be the case that I'll conclude the session
17	That is for the eighth, ninth, tenth and 11th,	17	with you by asking you a few more questions.
18	I attended those meetings. I just wanted to make that	18	Do you understand that?
19	clarification.	19	A. Fully understood.
20	Q. Yes, that's perfectly proper, Mr Lee.	20	MR BOULDING: Thank you. Please wait there.
21	Subject to those corrections and that last	21	Examination by MR PENNICOTT
22	clarification, as you call it, are the contents of this	22	MR PENNICOTT: Mr Lee, good afternoon.
23	witness statement true to the best of your knowledge and	23	A. Good afternoon.
24	belief?	24	Q. As Mr Boulding has just indicated, my name is Ian
25	A. Yes.	25	Pennicott, I'm one of the counsel to the Commission and

	Page 81		Page 83
1	I have a few questions for you, but first of all thank	1	that the debris could be cleared very quickly. So I was
2	you very much for coming along to give evidence to the	2	there to look at the marking-out process, to ensure
3	Commission this afternoon.	3	works could proceed expeditiously, and I was not given
4	Mr Lee, from June 2013 right through to March 2018,	4	an instruction to first of all look at the interface
5	as I understand it, you worked as a senior construction	5	conditions.
6	engineer on contract 1111, not on contract 1112?	6	Q. Understood. All right.
7	A. Correct.	7	Now, you say that the period of time in which you
8	Q. So we are going to hear, quite usefully, I think, some	8	managed the rectification works of the three stitch
9	evidence coming from, as it were, the Gammon side of the		joints was up until July 2018. Can I ask you, is it the
10	situation and not necessarily from the Leighton side.	10	case that your responsibilities lasted all the way
11	However, that's subject to this point which	11	through until, say, the middle of July, about 18 July,
12	Mr Boulding has just alighted on. My understanding is	12	when, as I understand it, all the rectification works
13	that in the period April 2018 to July 2018, you were	13	were completed?
14	specifically tasked to manage the rectification of the	14	A. Yes, until the last concrete pour and number 2 stitch
15	three stitch joints under contract 1112. Is that	15	joint, until that was finished, yes.
16	correct?	16	Q. Yes. I ask you that because we have heard from
17	A. Correct.	17	Mr Holden, one of the engineering manager, if I've
18	Q. Just to get a couple of things clear on those remedial	18	got that right, from Leighton, that in relation to the
19	works we will deal with that first, if we may. When	19	stitch joint that you've just mentioned, that's joint
20	you took up the task of managing the stitch joint	20	number 2, the internal stitch joint, there were some
21	rectification work, my understanding which was in	21	problems and difficulties encountered in pouring the
22	April 2018 is that all of the demolition works of the	22	concrete to the roof to that particular stitch joint.
23	original stitch joints would have been completed. Is	23	Do you recall those difficulties, Mr Lee?
24	that correct?	24	A. Yes. There was some difficulty. I believe what
25	A. According to my understanding, the stitch joints at EWL	25	Mr Holden was referring to was the first time they tried
	Page 82		Page 84
1	had been demolished. For NSL, there are two stitch	1	to have a concrete pour at the roof slab, that was
2	joints. The two stitch joints at NSL, the demolition	2	unsuccessful, because the aggregate size and the RC of
3	was in progress when I joined this team.	3	the roof slab was rather congested and that held up the
4	Q. All right. Did you have an opportunity of looking at	4	whole thing and they aborted the operation halfway
5	the demolition works that were going on, you say, when	5	through.
6	you were first tasked with managing the remedial works?	6	Myself and Mr Holden were there on site and looking
7	Did you have an opportunity to see that demolition work?	7	at what happened. I did talk to him about the abortion
8	A. When I went to the NSL to look at the two stitch joints	8	of this operation. And between end of May, until we
9	to assess the condition, the demolition was in progress.	9	have got rid of the aborted area, in July we had the
10	So it was rather messy in terms of the construction	10	smaller aggregate mix to achieve the roof slab
11	conditions. There were many concrete debris and the	11	construction. I believe you were talking about this
12	rebars have been damaged. When I was there to look at	12	difficulty referred to by Mr Holden.
13	the stitch joint interface, it was difficult to me. It	13	Q. That's entirely right, Mr Lee. Thank you for that.
14	was difficult for me to tell if there were any	14	That's very helpful.
15	irregularities.	15	Have you read Mr Holden's witness statement in
16	Q. You anticipated my next question	16	relation to this particular point in particular?
17	A. (In English) Yes.	17	A. Yes, I have. Yes.
18	Q or questions. You didn't actually see with your own	18	Q. I can show it to you, but from what you've just said, it
19	eyes any of the allegedly defective connections in the	19	sounds to me as though you agree with what he says?
20	original stitch joints? You didn't see any of that; is	20	A. I do agree, yes.
21	that right?	21	Q. Thank you very much. That will save us going to it.
22	A. I didn't pay particular attention to this. When I went	22	Good.
23	there to take a look, I was there to inspect, to	23	Just another small point, Mr Lee. In paragraph 32
24	ascertain the site condition. The first priority for me	24	of your witness statement, where you discuss various
25	was to mark out the issues, because I wanted to ensure	25	inspections that took place during the carrying out of

	Page 85		Page 87
1	the rectification works, you mention in the last	1	A. Absolutely correct.
2	sentence of paragraph 32 that a separate and independent	2	MR PENNICOTT: Sir, I'm glad you asked that because it's
3	quality control team, who directly reported to Aidan	3	reminded me that there was one question I wanted to ask
4	Rooney, which you were not a part of, was deployed to	4	about that sentence as well.
5	conduct further inspections to ensure that all	5	Mr Lee, if we can just look at the sentence that
6	rectification works were carried out to MTR's	6	Prof Hansford has referred to. You say:
7	satisfaction.	7	"All on-site threading works for BOSA threaded bars
8	As I understand it, that team was led by Mr Cano	8	and Lenton threaded bars shall be undertaken by BOSA and
9	Ngai; is that right?	9	Erico"
10	A. Correct.	9 10	And you have explained Erico. So were in fact the
11	Q. So you tell us elsewhere in your statement that you were	11	threading works both for BOSA and Lenton couplers in
12	responsible for supervising a team comprising this is	12	relation to the stitch joint rectification works were
13	paragraph 19 of your statement Ben Chan, Albert Wan,	13	they actually done on site, the threading works?
14	Tony Tang, and, as I understand it, that group of people	14	A. My understanding is that last year, BOSA didn't have
15	were separate and independent from Mr Cano Ngai's team;		a fabrication yard on site, in 2018, last year. The
16	is that right?	16	threading of the couplers were done in the plant of
17	A. Correct.	17	BOSA, in accordance with the QAS requirements, and there
18	Q. So that suggests to me perhaps, would you agree, that	18	were supervisions there.
19	the MTR were doing perhaps what we say in English	19	Q. Okay. So when you say "All on-site threading works"
20	I don't know how it translates into Cantonese a belt	20	I see. So both BOSA and Erico/Lenton were carrying out
21	and braces job?	21	the threading of the necessary threading of the rebar
22	A. I would rephrase it like this. It's not braces and	22	at their rebar yards, probably in the New Territories;
23	belt. I think it's a second surveillance check. It is	23	I think we heard that Lentons was in Yuen Long?
24	to supplement one another, to make sure that the	24	A. Yes, indeed. Correct.
25	rectification work was going to be complete and	25	Q. Right.
	Page 86		Page 88
1	satisfactory, up to the required standard.	1	We are going to move away from the rectification
2	Q. All right. That's helpful.	2	works now and I'm going to ask you some questions about,
3	Mr Lee, you I'm not going to go through it in	3	first of all, the 1111 contract, briefly.
4	detail with you, but you helpfully set out I'm just	4	In paragraph 15 of your witness statement, Mr Lee,
5	putting this on the transcript, really between	5	that's page 95, you helpfully give us some dates by
6	paragraphs 19 and 34 of your witness statement all	6	which the various Gammon structures were completed. And
7	relevant detail relating to the method statements, the	7	so at 15(1) you say:
8	quality supervision plans, the type of couplers that	8	"The NSL structures adjacent to the interfacing
9	were used, the site supervision plan, and the thread	9	location under contract 1111 were completed in July 2015
10	preparation records, the coupler checklists, the hold	10	with Lenton couplers with protective caps fixed at the
11	points, the fact that site photographs were taken, and	11	interfacing end of the structures", and so forth.
12	all of that is very helpful material relating to the	12	Then you go on to say perhaps I should have just
13	stitch joint rectification works.	13	read it all out:
14	A. Yes.	14	" for Leighton's subsequent connection and the
15	Q. So thank you for all of that.	15	construction of the NSL stitch joint at the interfacing
16	COMMISSIONER HANSFORD: Just on that, if I may, at this	16	location did not commence until July 2017".
17	point, Mr Pennicott.	17	So there was a two-year gap between the completion
18	Mr Lee, in paragraph 24(2), you refer to something	18	of the Gammon side and the start of the construction of
19	called Erico. What is Erico?	19	the original stitch joint?
20	A. Erico is a Lenton the name of a Lenton supplier. It	20	A. Yes, correct.
20	is a Lenton supplier, basically, Lenton coupler	20 21	Q. Right. Then, so far as the EWL tunnel structures is
	supplier, the name of the supplier.	21	concerned, Gammon completed their side in September
22			concerned, Summon completed then side in September
22 23		23	2015 and we know that the FWL stitch joint at the
23	COMMISSIONER HANSFORD: Okay. Thank you. So BOSA supplied		2015, and we know that the EWL stitch joint at the interfacing location as you say, did not commence until
		23 24 25	2015, and we know that the EWL stitch joint at the interfacing location, as you say, did not commence until January 2017.

	Page 89		Dega 01
	-		Page 91
1	So, in that case, a period of 16 to 17 months	1	actually, for contract 1111, we can act upon request; we
2	between the completion of one and the start of the	2	could do the inspection. There was no understanding
3	stitch joint?	3	that that would be part of 1112 construction programme.
4	A. Correct.	4	We didn't know when they would complete their stitch
5	Q. Then similarly with the shunt neck, the dates are finish	5	joints at that time. So it would be difficult to
6	by Gammon in January 2016, start of the connection joint	6	project when the joint inspection would be done.
7	in January 2017, so a year between the two?	7	As I understand it, there was no such formal joint
8	A. Yes. Correct.	8	inspection.
9	Q. All right. One of the reasons I wanted to just get that	9	Q. All right. Let's just take one of the stitch joints.
10	clear with you, Mr Lee, is this. We have seen, and you	10	Let's take joint 1, the NSL interface joint. I have
11	indeed make reference to, in your statement, the	11	a picture in my mind that Gammon's structure is
12	Interfacing Requirements Specification. That's at	12	completed at the date that you gave us earlier, in July
13	paragraphs 12, 13 and 14 of your witness statement.	13	2015. Leighton's structure is not going to be completed
14	We can go to the document. In fact perhaps it would	14	for two years' time.
15	be best to go to the document. BB1/420, please.	15	A. My understanding is that
16	If we could go on a few pages until we find the	16	Q. It's sometime later?
17	table. That's it, thank you. 1.7.	17	A. (In English) Yes, sometime later.
18	We've asked quite a few witnesses about this	18	Q. Stitch joint two years later, Gammon's structure
19	particular provision	19	sometime later.
20	A. (Chinese spoken).	20	A. (In English) Yes.
21	Q Mr Lee. You're smiling at me; you probably realise	21	Q. The face of the Gammon structure which was going to be
22	that. You probably knew this was coming.	22	joined eventually to the Leighton structure, did that
23	A. (Nodded head).	23	face need any maintenance, constant care and attention,
24	Q. As we understand it, or as I understand it, Mr Lee	24	or was it okay just left as it was?
25	let's put it at a fairly high level to start with	25	A. At that time, we had the cofferdam, sheet pile
	Page 90		Page 92
1	-	1	
1	once the 1111 contractor had completed its structure,	1	cofferdam. After the completion of the 1111 structure,
2	there was provision for a joint inspection to take place	2	1112 was doing excavation, tunnel construction for some
3	of that structure.	3	time, and we have some separation provided by the
4	First of all, is that your general understanding of	4	cofferdam, some sort of cofferdam. So the separation
5	what was anticipated?	5	was offered by the cofferdam.
6	A. My understanding is that according to the specification,	6	CHAIRMAN: Sorry, can you just very briefly explain to me
7	there was the need to do an inspection.	7	the concept of a cofferdam in those circumstances?
8	Q. Right, of the 1111 contractor's side of the joint?	8	Sorry, if it's going to be very long and
9	A. Yes.	9	complicated
10	Q. Right. And was that joint inspection to be between	10	MR PENNICOTT: No, I think it's worth asking.
11	Leighton, Gammon and MTR?	11	A. When we were doing the tunnel structure for 1111, we had
12	A. Yes.	12	to provide an ELS system, excavation lateral support
13	Q. Do you know whether it took place on each of the three	13	system. It's called a cofferdam. So that we can dig
14	stitch joints with which we're concerned?	14	down to construct the tunnel structure. Our neighbour,
15	A. My recollection, and also from the records, and also	15	contract 1112, had yet to start the excavation, so we
16	I have asked colleagues to recall this, there was no	16	could only complete our structure within the cofferdam,
17	official joint inspection of the three stitch joints.	17	and then we would have an adjacent sheet pile. The pile
18	It's because, for the inspection to be carried out,	18	will only be taken away when the 1112 was completed.
19	Leighton had to be ready. As we have looked at there	19	COMMISSIONER HANSFORD: Can I ask, just for my own
	Leighton had to be ready. As we have looked at, there		
20	was a more than one-year time gap between 1111 and the	20	information, really, how close was the cofferdam to the
20 21	was a more than one-year time gap between 1111 and the time of completion of Leighton, on their part, in	21	1111 edge of so the 1111 side of the stitch joint,
20 21 22	was a more than one-year time gap between 1111 and the time of completion of Leighton, on their part, in respect of their structure.	21 22	1111 edge of so the 1111 side of the stitch joint, how close was the cofferdam?
20 21 22 23	<ul><li>was a more than one-year time gap between 1111 and the time of completion of Leighton, on their part, in respect of their structure.</li><li>So my colleagues on the 1111 side for some time did</li></ul>	21 22 23	<ul><li>1111 edge of so the 1111 side of the stitch joint, how close was the cofferdam?</li><li>A. About a metre.</li></ul>
20 21 22	was a more than one-year time gap between 1111 and the time of completion of Leighton, on their part, in respect of their structure.	21 22	1111 edge of so the 1111 side of the stitch joint, how close was the cofferdam?

Commission of Inquiry into the Construction Works at and near the Hung Hom Station Extension under the Shatin to Central Link Project

1	Page 93		Page 95
	COMMISSIONER HANSFORD: Right. Okay.	1	be an initiative coming from Leighton. But, as I've
2	MR PENNICOTT: Okay. And that cofferdam was literally	2	said, there was no such official joint inspection
3	between the two structures, was it, Mr Lee?	3	conducted.
4	A. Yes.	4	Q. All right.
5	Q. Right. Yes. Understood.	5	COMMISSIONER HANSFORD: If I can just have one last
6	COMMISSIONER HANSFORD: So the 1112 structure could not be	6	question
7	built until the cofferdam was removed; is that correct?	7	MR PENNICOTT: Please do, sir.
8	The cofferdam had to be removed before the 1112	8	COMMISSIONER HANSFORD: on this.
9	structure could be built; is that right?	9	So the ideal time to have carried out a joint
10	A. No. We share the same sheet pile, separating the two	10	inspection would have been when the cofferdam was
11	structures, under the two contracts. So we lifted the	11	removed; is that correct?
12	sheet pile so that 1112 could independently complete	12	A. Correct.
13	their structure. Once the 1112 structure had been	13	COMMISSIONER HANSFORD: All right. But you understand that
14	completed, the sheet pile will be removed, so that there	14	was not carried out?
15	will be connection.	15	A. No official joint inspection.
16	COMMISSIONER HANSFORD: So the cofferdam then, Mr Lee, is	16	COMMISSIONER HANSFORD: Well, was an unofficial joint
17	along the sides of the structure, and the sheet pile is	17	inspection carried out?
18	in the middle of the structure, and then when it comes	18	A. I understand there was one. At a working level, the two
19	to constructing the 1112 structure, the sheet pile is	19	contractors, during their daily coordination, they were
20	removed? The sheet pile is removed in order to	20	in cooperation at the interface. They needed each
21	construct the 1112 structure; is that correct?	21	other. So they had coordination on and off. I don't
22	A. Are you talking about the tunnel structure or the stitch	22	think the CM team on 1111 side would tell us whether
23	joint?	23	they have done any unofficial inspections on
24	COMMISSIONER HANSFORD: Yes.	24	a day-to-day basis. That's my understanding.
25	A. If we are talking about the stitch joint, the cofferdam	25	COMMISSIONER HANSFORD: And presumably, Mr Lee, if it was
	Page 94		Page 96
1	will have to be removed first.	1	an unofficial inspection, no record would be made of
2	MR PENNICOTT: My understanding, tell me if I'm wrong, is	2	an unofficial inspection; is that right?
3	that the 1112 structure would be constructed up to the	3	A. You could put it like that.
4	cofferdam. What that left was obviously the stitch	4	COMMISSIONER HANSFORD: Sorry, Mr Pennicott.
5	joint to be built. At that point, you would take out	5	MR PENNICOTT: Not at all, sir. Thank you very much.
6	the sheet piles and the construction of the cofferdam,	6	Males mentioned during the second of thet
1	to enable you then to construct the stitch joint. Is		Mr Lee, you mentioned, during the course of that
7	to enable you then to construct the stiten joint. Is	7	last exchange, the interface meetings that took place,
7 8	that right?	7 8	last exchange, the interface meetings that took place, and in your examination-in-chief just a moment ago,
	that right? A. (In English) Absolutely correct.		last exchange, the interface meetings that took place,
8	<ul><li>that right?</li><li>A. (In English) Absolutely correct.</li><li>A. Absolutely correct.</li></ul>	8	last exchange, the interface meetings that took place, and in your examination-in-chief just a moment ago,
8 9 10 11	<ul><li>that right?</li><li>A. (In English) Absolutely correct.</li><li>A. Absolutely correct.</li><li>Q. Okay. So, if that's right, I'm just wondering there</li></ul>	8 9 10 11	<ul><li>last exchange, the interface meetings that took place,</li><li>and in your examination-in-chief just a moment ago,</li><li>a short while ago, you corrected your witness statement</li><li>to point out that you did in fact attend some of the</li><li>meetings.</li></ul>
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	Page 97		Page 99
1	"Interface cofferdam wall design of EWL and NSL", it	1	MR BOULDING: No re-examination!
2	says:	2	CHAIRMAN: Sorry, I think Pypun also have to say something.
3	"MTRC1111 passed the revised interface arrangement	3	MR LIU: No questions.
4	and is attached in appendix B.	4	CHAIRMAN: Thank you very much indeed. Sorry, the laughter
5	MTRC1111 stated that ELS design by Leighton should	5	was not in any way at your expense. Over a period of
6	consider as-constructed permanent structure by Gammon.	6	long inquiry, you will appreciate
7	Leighton would provide instrumentation plan including	7	WITNESS: (In English) That relaxes me very much. I'm much
8	GSM" I'm not sure what that stands for "on	8	more relaxed now.
9	permanent structures."	9	CHAIRMAN: Thank you very much for your assistance. It's
10	Then if we go to appendix B, do we there pick up the	10	been very good of you.
11	point that we've just been discussing, Mr Lee, that is	11	WITNESS: (In English) Thank you.
12	the details of the cofferdam?	12	CHAIRMAN: Thank you.
12	A. (In English) Correct.	13	(The witness was released)
14	Q. Okay. And as we've heard from other witnesses, this	14	MR BOULDING: Thank you, sir and Professor. MTR's next
15	particular aspect of the interface works, that is the	15	witness will be Mr Ngai Kwok Hung.
16	cofferdam, was a matter of some importance?	16	MR NGAI KWOK HUNG, CANO (affirmed in Cantonese)
17	A. Yes.	17	(All answers given via simultaneous interpreter
18	Q. All right.	18	except where otherwise specified)
19	Now, the next point, Mr Lee, if you can help us with	19	Examination-in-chief by MR BOULDING
20	this, when it came to construct when the time arrived	20	Q. Good afternoon, Mr Ngai. I understand you are giving
20	to construct the original stitch joints, we have been	20	your evidence in Cantonese.
21	told by Mr Joe Tam of Leighton that on the Gammon side		A. (In English) Yes.
22	of the joints, the exposing of the couplers was done by	22	Q. It's correct, is it not, that you have produced
23	the Gammon-Kaden Joint Venture or its sub-contractors.	23	a witness statement, one witness statement, for the
24	Is that correct, Mr Lee, to your recollection and	25	a whiless statement, one whiless statement, for the assistance of the learned Commissioners in this Inquiry?
25	Page 98	25	Page 100
1		1	A. Yes.
1	understanding? A. Correct. My recollection is that Gammon helped them		
2 3	•	23	Q. I wonder if we could look at that. I hope you find it
3 4	chip off, expose the couplers and build the rough surface. My engineers and my inspectors did mention	4	at BB8/5232. There do we see the first page of that witness statement, Mr Ngai?
4 5	this to me; they helped out as well.	5	-
	• •		A. Yes, I can see that.
6	Q. All right. Did you personally witness that work being carried out, Mr Lee, or not?	6 7	Q. Then if we move on, if we go down to page 5235 it's a short statement I hope we'll see your signature.
7	A. I don't quite remember whether exactly I witnessed that		Do you see your signature there "16 May 2019", with
8	-	0 9	
9 10	but my understanding is that that was reasonable.		your signature underneath it? A. Yes.
	Q. Okay. I think, so far as on the Gammon side is	10	<ul><li>A. Tes.</li><li>Q. I understand that if we go back to paragraph 2, there is</li></ul>
11	concerned, a person by the name of Fans Chan was	11 12	
12	involved. Is that right?	12	a typo that you would like to correct on the third line. Is it right that you obtained your master's degree in
13 14	A. Yes, that's correct.	13	
	<ul><li>Q. Was he an engineer or an inspector of works</li><li>A. That's correct.</li></ul>	14	construction management from the City University of Hong Kong in 2006 and not 2016?
15			
16 17	Q an engineer?	16	A. That's correct. It should be 2006. I apologise for the
17	A. He's an engineer. GKJV engineer, yes.	17	typo. O Don't worry Mr Nasi. These things happen
18	MR PENNICOTT: All right.	18	Q. Don't worry, Mr Ngai. These things happen.
19 20	Thank you very much, Mr Lee.	19 20	Now, subject to that correction, are the contents of
20	Sir, I have no further questions.	20	that statement true to the best of your knowledge and ballef?
21	MS LAU: Sir, we have no questions for this witness.	21	belief?
22	CHAIRMAN: Thank you very much. MS PANG: No questions from the government.	22	A. Yes, it's true.
102	IND FAING. IND QUESTIONS FOR THE government.	23	Q. It's right, is it not, that you were part of the
23		21	independent quality control team overseeing the stitch
23 24 25	MR BOULDING: No? MR SHIEH: No questions from us.	24 25	independent quality control team overseeing the stitch joint rectification works; is that correct?

25 (Pages 97 to 100)

	Page 101		Page 103
1	A. That's correct.	1	A. I remember the first day, 22 March 2018, when I was
2	Q. And in that sense, do I understand the situation to be	2	given the instruction to take up this duty, on that day
3	that you were independent, independent in the sense that	3	I approached the construction team of 1112. They
4	you were unrelated to contract 1112?	4	briefed us on the kind of work that we were supposed to
5	A. That's correct. I am unrelated to contract 1112.	5	do. We were not involved in this contract at all prior
6	Q. Thank you. In those circumstances, Mr Ngai, I'm not	6	to that, and they told us about the three stitch joints
7	going to take you to any sort of organisation chart	7	that would be in need of rectification.
8	because it seems to be inappropriate, but what will	8	I remember there was the statement, the construction
9	happen now is that you are going to be asked a few	9	statement, and they briefed us on the details of the
9 10	questions, I suspect, by one of the counsel for the	10	work.
10		10	
	Commission of Inquiry. Then various lawyers in the room		Q. All right. Let me try again. We know, Mr Ngai and
12	get an opportunity to ask you questions. The learned	12	I'm not suggesting you necessarily had all of this
13	Commissioners can ask you questions at any time they	13	material on 22 March but we know, for example, that
14	consider appropriate. Then it may well be the case that	14	there were method statements produced in relation to the
15	I'll ask you some further questions at the end of the	15	remedial works. Were you given those method statements?
16	process.	16	A. Yes, I did. I think I was given the method statement to
17	Do you understand that?	17	the team for reference.
18	A. Yes, understood.	18	Q. Right. There were obviously drawings of the remedial
19	MR BOULDING: Please sit comfortably and make yourself at		works or the stitch joints in their rectified status or
20	home.	20	state. Were you given the drawings?
21	Examination by MR PENNICOTT	21	A. I suppose so, but on that date, it was only in the
22	MR PENNICOTT: Mr Ngai, good afternoon.	22	morning that I received the instruction from Mr Rooney
23	A. Good afternoon.	23	to assist in this kind of work, and time was a bit
24	Q. My name is Ian Pennicott, I'm one of the counsel to the	24	tight. I remember, in the morning, my team met the
25	Commission, and thank you very much for coming along to	25	construction team of 1112, and then in the afternoon we
	Page 102		Page 104
1	give evidence this afternoon.	1	were taken to the stitch joint site and we were briefed
2	I just have a few questions for you, Mr Ngai, and	2	on the situation. And the documentation came later,
3	I can assure you we won't be long.	3	gradually.
4	As we've just heard, Mr Ngai, your involvement on	4	Q. Yes, indeed, as I said, I wasn't suggesting you had all
5	contract 1112 was limited to the period, as I understand	5	of this material on 22 March. So there are the method
6	it, March 22 March, in fact 2018 through to the	6	statements that you think you received subsequently, the
7	beginning of June 2018?	7	drawings you think you would have received perhaps at
8	A. Yes, correct.	8	a slightly later time.
9	Q. And you were you headed up, at Mr Rooney's request,	9	A. Correct.
10	what you describe as an independent quality control team	10	Q. And were you also provided with site supervision plans
11	to oversee the remedial works for the stitch joints?	11	and the quality supervision plan? Were you supplied
12	A. Yes.	12	with those documents as well?
13	Q. As you've just said, independent in the sense of being	13	A. Well, if my memory serves me right, I did not receive
14	unrelated to contract 1112, and my understanding from	14	the site supervision plans.
15	your witness statement, paragraph 6(b) and (c), is that	15	Q. All right.
16	the other members of your team were Mr Cheung Ying Sum,	16	Now, we know that the first stitch joint to be
17	a senior inspector of works; Mr Kine Tong Kin On, who	17	rectified or remedied was the EWL stitch joint. Do you
18	was a ConE, a construction engineer; and Mr John Leung,	18	recall that, Mr Ngai?
19	also a construction engineer. As I understand it, all	19	A. Yes.
20	of you were unrelated, prior to this involvement, with	20	Q. Okay. That started on 25 March, we understand, so just
21	contract 1112?	21	a few days after you received your instruction from
22	A. Correct.	22	Mr Rooney?
0.0	Q. Can I ask you this, Mr Ngai. When you started your	23	A. Yes.
23			
23 24	duties as this quality control team, what documentation	24	Q. Then we know that the interface stitch joint, the NSL

	Page 105		Page 107
1	stitch joint, the 1112 stitch joint, started, again	1	about this date or within a few days of 19 May. That is
2	a little later, on 9 May.	2	the Gammon joint.
3	As I understand it from your evidence, what you are	3	A. You mean the pour date?
4	telling us is that so far as the method statements/the	4	Q. Yes.
5	drawings were concerned, you would have received that	5	A. It's 19 May.
6	material in order to presumably carry out your	6	Q. Yes. Okay. And the records that we have, that we've
7	responsibilities, you needed that documentation to	7	been given, suggest that this stitch joint, the remedied
8	understand what was going to be built?	8	stitch joint, was completed around about 19 May, and
9	A. I agree.	9	your record is, in a sense, confirming that, that the
10	Q. So, as we've seen, and we will see in a moment, you	10	concrete pour was done on that date?
11	produced daily reports, your team produced daily	11	A. The pour date is 19 May.
12	reports?	12	COMMISSIONER HANSFORD: This is the concrete pour of the
13	A. Yes.	13	roof; is that correct?
14	Q. And, when you were carrying out your quality control	14	MR PENNICOTT: It should be, yes.
15	surveillance and monitoring, presumably you had the	15	A. Yes, the roof, the pour date for the roof.
16	documents, that is the method statements and the	16	Q. Okay. And so far as, on that date, the Leighton joint
17	drawings, with you so that you could check what was	17	is concerned, that's the internal joint, you have
18	happening and whether everything was complying with the	18	recorded:
19	drawings and method statement; is that right?	19	"Side walls rebar fixing is in progress under CM
20	A. I agree.	20	supervision", and so forth.
21	Q. If we can please go to bundle GG11/7239. This, as	21	So we can see that in that joint, the rebar fixing
22	I understand it, Mr Ngai, is the very first report that	22	is going on.
23	you produced, indeed on 22 March, the day that you were	23	A. Well, maybe I should explain. When we talk about CM, it
24	instructed. Do you see that?	24	means construction management it means the
25	A. Yes, it's correct.	25	construction team, not the construction manager himself.
	Page 106		Page 108
1	Q. Okay. If we turn over the page, just so we can get the	1	Q. Okay. Understood.
2	feel of all this, each report that you produced on	2	Then if I could take you, please, to page 7604.
3	a daily basis, apart from presumably at weekends and	3	This is your daily report for 31 May 2018. Do you see
4	when work wasn't happening, almost invariably was	4	that, Mr Ngai?
5	accompanied by a series of photographs. Is that right?	5	A. Yes, I see that.
6	A. Yes.	6	Q. Under the Leighton joint it says:
7	Q. If one goes on perhaps in time, could I take you,	7	"Bleed pipe was installed according to the approved
8	please, to page 7536. This is the report for 19 May		
9		8	drawings and concreting proceed today. Volume of
	2018, I hope.	9	concrete poured is approximately 35 cubic metres as
10	2018, I hope. A. Correct.	9 10	concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated
10 11	<ul><li>2018, I hope.</li><li>A. Correct.</li><li>Q. Just so that if we need to look at these at any time,</li></ul>	9 10 11	concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by
10 11 12	<ul><li>2018, I hope.</li><li>A. Correct.</li><li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page,</li></ul>	9 10 11 12	concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor."
10 11 12 13	<ul><li>2018, I hope.</li><li>A. Correct.</li><li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference</li></ul>	9 10 11 12 13	concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?
10 11 12 13 14	<ul><li>2018, I hope.</li><li>A. Correct.</li><li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the</li></ul>	9 10 11 12 13 14	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor."</li> <li>Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done</li> </ul>
10 11 12 13 14 15	<ul><li>2018, I hope.</li><li>A. Correct.</li><li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li></ul>	9 10 11 12 13 14 15	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor."</li> <li>Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that</li> </ul>
10 11 12 13 14 15 16	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> </ul>	<ol> <li>9</li> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> </ol>	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to</li> </ul>
10 11 12 13 14 15 16 17	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> <li>Q. And when it says, "NSL (Leighton joint), that's joint</li> </ul>	<ol> <li>9</li> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> </ol>	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to the drawings, it should be 40 cubic metres. So that's</li> </ul>
10 11 12 13 14 15 16 17 18	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> <li>Q. And when it says, "NSL (Leighton joint), that's joint number 2, the internal joint?</li> </ul>	<ol> <li>9</li> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> </ol>	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to the drawings, it should be 40 cubic metres. So that's a gap, a difference. So it was suggested that there</li> </ul>
10 11 12 13 14 15 16 17 18 19	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> <li>Q. And when it says, "NSL (Leighton joint), that's joint number 2, the internal joint?</li> <li>A. Correct.</li> </ul>	<ol> <li>9</li> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> </ol>	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to the drawings, it should be 40 cubic metres. So that's a gap, a difference. So it was suggested that there should be further verification by 1112 CM team and</li> </ul>
10 11 12 13 14 15 16 17 18 19 20	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> <li>Q. And when it says, "NSL (Leighton joint), that's joint number 2, the internal joint?</li> <li>A. Correct.</li> <li>Q. Right. And I've chosen this one, 19 May 2018, because,</li> </ul>	<ul> <li>9</li> <li>10</li> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> </ul>	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to the drawings, it should be 40 cubic metres. So that's a gap, a difference. So it was suggested that there should be further verification by 1112 CM team and contractor. We received the information on that day.</li> </ul>
10 11 12 13 14 15 16 17 18 19 20 21	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> <li>Q. And when it says, "NSL (Leighton joint), that's joint number 2, the internal joint?</li> <li>A. Correct.</li> <li>Q. Right. And I've chosen this one, 19 May 2018, because, as I understand it, if one looks at the Gammon joint,</li> </ul>	9 10 11 12 13 14 15 16 17 18 19 20 21	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to the drawings, it should be 40 cubic metres. So that's a gap, a difference. So it was suggested that there should be further verification by 1112 CM team and contractor. We received the information on that day. We did not conduct any investigation.</li> </ul>
10 11 12 13 14 15 16 17 18 19 20 21 22	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> <li>Q. And when it says, "NSL (Leighton joint), that's joint number 2, the internal joint?</li> <li>A. Correct.</li> <li>Q. Right. And I've chosen this one, 19 May 2018, because, as I understand it, if one looks at the Gammon joint, that is joint 1, the interface joint, on that day</li> </ul>	9 10 11 12 13 14 15 16 17 18 19 20 21 22	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to the drawings, it should be 40 cubic metres. So that's a gap, a difference. So it was suggested that there should be further verification by 1112 CM team and contractor. We received the information on that day. We did not conduct any investigation. That's put in the report, to show that we have</li> </ul>
10 11 12 13 14 15 16 17 18 19 20 21 22 23	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> <li>Q. And when it says, "NSL (Leighton joint), that's joint number 2, the internal joint?</li> <li>A. Correct.</li> <li>Q. Right. And I've chosen this one, 19 May 2018, because, as I understand it, if one looks at the Gammon joint, that is joint 1, the interface joint, on that day concrete was poured. There was an issue about the</li> </ul>	9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to the drawings, it should be 40 cubic metres. So that's a gap, a difference. So it was suggested that there should be further verification by 1112 CM team and contractor. We received the information on that day. We did not conduct any investigation. That's put in the report, to show that we have received the information.</li> </ul>
10 11 12 13 14 15 16 17 18 19 20 21 22	<ul> <li>2018, I hope.</li> <li>A. Correct.</li> <li>Q. Just so that if we need to look at these at any time, Mr Ngai, my understanding is, just looking at this page, when it says, "NSL (Gammon joint)", that is a reference to joint 1, that is the interface joint between the Gammon and Leighton structures?</li> <li>A. Correct.</li> <li>Q. And when it says, "NSL (Leighton joint), that's joint number 2, the internal joint?</li> <li>A. Correct.</li> <li>Q. Right. And I've chosen this one, 19 May 2018, because, as I understand it, if one looks at the Gammon joint, that is joint 1, the interface joint, on that day</li> </ul>	9 10 11 12 13 14 15 16 17 18 19 20 21 22	<ul> <li>concrete poured is approximately 35 cubic metres as advised by CM team which was less than the calculated volume of 40 cubic metres, to be further verified by 1112 CM team and contractor." Do you see that?</li> <li>A. Maybe I should elaborate. The concreting was done rather late on that day. My engineer told me that 35 cubic metres of concrete was poured. According to the drawings, it should be 40 cubic metres. So that's a gap, a difference. So it was suggested that there should be further verification by 1112 CM team and contractor. We received the information on that day. We did not conduct any investigation. That's put in the report, to show that we have</li> </ul>

1       discrepancy in the figures, but it says here, under the       1       CHARMAN: Cartainly.         2       Lighton joint:       2       MR PENNICOT: We're got Mr Kit Chan next, Lassume, and Tu         3       "- No site activity today.       3       MR PENNICOT: We're got to hin, but I can see what's going to         4       Ac confirmed by CM team, approximately 22 cubic       4       happen. We may finish hin, it's possible, but the that         6       sabs. Remedial proposal was under preparing. It was       6       morrs [a] CARMAN: We'll see how we go.         7       suggested to review the gap between softit and concrete       7       CHAIRMAN: We'll see how we go.         9       Mr Ngi, as 1 understand it, that's your last       9       CHAIRMAN: We'll see how we go.         11       A. Ves, it windt be the last report, the one dated I June.       11       MR PENNICOTI: Yes, sir, please.         12       O. Ir may seem a simple question but why did you stop at       1       MR PENNICOTI: Yes, sir, and you anderstanding is we're adjourning a little         13       Jame?       10       MR PENNICOTI: Yes, sir, and you anderstanding is we're adjourning a little         14       A. On J June. I received an instruction from Mr Booney.       16       (3.44 pm)         15       so yoe sisses with Mr Holden from Lighton and       16       (3.44 pm)		Page 109		Page 111
2       Leighton joint:       2       MR PENNICOTT: We're got Mr. Kit Chan next, I assume, and fu         3       *No site activity today.       3       pleased we've got to him, but I can see, that sequence, and fu         5       metres [of] concrete was poured systemaly for the roof       5       means we are going to have just Dr Even tomorrow         6       subgested to review the gap between soffit and concrete       8       morring:       7         8       pump ripe to facilitate concrete flow."       8       MR PENNICOTT: We'ls see how we go.       8         9       Mr Ngi, as I understand if, that's your last       9       CHAIRMAN: We'll see how we go.       8         12       Q. Ir may seem a simple question but why did you stop at 1 June?       13       14       14       14       14       16       63.30, M       16       16.33, M       16.33, M       16.33, M       16.33, M       17       18       17       report.       16       13.40, On June, I received an instruction from Mr Rooney, asyring that we had completed our mission, our duty, we       15       16.34, M       17       18       0.41, dood aftermoon, sir. Good aftermoon, Mr Kit Chan, our next winess.       19       16.34, M       17       17       MR ROULDING: Good aftermoon, sir. Good aftermoon, Mr Kit Chan, our next winess.       13       11.40, K       12.40, K       16.40,	1	discrepancy in the figures, but it says here, under the	1	CHAIRMAN: Certainly.
3       -*- No site activity today.       3       - pleased we've got to him, but L can see whats going to         4       As confirmed by CM team, approximately 22 cubic       4       happen. We may finish him, it's possible, but then that         6       stab. Remedial proposal was under preparing. It was       6       morning.       7         7       suggested to review the gap between soft and concrete       6       morning.       7         9       Mr Ngi, as I understand it, that's your last       6       CHAIRMAN: Well see how we go.       8         11       A. Yes, it would be the last report, the one dated 1 June.       11       10       auty makers and public outy and public ou			2	-
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5     metres [of] concrete was poured yesterday for the root     5     means we are going to have just Dr Ewen tormorow       6     sab. Remedial proposal was under preparing. It was     6     morning.       7     suggested to review the gop betwen soft in ad concrete     6     morning.       8     pump pipe to facilitate concrete flow."     8     MR PENNCOTT: Well see how we go.       9     MY Ngi, as I understand it, that's your fast     9     CHAIRMAN: And my understanding is we're adjourning a little       10     report, is that correct?     10     CHAIRMAN: Social CAUS, Te m minutes?       13     Jume?     11     MR PENNCOTT: Yes, sir.       14     A. On 1 June, I received an instruction from Mr Rooney.     15     CHAIRMAN: Gool. CAUS, Te m minutes?       15     saying that we had completed our mission, our duy; wei     16     344 pm       16     were no longer needed. So that's why this is my last     16     (A short adjournment)       17     report.     7     MR DOLLDING: Gool aftermoon, sir. Good aftermoon, sir. Good aftermoon, air. Ma BOULDING: Idon't hano whether you'd like him to take it       2     of the mare agreed, bready speaking, as to what     21     MR BOULDING: Idon't hano whether you'd like him to take it				
6     slab. Remedial proposal was under preparing. It was aggested neives the gap hetween soffit and concrete pump pipe to facilitac concrete flow."     6     morning.       7     Suggested neives the gap hetween soffit and concrete pump pipe to facilitac concrete flow."     8     MR PENNICOTT: We'll see how we go.       9     Mr Ngai, as 1 understand it, tha's your last     9     CHAIRMAN: And my understanding is we're adjourning a little orary this veroning.       11     A. Yes, it would be the last report, the one dated 1 June.     11     MR PENNICOTT: Yes, sir. please.       12     Q. It may seem a simple question but why did you stop at 13     13 MR PENNICOTT: Yes, sir.     14       14     A. On J June, I received an instruction from Mr Rooney, 14     13 Gap and 30 (A short adjournment)     16       15     saying that we had completed our mission, our duty, we 15     16     (A short adjournment)       16     were no longer needed. So that's why this is my last 17     16     (A short adjournment)       17     report.     17     MR BOULDING: Good aftermoon, sir. Good aftermoon, 17       18     Q. All right.     19     And good aftermoon, Mr Kit Chan, our next witness.       10     Mr Jacky Lee from the MTR lipst a moment ago some issoes 20     50     51, you will recognise Mr Chan the first part 21       21     of the mar eagreed. broody speaking, as to what 24     26     34 MR BOULDING: Tokav whether you regard him as still 25   <		· · · ·		
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11       A. Yes, it would be the last report, the one dated I June.       11       MR PENNICOTT: Yes, sir, please.         12       Q. It may seem a simple question but why did you stop at       12       CHAIRMAN: Good. Okay. Ten minutes?         14       A. On I June, I received an instruction from Mr Rooney,       13       MR PENNICOTT: Yes, sir,         15       saying that we had completed our mission, our duty; we       15       (3.40 pm)         17       report.       17       MR BOULDING: Good aftermoon, Sr. Good aftermoon,         18       Q. All right.       18       Professor.         19       So I ve discussed with Mr Holden from Leighton and       19       And good aftermoon, Mr Kit Chan, our next wimess.         20       of the III 2 internal sitch join, and thankfully both       21       CHAIRMAN: Yes.         21       of the mare agreed, broadly speaking, as to what       23       MR BOULDING: I don't know whether you regard him as still         24       happened and how it was resolved. But presumably you       24       bing on his oath or whether you'd like him to take it         25       sub-queet discussion on any future works.       3       MR BOULDING: I don't know Nether you'd like him to take it         24       job on 1 June?       1       CHAIRMAN: No. 1 think he needs to take a further         2       A. That's correct, be				
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22       of the 1112 internal stitch joint, and thankfully both       22       CHAIRMAN: Yes.         23       of them are agreed, broadly speaking, as to what       23       MR BOULDING: I don't know whether you regard him as still         24       hapened and how it was resolved. But presumably you       24       being on his oath or whether you'd like him to take it         25       have no knowledge of those matters if you finished your       25       again.         26       A. That's correct, because I was not involved in any       3       subsequent discussion on any future works.       3       MR BOULDING: So be it.         2       A. That's correct, because I was not involved in any       3       subsequent discussion on any future works.       3       MR BOULDING: So be it.         4       MR PENNICOTT: Thank you very much, Mr Ngai.       5       Examination-in-chief by MR BOULDING       6         5       Sir, I have no further questions.       5       Examination-in-chief by MR BOULDING       6         6       CHAIRMAN: Thank you.       6       MR BOULDING: Thank Nou very much, Mr Chan. You've giver         7       MS LAU: No questions from us, sir.       8       from you to assist the learned Commissioners, and I hope         9       MS PANG: I don't want to disappoint my learned friends       9       we will see the first page of your witness				
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25 MR PENNICOTT: I was going to suggest that, yes, sin 25. Do we there see in the top left-hand corner that	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	<ul> <li>job on 1 June?</li> <li>A. That's correct, because I was not involved in any subsequent discussion on any future works.</li> <li>MR PENNICOTT: Thank you very much, Mr Ngai. Sir, I have no further questions.</li> <li>CHAIRMAN: Thank you.</li> <li>MS LAU: No questions from us, sir.</li> <li>CHAIRMAN: Thank you.</li> <li>MS PANG: I don't want to disappoint my learned friends Mr Boulding and Mr Wong but I'm afraid all of the questions I intended to ask have already been covered, so no questions from us.</li> <li>MR SHIEH: No questions.</li> <li>MR LIU: No questions from Pypun.</li> <li>MR BOULDING: And no re-examination, sir. What a delightful afternoon we are having! Thank you very much.</li> <li>CHAIRMAN: Yes, Mr Ngai. Thank you very much for your attendance. Your evidence is now finished and you can be excused. Thank you. (The witness was released) Sir, my next witness and the last witness for</li> </ul>	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	<ul> <li>CHAIRMAN: No. I think he needs to take a further affirmation.</li> <li>MR BOULDING: So be it.</li> <li>MR CHAN KIT LAM, KIT (affirmed) <ul> <li>Examination-in-chief by MR BOULDING</li> </ul> </li> <li>MR BOULDING: Thank you very much, Mr Chan. You've giver evidence before but we have another witness statement from you to assist the learned Commissioners, and I hope we will see the first page at BB8/5187.</li> <li>Do we there see the first page of your witness statement, Mr Chan?</li> <li>A. Yes.</li> <li>Q. Then, hopefully, we'll pick up the signature page at BB8/5206.</li> <li>There do we see your signature, under the date of 16 May 2019?</li> <li>A. Yes.</li> <li>Q. Are the contents of this statement true to the best of your knowledge and belief?</li> <li>A. Yes, it's true.</li> <li>Q. It may well be that the Commissioners remember where you are in the MTR hierarchy from last time, but just by way</li> </ul>
25 Do we more see in the top fort-hand corner that	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	<ul> <li>job on 1 June?</li> <li>A. That's correct, because I was not involved in any subsequent discussion on any future works.</li> <li>MR PENNICOTT: Thank you very much, Mr Ngai. Sir, I have no further questions.</li> <li>CHAIRMAN: Thank you.</li> <li>MS LAU: No questions from us, sir.</li> <li>CHAIRMAN: Thank you.</li> <li>MS PANG: I don't want to disappoint my learned friends Mr Boulding and Mr Wong but I'm afraid all of the questions I intended to ask have already been covered, so no questions from us.</li> <li>MR SHIEH: No questions.</li> <li>MR LIU: No questions from Pypun.</li> <li>MR BOULDING: And no re-examination, sir. What a delightful afternoon we are having! Thank you very much.</li> <li>CHAIRMAN: Yes, Mr Ngai. Thank you very much for your attendance. Your evidence is now finished and you can be excused. Thank you. (The witness was released)</li> <li>Sir, my next witness and the last witness for today I see Mr Pennicott standing up.</li> </ul>	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	<ul> <li>CHAIRMAN: No. I think he needs to take a further affirmation.</li> <li>MR BOULDING: So be it.</li> <li>MR CHAN KIT LAM, KIT (affirmed) Examination-in-chief by MR BOULDING</li> <li>MR BOULDING: Thank you very much, Mr Chan. You've given evidence before but we have another witness statement from you to assist the learned Commissioners, and I hope we will see the first page at BB8/5187. Do we there see the first page of your witness statement, Mr Chan?</li> <li>A. Yes.</li> <li>Q. Then, hopefully, we'll pick up the signature page at BB8/5206. There do we see your signature, under the date of 16 May 2019?</li> <li>A. Yes.</li> <li>Q. Are the contents of this statement true to the best of your knowledge and belief?</li> <li>A. Yes, it's true.</li> <li>Q. It may well be that the Commissioners remember where you are in the MTR hierarchy from last time, but just by way of a reminder, perhaps we could look firstly at</li> </ul>

28 (Pages 109 to 112)

	Page 113		Page 115
1	this is the chart as at the date of 15 January 2015; is	1	Q. Right. One thing you don't specifically mention in
2	that right?	2	those paragraphs, I think, Mr Chan, is MTR's RISC
3	A. Yes.	3	register, and I assume that at all material times you
4	Q. Do we there see you right at the top of the tree,	4	were aware of the existence of the MTR RISC register?
5	Mr Chan?	5	A. I have some understanding but I'm not involved, because
6	A. Yes.	6	I delegate all this RISC register management to my
7	Q. Just to get a glimpse of another time during your	7	administration staff.
8	involvement, perhaps we could now go to B2/576. Here,	8	Q. But you were aware of its existence?
9	if you look at the top left-hand corner, we've moved on	9	A. Existence only. Not a lot of knowledge. But there's
10	for just over a year. We are now at 31 March 2016. Do	10	some system there to manage the in and out come of the
11	you see that?	11	RISC form.
12	A. Yes.	12	Q. Yes. I wasn't suggesting that you were necessarily
13	Q. And there, under the picture of Mr Aidan Rooney, do we	13	involved in inputting information into the register, but
14	see your photograph and name, Mr Chan?	14	you at least knew that it was there, it
15	A. Yes.	15	A. Yes.
16	Q. Thank you very much. You'll know how this system works,		Q existed, and if at any time you wanted to have a look
17	I think, but just as a reminder, counsel for the	17	at it, presumably you could have done?
18	Commission of Inquiry will ask you questions first.	18	A. Yes.
19	Lawyers in the room can then ask you questions. The	19	Q. In paragraph 34 of your witness statement, you are there
20	learned Commissioners can ask you questions at any time	20	addressing the issue of what happens if a particular
21	they feel appropriate. And it might be that I ask you	21	RISC form is not issued for a rebar inspection or
22	further questions at the conclusion of the process.	22	a pre-pour inspection. Do you recall that?
23	A. Yes. Thank you very much.	23	A. Yes.
24	MR BOULDING: You are welcome.	24	Q. Right. You say at paragraph 34:
25	Examination by MR PENNICOTT	25	"As the subsequent works following the rebar fixing
	Page 114		Page 116
1	MR PENNICOTT: Good afternoon, Mr Chan.	1	and pre-pour checking hold points were likely to involve
2	A. Good afternoon.	2	a different gang of workers and/or mobilising other
3	Q. Thank you once again for coming to give evidence to the	3	equipment (such as concreting trucks), I believe it
4	Commission.	4	would be difficult for works to have proceeded beyond
5	Mr Chan, first of all, my understanding is that	5	the rebar fixing and pre-pour checking hold points
6	throughout the course of your responsibilities as	6	entirely unnoticed."
7	construction manager and we've obviously just looked	7	First of all, can you explain your belief there,
8	at the organisation charts, or at least two of them	8	Mr Chan?
9	you reported to Mr Rooney, to Aidan Rooney?	9	A. I have been in the industry for more than 40 years. The
10	A. Yes, sir.	10	normal procedures, when you do the rebar checking, that
11	Q. In your witness statement for current purposes, you	11	means basically the pour is ready for concreting
12	focus on the HHS area because, as you explain in your	12	a couple of days later, and then there are not many
13	witness statement, only a limited number of pours,	13	people working there, until the rebar checking finished;
14	that's concrete pours, had been carried out in the NAT	14	then the contractor will mobilise another gang, the
15	and the SAT areas by the time you had left the project	15	carpenters, to put up the shutters, the kickers (?),
16	in May 2016?	16	right; there are different people.
17	A. Yes, sir.	17	Normally, they won't mobilise until the rebar
18	Q. And therefore most of my questions are going to be	18	checking is finished, most of the time, although some
19	focused on the HHS area.	19	exception case. And even after the pre-pour check and
20	Can I, however, first of all ask you this. In	20	everything, all the carpenters have gone away and then
21	paragraph 31 of your witness statement, at BB5195, you	21	we have the concrete gang, different people, they have
22	are there dealing with RISC form inspections and the	22	to mobilise a lot of equipment, like a concrete truck,
23	steps that were involved in the RISC form process. Do	23	the pumping truck, the vibrator; a totally different
24	you see that?	24	scenario.
25	A. I see that.	25	So every experienced supervisor will know that what

	Page 117		Page 119
1	happens on site. There's no confusion.	1	an understatement?
2	Q. All right. So part of your reasoning for why it would	2	A. Not really. I would put it this way. There are several
3	be difficult to proceed without those checks having been	3	reasons why the RISC forms are not submitted on time or
4	done	4	not submitted at all. Based on my past experience,
5	A. Yes.	5	there are several reasons.
6	Q is the different operations that are involved	6	First, it all depends on the performance of
7	A. Exactly.	7	individual teams. Some team members do a better job
8	Q one after the other?	8	than others, like the survey team normally have a very
9	A. Yes.	9	good RISC form submission record.
10	Q. All right. You go on to say in this paragraph:	10	The second reason is, for big important inspections,
11	"I also believe that if Leighton proceeded to pour	11	they normally have RISC forms in order; like the EWL
12	concrete without first having obtained the relevant	12	slab construction, they are 100 per cent. For minor
13	permission to proceed from MTR's CM team, members of	13	pours, normally take a more relaxed view; okay, just
14	MTR's CM team would report such fact to me, and I would	14	draw pit, a minor concrete pour for a wall, they
15	follow up with Leighton's project director."	15	probably don't pay too much attention.
16	That's Mr Plummer at the relevant time.	16	Another reason is during peak construction period,
17	A. Yes.	17	when everyone is so busy, we may have 10-20 RISC forms
18	Q. That suggests to me, Mr Chan and perhaps it is rather	18	to submit every day and it is very time-consuming and
19	obvious that if concrete was poured without having	19	very troublesome. I can have some sympathy for some
20	the relevant permission, that is the rebar inspection	20	non-essential inspection, because the RISC form applies
21	and the pre-pour inspection, that would be regarded by	21	to every pour; whether important or not important, you
22	you as a very serious matter?	22	still have to go through the steps.
23	A. Obviously. Everyone knows my style, everyone knows my	23	The RISC form system has been in Hong Kong for more
24	mobile phone number. If that happens, my	24	than 40 years, since I started work on site 40 years,
25	inspector/engineer will call me immediately. Then my	25	there are still RISC forms. But, at that time, they
	Page 118		Page 120
1	first thing is I will call my counterpart and go to site	1	were not much work for the engineers to look after
2	to find out what happened on site. According to my	2	because we don't have to worry about safety,
3	recollection, it never happened during my time in this	3	environmental, and then the job a lot simpler and more
4	project, three years ago.	4	time, more resources. But the system of RISC form never
5	Q. So, as you say, you would if a concrete pour had	5	changed. We still impose the same procedures.
6	taken place without the relevant permissions, you'd	6	So that's the reason why I have some sympathy if the
7	expect that to be reported effectively right to the top?	7	RISC form not submitted on time for some minor pours,
8	A. Exactly.	8	but for important pours I insist that. I also have
9	Q. To you?	9	always keep a close eye on this status.
10	A. This is my guideline to my team, and my counterpart are	10	That's why, when you look at my paragraph 37, when
11	fully aware that, they dare not to do that. It's not to	11	the RISC form are not very good, I raise my concern to
12	their advantage. There's no benefit doing that.	12	my counterpart say, "Look, you've got to do a gooder
13	Q. Right.	13	job; you cannot deteriorate the situation."
14	Then you say in paragraph 35:	14	Q. Yes, and we are going to look at Mr Harman's registers
15	"As to the inspection of the rebar fixing and	15	in a moment.
16	pre-pour checking on site and having revisited this	16	A. Yes.
17	issue recently, occasionally" I emphasise the word	17	Q. But before we get there, have you had an opportunity of
18	"occasionally" "the CM team did not strictly enforce	18	looking at the HHS table that's been prepared?
19	the procedures relating to the submission of RISC forms	19	A. Yes.
20	prior to inspection of those works and the CM team	20	Q. If we could please have a look at that together. That's
21	tolerated the late submission of RISC forms by their	21	at CC9/5642.
1	tolerated the face submission of Kise forms by them		
22	counterparts."	22	Have you had an opportunity of looking at this
22 23	counterparts." Mr Chan, perhaps knowing what you now know about the		Have you had an opportunity of looking at this particular table?
22	counterparts."		

1analyses, amongst other things, the RISC forms, and2indeed the completeness of RISC forms; you can see that3on page 1021.4If you look under the table 1 which is dealing with5rebar fixing do you see that?6A. Yes.7Q. In the "HHS (AB)", that's the accommodation blocks8A. You are talking about table 1; right?9Q. Yes, table 1.10A. Okay.11Q. It's recorded and this is essentially a distillation12of the table, the large table that we've just been13looking at14A. Yes.15Q effectively there ought to have been of the order16of 96 RISC forms. Only 28 were available, and so only1729 per cent on the accommodation blocks were issued.18A. Yes.16A. Yes.1729 per cent on the accommodation blocks were issued.18A. Yes.19From the accommodation blocks were issued.10Definition accommodation blocks were issued.18A. Yes.19From the accommodation blocks were issued.10P effectively there ought to have been of the order16A. Yes.1729 per cent on the accommodation blocks were issued.18A. Yes.19To put it shortly, as a result of your		Page 121		Page 123
<ul> <li>A. I don't have the chance to read this information before, but I think we've got a very similar poor summary Q. Yes.</li> <li>A which contained similar information before, right?</li> <li>Q. Yes, that's right. I think you would accept, would you not, Mr Chan, that both in relation to the track slabs and the accommodation blocks, a significant majority of the project?</li> <li>Q. Therefore, if one looks at the percentages of RISC form summary issued, that happened effectively during your tenure as that were issued against those that ought to have been issued, that happened effectively during your tenure as the construction manager; you would accept that?</li> <li>A. Accept that, yes.</li> <li>G. But it is a report, you can perhaps see at the top, prepared by Pypun ger perpared by Pypun ger perpared by Pypun ger prized by Pypun ger fixing do you see that?</li> <li>analyses, amongst other things, the RISC forms; you can see that?</li> <li>A. Yes.</li> <li>analyses, amongst other things, the RISC forms; you can see that?</li> <li>analyses, amongst other things, the RISC forms; you can see that?</li> <li>analyses, amongst other things, the RISC forms; you can see that?</li> <li>analyses, amongst other things, the RISC forms; you can see that?</li> <li>analyses, amongst other things, the RISC forms; you can see that?</li> <li>analyses, amongst other things, the RISC forms; you can see that?</li> <li>analyses, tamolating about table 1; right?</li> <li>Q. If we could leage table that we've just been indeed the completeness of RISC forms; you can see that?</li> <li>analyses, tamolating about table 1; right?</li> <li>Q. If we could that the large table that we've just been indeed the completeness of RISC forms; you can see that?</li> <li>A. Yes.</li> <li>A. Yes.</li> <li>A. Yes.</li> <li>A. Yes.</li> <li>A. Yes.</li> <li>A. Yes.</li> <li>D. Inte "HIIS (AB)", that's the accommodation blocks</li></ul>	1	O. Yes, that's right.	1	I accept that some of the pours took place after you had
3       A. Yes.         4       Q. Yes.         4       Q. Yes.         6       Q. Yes. that's right. 1 think you would accept, would you         7       not. Mr Chan, that both in relation to the track slabs         8       and the caronmodation blocks, a significant majority of         9       the pours in those areas took place before May 2016,         10       before you left the project?         11       status of the RISC form.         12       Q. Therefore, if one looks at the percentages of RISC forms.         13       that were issued against those that ought to have been         14       the construction manager, you would accept that?         16       A. Accept that, yes.         17       Q. File could please put on the screen GG1021. It's GG3,         18       I think.         19       I don't suppose you've looked at this document         10       before, Mr Chan.         21       A. No, no, no.         22       Q. File could please put on the screen GG1021. It's GG3,         23       Q wo hehalf of the government, and it's a report that         24       A. Yes.         25       Q on behalf of the government, and it's a report that         25       Q on behalf of the government, and it's a repor		-		
<ul> <li>Q. Yes.</li> <li>Q. Yes. which contained similar information before; right?</li> <li>Q. Yes. that's right. Think you would accept, would you not, Mr Chan, that both in relation to the track slabs and the accommodation blocks, a significant transity of the project?</li> <li>Q. Therefore; if one looks at the percentages of RISC forms.</li> <li>Q. Therefore; if one looks at the percentages of RISC forms.</li> <li>Q. Therefore; if one looks at the percentages of RISC forms.</li> <li>That's the reason why, at the beginning of 2012. Is to complain to my counterpart, and then they start in the were issued against those that ought to have been issued, that happened effectively during your tenure as the think.</li> <li>Therefore; if one looks at the percentages of RISC forms.</li> <li>A. Accept that, yes.</li> <li>Q. But it is a report, you can perhaps see at the top, 22</li> <li>Q. Hwe could please put on the screen GG1021. It's GG3, 14. No no, no.</li> <li>Q. Hwe could please put on the screen GG1021. It's GG3, 20 on behalf of the government, and it's a report that</li> <li>That's one reason why - 1 know there's some local set and the completeness of RISC forms, and 2. indeed the completeness of RISC forms, you can perhaps see at the top, 21. A. No, no, no.</li> <li>Q on behalf of the government, and it's a report that</li> <li>indeed the completeness of RISC forms, you can perhaps see at the top, 24. Yes.</li> <li>Yes.</li> <li>Yes.</li></ul>				
5       A which contained similar information before; right?       6       Q. Yes, that's right. I think you would accept, would you not. Wr Chan, that both in relation to the track slabs       6       A. We don't have this statistic at that time, but I'm aw the RISC forms usubmission are not in an ideal situation.         9       the pours in those areas took place before May 2016, 10       6       A. Yes.       7         11       A. Yes.       10       addressing this issue, issue a weekly report on the status and the RISC form.       11         12       Q. Therefore, if one looks at the percentages of RISC forms.       13       14       issued, that happened effectively during your tenure as 14       15         16       A. Accept that, yes.       16       Q. Yes.       17       A. As I explained to you, those pours are not that significant because it's very small housing; not like FWI, we've got 100 per cent.         10       this a report, you can perhaps see at the top, prepared by Pypun -       22       20       Tats one reason why - 1 know there's some locali accept that, yes.         2       Q. Us it is a report, you can perhaps see at the top, ease amongst other things, the RISC forms, and indee if we'ry week, and I keep chas that's any I started to completing, and they start to complet this statistic every week, and I keep chas that's any I started to complain, and they start to complet this statistic every week, and I keep chas that's and this is essentially a distillation or and this is essentially a distillation or and this is essentially a distillation or and thas				
6       Q. Yes, that's right. I think you would accept, would you not, Mr Chan, that both in relation to the track slabs and the accommodation blocks, as ignificant majority of the accommodation blocks. are significant majority of the accommodation blocks are significant majority of the pours in those areas took place before May 2016, before you left the project?       A. We don't have this statistic at that time, but I'm aw the RISC form submission are not in an ideal situat of the RISC form.         12       Q. Therefore, if one looks at the percentages of RISC forms in the were issue against those that ought to have been it issued, that happened effectively during your tenuer as the construction manager; you would accept that?       That's the RISC form.         13       the construction manager; you would accept that?       Now, the worst scenario is accommodation block.         16       A. Accept that, yes.       Now, the worst scenario is accommodation block.         17       Q. If we could please put on the screen GG1021. It's GG3, prepared by Pypun –       Now, the worst scenario is accommodation block.         20       But it is a report, you can perhaps see at the top, 23       Page 122         11       analyses, amongst other things, the RISC forms; you canse ethat indeed the completeness of RISC forms; you canse ethat indeed the completeness of RISC forms; you canse ethat indeed the completeness of RISC forms; you canse ethat indeed the completeness of RISC forms; you can see that is a report.       11         21       analyses, amongst other things, the RISC forms; you canse ethat is a report.       23       12		-		-
7       not, Mr Chan, that both in relation to the track slabs       7       the RISC form submission are not in an ideal situat         8       and the accommodation blocks, a significant majority of       8         9       the pours in those areas took place before May 2016,       10         10       before you left the project?       10         11       A. Yes.       11         12       Q. Therefore, if one looks at the percentages of RISC forms.       11         13       that were issued against those that ought to have been       13         14       issued, that happened effectively during your tenure as       15         15       the construction manager; you would accept that?       16         16       A. Accept that, yes.       16       Q. Yes.         17       Q. If we could please put on the screen GG1021. It's GG3,       17       A. As I explained to you, those pours are nore that significant because it's very small housing; not like significant because it's very small housing; not like teams. Some team members are more diligent, mon         21       Q. But it is a report, you can perhaps see at the top,       27       I answered your question, it depends many reasons         23 <i>p</i> - on behalf of the government, and it's a report that       25       That's one reason why I know ther's some localian         24       A. Yes.       16		-		_
8       and the accommodation blocks, a significant majority of the pours in those areas took place before May 2016, 10       8       That's the reason why, at the beginning of 2015, I s to complain to my counterpart, and then they start addressing this issue, issue a weekly report on the status of the RISC form.         12       Q. Therefore, if one looks at the percentages of RISC forms issued, that happende effectively during your tenure as the construction manager; you would accept that?       11         13       that we could please put on the screen GG1021. It's GG3, 17       Q. If we could please put on the screen GG1021. It's GG3, 18       11         14       A. Accept that, yes.       10       I also mentioned to you, depends on the individu teams. Some team members are more diligent, mo disciplined. That's why I explained just before, 32       Q. Five we cannot block at the portent.         15       Q. But it is a report, you can perhaps see at the top, 32       21       Lassome team members are more diligent, mo disciplined. That's why I explained just before, 32         24       A. Yes.       22       Page 122         1       analyses, amongst other things, the RISC forms, you can see that?       3       job. That's why I started to complain, and they star 33       the will be under manageable condition.         7       Q. If the "HHS (AB", that's the accommodation blocks 4. Yes.       1       job. That's why I started to complain, and they dating 34       1         8       A. Yes.       1       job. That				
9       the pours in those areas took place before May 2016,       9       to complain to my counterpart, and then they start addressing this issue, issue a weekly report on the status of the RISC form.         12       Q. Therefore, if one looks at the percentages of RISC forms.       11         13       that were issued against those that ought to have been it construction manager; you would accept that?       13         16       A. Accept that, yes.       14         17       Q. If we could please put on the screen GG1021. It's GG3,       17         18       t fnink.       18         19       I don't suppose you've looked at this document       19         10       addressing this issue are more diligent, mo.       20         10       analyses, amongst other things, the RISC forms, and indeed the completeness of RISC forms; you can see that?       21         10       analyses, amongst other things, the RISC forms, and indeed the completeness of RISC forms; you can see that?       21         10       A. Yes.       10       10         20       Yes.       11       10         21       analyses, amongst other things, the RISC forms, and in deed the completeness of RISC forms; you can see that?       11         3       on page 1021.       Page 122       Page         4       A. Yes.       10       That's why I started to				
10       before you left the project?       10       addressing this issue, issue a weekly report on the titue of the RISC form.         11       A. Yes.       11       A. Yes.       10       addressing this issue, issue a weekly report on the titue of the RISC form.         12       Q. Therefore, if one looks at the percentages of RISC forms.       12       That's why, in my witness statement, paragraph i we know there's some problem in localised areas, n over the place.         14       issued, that happened effectively during your tenure as the construction manager; you would accept that?       16       Q. Accept that, yes.       16       Q. Yes.         18       I think.       17       A. St explained to you, these pours are not that significant because it's very small housing; not like EWL, we've got 100 per cent.       10         10       before, Mr Chan.       10       Tass members are more diligent, mo         22       Q. But it is a report, you can perhaps see at the top.       23       ianswered your question, it depends many reasons         24       A. Yes.       24       repara fixing - do you see that?       25       ianswered your question, it depends many reasons         25       Q on behalf of the government, and it's a report that       25       iarea, like accommodation blocks, are not doing a g         26       Q on behalf of the table 1 which is dealing with       5       In the "HHS (AB)", that's t				
11       A. Yes.       11       status of the RISC form.         12       Q. Therefore, if one looks at the percentages of RISC forms.       12       That's why, in my witness statement, paragraph i we know there's some problem in localised areas, n over the place.         13       that were issued against those that ought to have been issued, that happened effectively during your tenure as the construction manager; you would accept that?       16       A. Accept that, yes.       17       A. As I explained to you, those pours are not that significant because it's very small housing; not like         19       I don't suppose you've looked at this document       10       Ves.       13       Now, the worst scenario is accommodation block         14       I think.       18       I think.       19       I don't suppose you've looked at this document         10       before, Mr Chan.       10       I also mentioned to you, depends on the individu teams. Some team members are more diligent, mo         21       Q. But it is a report, you can perhaps see at the top, prepared by Pypun       23       I answered your queustoin, it depends many reasons that's one reason some local area, like accommodation blocks, are not doing a g         22       I analyses, amongst other things, the RISC forms, and indeed the completeness of RISC forms; you can see that'       1       job. That's why I started to complain, and they star to compile this statistic very week, and I keep chan area, like accommodation blocks       A. Yes.       1<				
12       Q. Therefore, if one looks at the percentages of RISC forms:       12       That's why, in my witness statement, paragraph i         13       that were issued against those that ought to have been       13       we know there's some problem in localised areas, n         14       issued, that happened effectively during your tenure as       14       over the place.         15       the construction manager; you would accept that?       16       Q. Yes.         16       A. Accept that, yes.       16       Q. Yes.         17       Q. If we could please put on the screen GG1021. It's GG3,       17       A. As I explained to you, those pours are not that         18       I think.       18       significant because it's very small housing; not like         19       I don'i suppose you've looked at this document       19       EWL, we've got 100 per cent.         20       Betore, Mr Chan.       20       I also mentioned to you, depends on the individu         21       a now prepared by Pypun       23       I answered your question, it depends many reasons         24       A. Yes.       24       That's why I started to complain, and they start         2       indeed the completeness of RISC forms; you can peat logl.       1       job. That's why I started to complain, and they start         3       on page 1021.       Freas.				
13       that were issued against those that ought to have been issued, that happened effectively during your tenure as       13       we know there's some problem in localised areas, n over the place.         14       issued, that happened effectively during your tenure as       14       over the place.         15       the construction manager; you would accept that?       16       A. Accept that, yees.         17       Q. If we could please put on the screen GG1021. It's GG3, 18       I think.       18       Significant because it's very small housing; not like         19       I don't suppose you've looked at this document       19       EWL, we've got 100 per cent.       20         20       Beti it is a report, you can perhaps see at the top, prepared by Pypun -       23       I also mentioned to you, depends on the individu teams. Some team members are more diligent, mo.         21       analyses, amongst other things, the RISC forms, and 2       10       iob. That's why I started to complain, and they start to compile this statistic every week, and I keep chas them to make sure the situation will not deteriorate, and then it will be under manageable condition.         7       Q. In the "HHS (AB)", that's the accommodation blocks 8       8       That why I take whatever action I can to remind my counterpart, "You cannot deteriorate the situation; you start to improve it to a reasonable       10         9       Yes, table 1.       11       11       11       11				
14       issued, that happened effectively during your tenure as the construction manager; you would accept that?       14       over the place.         15       the construction manager; you would accept that?       16       A. Accept that, yes.       17         16       A. Accept that, yes.       17       A. If we could please put on the screen GG1021. It's GG3, 18       17       A. As 1 explained to you, those pours are not that significant because it's very small housing; not like         19       I don't suppose you've looked at this document       19       EWL, we've got 100 per cent.         20       But it is a report, you can perhaps see at the top,       11       I also mentioned to you, depends on the individu         21       prepared by Pypun       23       I answered your question, it depends many reasons         23       Q on behalf of the government, and it's a report that       25       area, like accommodation blocks, are not doing a g         21       analyses, amongst other things, the RISC forms; you can see that       job. That's why I started to complain, and they star       to compile this statistic every week, and I keep chas         3       on page 1021.       3       them to make sure the situation will not deteriorate,         4       If you look under the table 1 which is dealing with       5       In the Hong Kong industry, I don't expect every s         6       A. Yes.       7 <td></td> <td></td> <td></td> <td></td>				
15       the construction manager; you would accept that?         16       A. Accept that, yes.         17       Q. If we could please put on the screen GG1021. It's GG3,         18       I think.         19       I don't suppose you've looked at this document         20       before, Mr Chan.         21       A. No, no, no.         22       Q. But it is a report, you can perhaps see at the top,         23       prepared by Pypun         24       A. Yes.         25       Q on behalf of the government, and it's a report that         26       Q on behalf of the government, and it's a report that?         27       Page 122         1       analyses, amongst other things, the RISC forms, and infeed the completeness of RISC forms; you can see that?         3       on page 1021.         14       A. Yes.         7       Q. In the "HHS (AB)", that's the accommodation blocks         8       A. Yes.         9       Q. Yes, table 1.         10       A. Okay.         11       A. Stexet and thei is sessentially a distillation         12       If we could please bloc have been of the order         14       A. Yes.         15       Q effectively there ought to have been of the ord		-		-
16       A. Accept that, yes.       16       Q. Yes.         17       Q. If we could please put on the screen GG1021. It's GG3,       17       A. As I explained to you, those pours are not that         18       I think.       18       indon't suppose you've looked at this document       19       I kas mentioned to you, depends on the individu         20       before, Mr Chan.       20       I also mentioned to you, depends on the individu         21       A. No, no, no.       20       G. Yes.         22       Q. But it is a report, you can perhaps see at the top,       21       I also mentioned to you, depends on the individu         23       prepared by Pypun       23       I answered your question, it depends many reasons         24       A. Yes.       24       That's one reason why I know there's some locall         25       analyses, amongst other things, the RISC forms, and       1       job. That's why I started to complain, and they star         2       indeed the completeness of RISC forms; you can see that?       3       them to make sure the situation will not deteriorate, and then it will be under manageable condition.         3       on page 1021.       In the Hong Kong industry, I don't expect every set, and I keep chas         4       T fy ou look under the table 1 which is dealing with       5       In the Hong Kong industry, I don't expect every set, a				-
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<ul> <li>A. Yes.</li> <li>Q. In the "HHS (AB)", that's the accommodation blocks</li> <li>A. You are talking about table 1; right?</li> <li>Q. Yes, table 1.</li> <li>A. Okay.</li> <li>Q. It's recorded and this is essentially a distillation</li> <li>of the table, the large table that we've just been</li> <li>looking at</li> <li>A. Yes.</li> <li>Q effectively there ought to have been of the order</li> <li>of 96 RISC forms. Only 28 were available, and so only</li> <li>A. Yes.</li> <li>A. Yes.</li> <li>A. Yes.</li> <li>Chinese spoken), Guangzhou-Macau Bridge, we've</li> <li>thousands of them; right? That's a typical example.</li> <li>J'm not saying I do a good job but I know the</li> <li>problem. That's why I take whatever action I can to</li> <li>remind my counterpart, "You cannot deteriorate the</li> <li>situation; you start to improve it to a reasonable</li> <li>degree of satisfaction."</li> <li>Q. Okay. Well, let's just explore a little bit your</li> <li>paragraphs 37 and 38 of your witness statement</li> <li>A. Yes.</li> <li>To put it shortly, as a result of your</li> </ul>	4	If you look under the table 1 which is dealing with	4	and then it will be under manageable condition.
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<ul> <li>A. You are talking about table 1; right?</li> <li>Q. Yes, table 1.</li> <li>A. Okay.</li> <li>Q. It's recorded and this is essentially a distillation</li> <li>of the table, the large table that we've just been</li> <li>looking at</li> <li>A. Yes.</li> <li>Q effectively there ought to have been of the order</li> <li>of 96 RISC forms. Only 28 were available, and so only</li> <li>A. Yes.</li> <li>A. Yes.</li></ul>	6	A. Yes.	6	will have 100 per cent good record in RISC form, like
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18A. Yes.18To put it shortly, as a result of your	17		17	Q that you've mentioned.
	18	A. Yes.	18	
19 Q. Then leaving aside, as I say, the NFA, which obviously 19 dissatisfaction and the complaints that you were ma	19	Q. Then leaving aside, as I say, the NFA, which obviously	19	dissatisfaction and the complaints that you were making,
20 has a much better rate, percentage rate, than any other 20 as I understand it, with regard to the RISC forms,	20		20	
21 area, and you look at the HHS, which is essentially the 21 Mr Harman created, as you say, a special request,	21		21	-
22 tracks, the track slabs and the underpasses and so 22 register.	22		22	
23 forth, out of a required number of 436, there are 149 23 A. By me.		-		-
24 RISC forms, that is 34 per cent. 24 Q. Yes, quite.		-		-
25 Now, Mr Chan, obviously these are broad figures and 25 Could we just look at BB8, please, 5710.	1	-	25	Could we just look at BB8 please 5710

31 (Pages 121 to 124)

	Page 125		Page 127
1	A. Thank you.	1	weeks to see improvements".
2	Q. This is first of all, Mr Chan, my understanding up to	2	Then also I think 36B is to similar effect:
3	now is that this is a schedule, a table, that Mr Harman	3	"Leighton are not submitting RISC records inspection
4	prepared.	4	requests."
5	A. Every week.	5	So 38A is "late" and "omitting RISC records
6	Q. Every week?	6	submissions"; and then, 36B, "Leighton are not
7	A. Yes.	7	submitting RISC records inspection requests"; yes?
8	Q. Did he submit it to you?	8	A. Yes.
9	A. Yes, by email.	9	Q. Then I won't read the rest of the details out across the
10	Q. Right.	10	
10	A. And to my two senior construction engineers and a lot of	-	page. Then if we could go to page 5738 in the same file.
11	senior staff in the contractor team. More than ten	11	We have now moved on a couple of months, Mr Chan, to
			*
13	people receive this report every week.	13	August 2015. I'm at page 5738. Do you have that?
14	Q. Right. I think this is the first one, back on 13 May	14	A. Yes.
15	2015?	15	Q. We see that 36A has disappeared from this register; do
16	A. No, not the first one. I remember it start either end	16	you see that?
17	of December 2014 or early December.	17	A. Yes, for some reason.
18	Q. Okay. Fair enough. Perhaps it doesn't matter too much.	18	Q. You deal with this in paragraph 39 of your witness
19	But anyway, it came to you on a regular basis	19	statement, when you say this:
20	A. Yes.	20	"Initially, Leighton had envisaged that the problem
21	Q and to a couple of your senior colleagues?	21	would be resolved soon. Although Leighton had
22	A. Yes.	22	purportedly resolved item 36A on or about 19 August"
23	Q. If we could please scroll down to find we don't need	23	That's what we have just been looking at, Mr Chan.
24	to scroll down, it's there. At "Active tasks (still in	24	A. Yes.
25	process)", if we look at the KCR number I imagine	25	Q. " the problem of late submissions was in fact not
	Page 126		Page 128
1	that means Kit Chan register number	1	resolved and I understand that MTR's other witnesses
2	A. I think so. You are very smart.	2	will give further evidence in relation thereto."
3	Q as opposed to the Kowloon-Canton Railway.	3	Then just for the sake of completeness, while we are
4	A. It should be Kit Chan register.	4	there, in paragraph 40 you say:
5	Q. At 36A	5	"In any event, item 36B [which we have looked
6	A. Yes.	6	
7			at] persisted."
	Q "Mode: Email and phone".	7	at] persisted." A. Yes.
8	<ul><li>Q "Mode: Email and phone".</li><li>"Leighton are making (1) late RISC submissions and</li></ul>		- *
	-	7	A. Yes.
8	"Leighton are making (1) late RISC submissions and	7 8	<ul><li>A. Yes.</li><li>Q. And, as I understand it, Mr Chan, that was a problem</li></ul>
8 9	"Leighton are making (1) late RISC submissions and (2) omitting RISC records submissions".	7 8 9	<ul><li>A. Yes.</li><li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left</li></ul>
8 9 10	"Leighton are making (1) late RISC submissions and (2) omitting RISC records submissions". Then "Actions taken":	7 8 9 10	<ul><li>A. Yes.</li><li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left the project and went on to a new project?</li></ul>
8 9 10 11	"Leighton are making (1) late RISC submissions and (2) omitting RISC records submissions". Then "Actions taken": "(1) Notified MTR stated problem to construction	7 8 9 10 11	<ul><li>A. Yes.</li><li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left the project and went on to a new project?</li><li>A. Yes.</li></ul>
8 9 10 11 12	"Leighton are making (1) late RISC submissions and (2) omitting RISC records submissions". Then "Actions taken": "(1) Notified MTR stated problem to construction team [date given].	7 8 9 10 11 12	<ul><li>A. Yes.</li><li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left the project and went on to a new project?</li><li>A. Yes.</li><li>Q. Was it a problem, to your understanding, to your way of</li></ul>
8 9 10 11 12 13	<ul> <li>"Leighton are making (1) late RISC submissions and</li> <li>(2) omitting RISC records submissions". Then "Actions taken":</li> <li>"(1) Notified MTR stated problem to construction</li> <li>team [date given].</li> <li>(2) Prepared RISC late submission summary and sent</li> </ul>	7 8 9 10 11 12 13	<ul> <li>A. Yes.</li> <li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left the project and went on to a new project?</li> <li>A. Yes.</li> <li>Q. Was it a problem, to your understanding, to your way of thinking at that time, that improved or not?</li> </ul>
8 9 10 11 12 13 14	<ul> <li>"Leighton are making (1) late RISC submissions and</li> <li>(2) omitting RISC records submissions". Then "Actions taken":</li> <li>"(1) Notified MTR stated problem to construction team [date given].</li> <li>(2) Prepared RISC late submission summary and sent to process owners and presented at weekly Tuesday</li> </ul>	7 8 9 10 11 12 13 14 15	<ul> <li>A. Yes.</li> <li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left the project and went on to a new project?</li> <li>A. Yes.</li> <li>Q. Was it a problem, to your understanding, to your way of thinking at that time, that improved or not?</li> <li>A. No. I can't exactly remember what happened three or</li> </ul>
8 9 10 11 12 13 14 15	<ul> <li>"Leighton are making (1) late RISC submissions and</li> <li>(2) omitting RISC records submissions". Then "Actions taken":</li> <li>"(1) Notified MTR stated problem to construction</li> <li>team [date given].</li> <li>(2) Prepared RISC late submission summary and sent</li> <li>to process owners and presented at weekly Tuesday</li> <li>project [management meetings]"</li> <li>Then a column headed, "LCAL action champions". Then</li> </ul>	7 8 9 10 11 12 13 14 15	<ul> <li>A. Yes.</li> <li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left the project and went on to a new project?</li> <li>A. Yes.</li> <li>Q. Was it a problem, to your understanding, to your way of thinking at that time, that improved or not?</li> <li>A. No. I can't exactly remember what happened three or four years, but I keep reminding my counterpart and my senior inspector of works, "If you have problem, if the</li> </ul>
8 9 10 11 12 13 14 15 16	<ul> <li>"Leighton are making (1) late RISC submissions and</li> <li>(2) omitting RISC records submissions". Then "Actions taken":</li> <li>"(1) Notified MTR stated problem to construction</li> <li>team [date given].</li> <li>(2) Prepared RISC late submission summary and sent</li> <li>to process owners and presented at weekly Tuesday</li> <li>project [management meetings]"</li> </ul>	7 8 9 10 11 12 13 14 15 16	<ul> <li>A. Yes.</li> <li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left the project and went on to a new project?</li> <li>A. Yes.</li> <li>Q. Was it a problem, to your understanding, to your way of thinking at that time, that improved or not?</li> <li>A. No. I can't exactly remember what happened three or four years, but I keep reminding my counterpart and my senior inspector of works, "If you have problem, if the situation deteriorates, for important pours, you don't</li> </ul>
8 9 10 11 12 13 14 15 16 17	<ul> <li>"Leighton are making (1) late RISC submissions and</li> <li>(2) omitting RISC records submissions". Then "Actions taken":</li> <li>"(1) Notified MTR stated problem to construction team [date given].</li> <li>(2) Prepared RISC late submission summary and sent to process owners and presented at weekly Tuesday project [management meetings]" Then a column headed, "LCAL action champions". Then we have the names of four people from Leighton; do you</li> </ul>	7 8 9 10 11 12 13 14 15 16 17	<ul> <li>A. Yes.</li> <li>Q. And, as I understand it, Mr Chan, that was a problem that persisted right through to May 2016, when you left the project and went on to a new project?</li> <li>A. Yes.</li> <li>Q. Was it a problem, to your understanding, to your way of thinking at that time, that improved or not?</li> <li>A. No. I can't exactly remember what happened three or four years, but I keep reminding my counterpart and my senior inspector of works, "If you have problem, if the situation deteriorates, for important pours, you don't submit that, you've got to raise your hand and let me</li> </ul>
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	Page 129		Page 131
1	SAT, even figure not very good, but before I left the	1	And also one very important thing is RISC form is
2	site, the percentage are not higher than the overall	2	a contractual requirement. It's an administrative
3	average. Even HHS, why we have a low per cent is the	3	procedure, not a statutory requirement. The contractor
4	trough wall. No minor pour. You got about maybe 300	4	normally don't pay high attention to that. Unless, if
5	pours for the trough wall, but the underpass, major	5	the government wants to make it a big deal "Okay,
6	pour, when I was there, it is more than the average.	6	it's a statutory requirement" then the whole thing
7	The track slab the same, the big pour.	7	would be different.
8	When you look at the HHS, the overall percentage,	8	I'm not trying to defend but this is it the reality
9	overall from start to finish, a lot of pours at trough	9	in the construction industry.
10	wall, we did it the last one or two years, 2016 to 2017.	10	Q. Okay.
11	So, when you say the figures, that figure only	11	A. It's the normal practice in this world. That is why you
12	applies overall. It doesn't apply to my state. I've	12	have found out the Guangzhou-Macau, because so many
13	got to elaborate a little bit.	13	thousands of RISC forms are not there. If we have more
14	Q. I understand that. But you are really at the moment, as	14	practical approach, not have that problems now.
15	I understand, Mr Chan, putting forward two	15	CHAIRMAN: Sorry, you made mention in your last answer of
16	justifications for the situation.	16	Macau, sorry?
17	A. Yes.	17	A. Zhuhai-Macau Bridge.
18	Q. One is there were what you've described as minor pours,	18	CHAIRMAN: Ah, sorry. Thank you.
19	and the second, perhaps related, is that there were	19	A. The big bridge leading to China, big in the newspapers.
20	a lot of pours.	20	This is one of the typical examples in the construction
21	A. Yes.	21	industry, but I think the industry changed now because
22	Q. A very big number of pours, particularly in the trough	22	the government expects a lot more. People start to
23	walls.	23	think there's this expectation, so they start paying
24	A. Yes.	24	more attention and resources on that. I'm pretty sure
25	Q. Are you putting forward those two reasons as	25	the RISC form system is a lot better now since that
	Page 130		Page 132
1	Page 130 a legitimate excuse for the RISC forms not to be	1	Page 132 incident.
1 2	-	1 2	
	a legitimate excuse for the RISC forms not to be		incident.
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	Page 133		Page 135
1	on site, the fact that the law tells you to do something	1	CHAIRMAN: Of course, yes.
2	has a certain degree of immediacy about it, and you know	2	A. On the whole, the society expectations were a lot
3	that you are going to be in trouble. But contractually	3	different. I don't have to deal with CNP, no EPD, no
4	too, there's a direct obligation, is there not?	4	stakeholder meetings, no safety. The only concern is
5	A. I agree, there's an obligation to inform us to do the	5	fill in the RISC form and deal with the instruction and
6	hold-point inspection, and in fact my team have carried	6	get the job done. The work was a lot simpler than now
7	out the inspection even without a written RISC form. As	7	and there was more time to do. But the system never
8	I mentioned with my other colleague, they will go out	8	changed.
9	and check and take photos to record it. It's	9	I'm not trying to defend but I just tell you the
10	a different means to achieve the end product.	10	reality in Hong Kong.
11	CHAIRMAN: What has struck me, at this very early	10	MR PENNICOTT: Sure.
12	provisional stage, without the benefit of learned	12	CHAIRMAN: No, I think, in fairness again, subject to what
12	submissions from the various counsel, is that while it's	12	counsel will say, the Commission has been aware of
13	very understandable, there are unintended consequences	13 14	a number of sources saying exactly what you are saying,
14	that arise from a failure to submit a RISC form before	14	namely that there needs to be a use of technology and
15	the inspection takes place and only to submit it as some	15 16	
10	form of bureaucratic bother, sometimes weeks or even	10 17	simplification of the process, yes. MR PENNICOTT: Mr Chan, let me ask you this: did it ever
17	months after, and it's those unintended consequences,	17	-
18 19	-		occur to you, during the course of your work up to May
	because the system has been undermined and a new system,	19 20	2016 on the HHS, knowing as you did the problem that
20	a very uncertain casual system, has evolved in its	20	existed with the non-submission of RISC forms, to say to
21	place. That's the sort of problems that they create	21	Leighton, "Look, I'm sorry, chaps, but we are not going
22	problems.	22	to be inspecting this rebar anymore unless you start
23	A. I understand, because if you don't submit a RISC form,	23	submitting these RISC forms"?
24	there's a chance that people will, one way or the other,	24	A. If my inspector mentioned to me the situation had
25	forgot to do the inspection, that's true. But I'm	25	deteriorated, I would probably do that, because I always
	Page 134		Page 136
1	pretty sure most of the time, 99 per cent, people won't	1	believe that preventive measures is always better than
2	do the inspection and let them do the concrete pour.	2	remedial measures. I will probably do what you just
3	One or two occasions I can't limit; people make	3	said to me if my inspector of works mentioned to me the
4	mistakes.	4	situation had deteriorated; I would definitely step in.
5	You can't have a project management system to avoid	5	I promise you. This is my character.
6	any mistakes or all mistakes. Different system, if the	6	Q. But if that's right then your perception of the
7	1 1 1 7 7 1 1 1 1 1 1 1		
0	people don't execute the system in due diligence,	7	situation must have been that whilst there was
8	mistakes will still happen. We are human beings. That	7 8	situation must have been that whilst there was a problem, it wasn't serious enough
9	mistakes will still happen. We are human beings. That means we should have a system as simple as possible.	8 9	situation must have been that whilst there was a problem, it wasn't serious enough A. Exactly.
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34 (Pages 133 to 136)

25

the problem, then I keep reminding everyone if the

	Page 137		Page 139
1	was a senior inspection officer, and he spoke of	1	problem is still there, not satisfactory, please do work
2	receiving, for example, several months worth of RISC	2	together to make it in a manageable style.
3	forms sort of just put on his desk, and being diligent	3	COMMISSIONER HANSFORD: And would you also agree, Mr Chan,
4	he then had to set about the task as best he could based	4	that if things continue, people get into habits?
5	on notes and photographs and other odd things, to try to	5	A. I agree. That's the reason why, up to a certain point,
6	tie each of these RISC forms into its proper context;	6	I will talk to my senior inspector, "Are you capable to
7	you know, work which he didn't say so but I would	7	deal with this? If not, let me know, then I will take
8	imagine clearly he had to do out of hours, for example.	8	action to make sure to a certain degree of
9	I'm not suggesting that happened during your time,	9	satisfaction." Be honest, we can't 100 per cent, but
10	but when I talk about these unintended consequences,	10	you could do 80 to 70 per cent for not important works,
11	that's the sort of thing. Sometimes, instead of saving	11	okay. For important pours, I will require 100 per cent.
12	time, it just adds to time, but adds to time in a very	12	COMMISSIONER HANSFORD: 80 or 90 per cent is better than 29
13	uneven fashion. Some people get stuck with the problem,	13	or 34 per cent.
14	others don't.	14	A. I totally agree. That is subjective. That's why my
15	A. I totally agree with your assessment. If I know this	15	style is that I have some sympathy about late submission
16	situation, I will take action a lot earlier to stop that	16	due to all kinds of reasons, but there's a certain
17	happening. I will not tolerate that happening. If	17	bottom line you've got to protect. For important pours,
18	I know that the contractor failed to submit forms	18	big pours, you must have the RISC forms in place. For
19	several months, to my character, I just stop. That's my	19	minor pours, a draw pit, the system still applies but
20	bottom-line character. "You've got to stop it, you've	20	it's tedious; right?
20	got to do the job properly, otherwise no more works	21	COMMISSIONER HANSFORD: I understand.
21	on site."	22	A. So you've got to strike a balance.
22	CHAIRMAN: Yes.	23	CHAIRMAN: Again, it's helping us to understand the
23 24	A. We have to do that if the situation deteriorates to that	24	parameters of the problem, but one of the things and
24	extent.	25	I'm speaking purely personally that struck me was
23			
	Page 138		Page 140
1	COMMISSIONER HANSFORD: Mr Chan, as I understand it, you had		with some of the young engineers whose job it was to do
2	the power to stop work?	2	these inspections, that even though they fell behind in
3	A. I think, contractually, I can't use that power purely	3	their completion of RISC forms, they didn't seem too
4	because of the late submission of RISC forms. I will	4	alarmed by it, and from my position of complete
5	try to talk to them nicely and say, "Look, we can't	5	ignorance I thought to myself, as a young engineer,
6	tolerate this, otherwise I will do other administrative	6	I would have imagined myself having sleepless nights.
7	procedures to stop your work, to slow down your work."	7	And I think what slowly has come about is
8	COMMISSIONER HANSFORD: Let me put it a different way: you	8	a realisation, which you have now confirmed, that it was
9	had the ability to change things?	9	appreciated throughout management that some level of
10	A. I got certain power to change, but if the contractor not	10	dodging the RISC form burden was allowable, provided it
11	cooperate, I need the support from management, my senior	11	didn't get out of hand and provided it didn't affect
12	management, because	12	major pours or major rebar checks. Would that be right?
13	COMMISSIONER HANSFORD: That's a different point.	13	A. My view is a bit different from your view, Mr Chairman.
14	A. If I try my effort and still cannot resolve the	14	I mentioned earlier, right, the survey team, they are
15	situation, I will report to my general manager.	15	also very junior, they do 100 per cent job. If you look
16	COMMISSIONER HANSFORD: I understand that but that's	16	at the survey RISC form, 100 per cent. As I mentioned
17	a little bit hypothetical because we didn't get that	17	earlier, it depends on the performance of individuals.
18	far.	18	In a construction site, there are hundreds of people.
19	A. No. But if I take action earlier, I think the problem	19	Their capability and their performance varies a lot.
20	can be solved earlier. It's always to solve the problem	20	That's why I try to say that the simpler the system,
21	sooner rather than later.	21	the better. No matter how good the system is, the more
22	COMMISSIONER HANSFORD: Yes.	22	complicated we are all human beings, we all make
23	A. That is my style. I always like to resolve the problem.	23	mistakes. So the simpler the system, the better. I try
24	That's why I initiate that weekly report, so I know what	24	to emphasise that. It's not that we tolerate that. It
25	the problem then I keep reminding everyone if the		all demands on individuals. In a construction site

all depends on individuals. In a construction site,

25

	Page 141		Page 143
1	hundreds of people, some are young, some are more	1	
1	experienced, some are more conscience, more responsible;	1	I can't speak on his behalf why he don't know it.
2	-	2	Whether he read the email or not, I can't speak on his
3	some have lack of responsibility. That's the reason	3	behalf. Please ask him. But the reports are still
4	that's my own view it all depends on individual	4	there. Leighton still issued the reports, still got
5	performance, not because people tolerate that; right?	5	Kit Chan request, every week, until maybe six months
6	CHAIRMAN: Thank you very much. MR PENNICOTT: Just perhaps one last area but it's still on	6	later I can't tell, right, I can't manage that.
7	* *	7	Q. Park that point on one side for the moment, Mr Chan, if
8	sort of the same topic. You handed over this is quite an important area, I think the job that you had	8 9	you would. A. Yes.
9			
10	as construction manager in May 2016 to Mr Fu; is that	10	Q. If, in May 2016, when you were about to hand over the
11 12	right? A. Yes.	11 12	job to Mr Fu, somebody had given you a blank sheet of
			paper and invited you to write down the top 20 problems
13	Q. Was there a sort of handover period, a period where you	13	that existed on the project, would the RISC form problem have been one of them?
14	were both working together?	14	
15 16	A. I remember I got the notice three days ago only. So	15 16	<ul><li>A. This is a hypothetical question.</li><li>Q. Yes.</li></ul>
	I tried to hand over the job to Mr Michael Fu within three to four days, with less than a week.		-
17 18	•	17 18	A. I can't answer, right, because three years ago I can't
	Normally, I have a note about all site issues, I pass my note to him, saying maybe, "100 items you've		remember what I talked to him; right? So I can't tell.
19 20		19	So I can't answer your question because it's
20 21	got to pay attention." I can't remember exactly what I discussed with Michael within the handover discussion,	20	a hypothetical question; right?
		21 22	Q. It's a hypothetical question, I accept, but let's just
22 23	but I'm pretty sure those weekly reports were issued to MTR senior management, the construction manager, the two		pursue it a bit. Your perception of no doubt a number,
23 24	senior ConE, even after I left the site. If they pay	23 24	a host of problems that existed on the project in May
24 25	attention to the information in those weekly reports,	24 25	2016, there were no doubt a lot of issues, a lot of things going on in May 2016, where did the BISC form
23	· ·	23	things going on in May 2016 where did the RISC form
	Page 142		Page 144
1	they should know that RISC forms are still a problem.	1	problem feature in terms of importance in May 2016?
2	Q. The reason I'm asking the question, and I don't know	2	A. I'm pretty sure this is an important issue to address
3	whether you would have picked this up, if you have been		but I can't rank it, whether it's number 19 or 18. It's
4	listening to the evidence or reading the transcript, but	4	difficult, very subjective. Different people look at
5	Mr Fu told the Commission that he had little or no idea	5	different situations, have different opinion. If
6	of the RISC form problem until January/February 2018,	6	I think this is number 1, the other person says maybe
7	when the defects in the stitch joints became apparent	7	number 5. So I can't tell. But it's an issue we need
8	and investigations took place into what had happened.	8	to address.
9	Now, if that's right, it seems to me that the only	9	That's why I implement the system to issue I keep
10	conclusion that can be drawn is that you did not make	10	reminding everyone, every week saying, "This is still
11	him aware, back in May 2016, of this problem. Do you	11	a problem, so manage that." To be fair, I can't
12	have any recollection at all of that?	12	quantify and put a number there. It's not fair.
13	A. I mentioned to you earlier, my learned friend, that	13	Q. All right.
14	weekly report that I mentioned in my statement; right?	14	A. I hope I can answer your questions.
15	Q. You mean the Kit Chan register?	15	CHAIRMAN: Mr Pennicott, just a gentle warning order, namely
16	A. Yes. It was still issued from Leighton to MTR after	16	that you have requested that we should adjourn. I'm not
17	I left the site. At that time, they addressed to	17	suggesting now, I'm just saying the time span and
18	Michael Fu and the two senior ConE, the same thing. If	18	I doubt very much whether we will be finished with
19	you read those reports in due diligence, they should be	19	Mr Chan within that small time frame.
20	aware that; right? I can't remember what I mentioned to	20	MR PENNICOTT: We won't, sir. I've got a couple of smaller
21	him three years ago; right? But the hard evidence is	21	issues that I wanted to deal with, and I'd also quite
22	that Leighton keep reporting, at least after I left	22	frankly like to reflect on what's been discussed in the
1 7 2	I don't know how many weeks later but at least in	23	last 15-20 minutes between yourselves, me and Mr Chan.
23			
23 24 25	June I saw the same weekly report issued to Michael Fu. So he should have able to see that; right?	24 25	CHAIRMAN: Yes. It's very important, obviously. MR PENNICOTT: It's a very important area and I did have

	Page 145		Page 147
1	a number of questions set out but I think, frankly,	1	(The hearing adjourned until 10.00 am the following day)
2	between us, perhaps all the relevant questions have been	2	
3	asked and Mr Chan has answered.	3	
4	So I wonder, in those circumstances, whether this	4	
5	would be and obviously I don't know how many other	5	
6	counsel have got questions for Mr Chan. I think the	6	
7	government have got some; I'm not sure about anybody	7	
8	else. Maybe this would be an appropriate moment because		
9	I don't think we are going to finish Mr Chan this	9	
-		10	
10	evening, and ask him unfortunately to come back in the		
11	morning.	11	
12	CHAIRMAN: Yes.	12	
13	MR PENNICOTT: I know that a number of people also,	13	
14	including myself, are quite anxious to not have the	14	
15	journey that we had last night, if it can be avoided,	15	
16	because of the problems that may still be existing in	16	
17	Central, although I do understand it's much improved.	17	
18	CHAIRMAN: Good.	18	
19	Just before we do adjourn, could I just ask is	19	
20	Mr Tsoi going to have many questions, or yourself for	20	
21	that matter? My apologies.	21	
22	MS LAU: We might have a few questions but I don't	22	
23	anticipate that it will take long.	23	
24	CHAIRMAN: All right. Thank you.	24	
25	Mr Chow?	25	
	Page 146		
1	MR CHOW: Sir, we do have a few questions. I think it's	1	I N D E X
2	going to take perhaps 15 to 20 minutes, depending on the	2	P A G E
3	answers of Mr Chan.	3	MR TUNG HIU YEUNG, VICTOR (affirmed in Cantonese)1
4	CHAIRMAN: All right.	4	Examination by MR CHEUK10
5	Mr Shieh?	5	Cross-examination by MR TSOI43
6	MR SHIEH: For a change, I do have some questions.	6	Cross-examination by MR CHOW65
7	CHAIRMAN: All right. Okay. Good. Then we will adjourn.	7	Re-examination by MR BOULDING69
8	Mr Chan, thank you so much for your evidence this	8	Questioning by THE TRIBUNAL71
9	afternoon. It's been a very real help. And thank you	9	(The witness was released)75
10	for the frankness, too.	10	MR LEE CHIU YEE, JACKY (affirmed in Cantonese)77
11	WITNESS: It's my job.	11	Examination-in-chief by MR BOULDING77
12	CHAIRMAN: Because that makes our job a great deal easier.	12	Examination by MR PENNICOTT80
13	And let me just say this, a purely personal observation,	13	(The witness was released)99
14	that sometimes these type of Commissions may appear to	14	MR NGAI KWOK HUNG, CANO (affirmed in Cantonese)99
15	be like martinets, lacking any empathy, but I think what	15	Examination-in-chief by MR BOULDING
16	has come across is your man management skills and we are	16	Examination by MR PENNICOTT
17	not ignorant of that.	17	(The witness was released)110
18	WITNESS: Thank you.	18	MR CHAN KIT LAM, KIT (affirmed)112
19	CHAIRMAN: So tomorrow morning, 10 o'clock.	19	Examination-in-chief by MR BOULDING
20	WITNESS: Thank you, sir.	20	Examination by MR PENNICOTT
20	CHAIRMAN: Sorry, you know the form. You are not entitled		
22	to discuss your evidence.	22	
22	WITNESS: I've got experience. Thank you for reminding.	22	
23	CHAIRMAN: Thank you.	23	
24	(4.40 pm)	25	
25	(		

37 (Pages 145 to 148)